

# 2012 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F95000006081

FILED  
Jan 10, 2012  
Secretary of State

**Entity Name:** FIRST ALLIED SECURITIES, INC.

**Current Principal Place of Business:**

655 W. BROADWAY  
11TH FLOOR  
SAN DIEGO, CA 92101

**New Principal Place of Business:**

**Current Mailing Address:**

655 W. BROADWAY  
11TH FLOOR  
SAN DIEGO, CA 92101

**New Mailing Address:**

**FEI Number:** 11-3152836

**FEI Number Applied For ( )**

**FEI Number Not Applicable ( )**

**Certificate of Status Desired ( )**

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 323012525 US

**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**OFFICERS AND DIRECTORS:**

Title: PD  
Name: ANTONIADES, ADAM  
Address: 655 W. BROADWAY 11TH FLOOR  
City-St-Zip: SAN DIEGO, CA 92101

Title: CFO  
Name: DOZA, JANICE  
Address: 15455 CONWAY ROAD  
City-St-Zip: CHESTERFIELD, MO 63017

Title: SD  
Name: MOSES, ROBERT  
Address: 565 FIFTH AVENUE, 20TH FLOOR  
City-St-Zip: NEW YORK, NY 10017

Title: COO  
Name: O'NEAL, TIY ASST/S  
Address: 655 W. BROADWAY 11TH FLOOR  
City-St-Zip: SAN DIEGO, CA 92101

Title: SVP  
Name: CONDRA, SHANNON ASST/S  
Address: 655 W. BROADWAY 11TH FLOOR  
City-St-Zip: SAN DIEGO, FL 92101

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ROBERT MOSES

SEC

01/10/2012

\_\_\_\_\_  
Electronic Signature of Signing Officer or Director

\_\_\_\_\_  
Date