

2009 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F95000006081

FILED
Jan 05, 2009
Secretary of State

Entity Name: FIRST ALLIED SECURITIES, INC.

Current Principal Place of Business:

655 W. BROADWAY
11TH FLOOR
SAN DIEGO, CA 92101

New Principal Place of Business:

Current Mailing Address:

655 W. BROADWAY
11TH FLOOR
SAN DIEGO, CA 92101

New Mailing Address:

FEI Number: 11-3152836

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 323012525 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: PD () Delete
Name: ANTONIADES, ADAM
Address: 655 W. BROADWAY 11TH FLOOR
City-St-Zip: SAN DIEGO, CA 92101

Title: CFO () Delete
Name: GLASER, GREGG
Address: 1227 MALL DRIVE
City-St-Zip: RICHMOND, VA 73060

Title: SD () Delete
Name: MOSES, ROBERT
Address: 565 FIFTH AVENUE, 20TH FLOOR
City-St-Zip: NEW YORK, NY 10017

Title: COO () Delete
Name: O'NEAL, TIY ASST/S
Address: 655 W. BROADWAY 11TH FLOOR
City-St-Zip: SAN DIEGO, CA 92101

Title: SVP () Delete
Name: CONDRA, SHANNON ASST/S
Address: 655 W. BROADWAY 11TH FLOOR
City-St-Zip: SAN DIEGO, FL 92101

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: CFO (X) Change () Addition
Name: MILBURN, ROBERT
Address: 655 W. BROADWAY 11TH FLOOR
City-St-Zip: SAN DIEGO, CA 92101

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: () Change () Addition
Name:
Address:
City-St-Zip:

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: MARION J. VOMHOF, ASSOC. GENERAL COUNSEL

AGC

01/05/2009

Electronic Signature of Signing Officer or Director

Date