

Document Number Only

F9500005884

CT CORPORATION SYSTEM

Requestor's Name

660 EAST JEFFERSON STREET

Address

TALLAHASSEE FL 32301 222-1092

City

State

Zip

Phone

CORPORATION(S) NAME

100001652291
-12/04/95--01038--001
*****35.00 *****35.00

100001652291
-12/04/95--01038--002
*****35.00 *****35.00

BZW Global Investors Services Inc.

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
95 DEC 4 PM 12:51

☒ Profit

☐ NonProfit

☐ Limited Liability Company

☒ Foreign

☐ Amendment

☐ Merger

☐ Dissolution/Withdrawal

☐ Mark

☐ Limited Partnership

☐ Annual Report

☐ Other

☐ Reinstatement

☐ Reservation

☐ Change of R.A.

☐ Certified Copy

☐ Photo Copies

☐ Fictitious name Filing

☐ CUS

☐ Call When Ready

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CR2E031 (1-89)

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION
TO TRANACT BUSINESS IN FLORIDA**

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANACT BUSINESS IN THE
STATE OF FLORIDA:**

1. BZW Global Investors Services Inc.
(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. California
(State or country under the law of which it is incorporated)
3. 94-3231066
(FEI number, if applicable)
4. August 31, 1995
(Date of Incorporation)
5. Perpetual
(Duration: Year corp. will cease to exist or "perpetual")
6. Upon qualification
(Date first transacted business in Florida. (See sections 607.1501, 607.1502 and 817.156, F.S.))
7. 45 Fremont Street
San Francisco, California 94105
(Current mailing address)
8. Transacting business as a broker in securities and as an investment advisor
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. Name and street address of Florida registered agent:

Name: C T CORPORATION SYSTEM

Office Address: c/o C T Corporation System, 1200 South Pine Island Road

Plantation, Florida, 33324
(Zip Code)

10. Registered agent acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application. I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

C T CORPORATION SYSTEM

M. T. Fitzpatrick
(Registered agent's signature) (Officer)

M. T. Fitzpatrick, Asst. Secretary
(Type Name and Title of Officer)

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
95DEC-4 PM12:55

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors:

A. DIRECTORS

Chairman: Donald L. Luskin

Address: 45 Fremont Street

San Francisco, California 94105

Director

~~Vice Chairman~~ Frederick L.A. Grauer

Address: 45 Fremont Street

San Francisco, California 94105

Director: Irving I. Cohen

Address: 45 Fremont Street

San Francisco, California 94105

Director: Patricia C. Dunn-Jahnke

Address: 45 Fremont Street

San Francisco, California 94105

B. OFFICERS

Chairman of the Board

Managing Director ~~President~~ Donald L. Luskin

Address: 45 Fremont Street

San Francisco, California 94105

Managing Director

~~Vice President~~ Frederick L.A. Grauer

Address: 45 Fremont Street

San Francisco, California 94105

Secretary: Kathleen M. Ulrich

Address: 45 Fremont Street

San Francisco, California 94105

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SECRETARY OF STATE
DIVISION OF CORPORATIONS

Chief
Financial Officer: Andrea M. Zulberti

Address: 45 Fremont Street

San Francisco, California 94105

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Donald L. Luskin - Chairman and Managing Director

(Typed or printed name and capacity of person signing application)

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
95 DEC -4 PM 12:51

State of California

SECRETARY OF STATE

CERTIFICATE OF STATUS DOMESTIC CORPORATION

I, **BILL JONES**, Secretary of State of the State of California, hereby certify:

That on the 31st day of August, 19 95

BZW GLOBAL INVESTORS SERVICES

became incorporated under the laws of the State of California by filing its Articles of Incorporation in this office; and

That no record exists in this office of a certificate of dissolution of said corporation nor of a court order declaring dissolution thereof, nor of a merger or consolidation which terminated its existence; and

That said corporation's corporate powers, rights and privileges are not suspended on the records of this office; and

That according to the records of this office, the said corporation is authorized to exercise all its corporate powers, rights and privileges and is in good legal standing in the State of California; and

That no information is available in this office on the financial condition, business activity or practices of this corporation.

IN WITNESS WHEREOF, I execute this
certificate and affix the Great Seal
of the State of California this
28th day of November, 1995

Bill Jones
BILL JONES
Secretary of State



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SECRETARY OF STATE
DIVISION OF CORPORATIONS
95 DEC -4 PM 12:51

Document Number Only

F95000005884

FILED
96 JAN -2 PH 3:32
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

CT CORPORATION SYSTEM

Requestor's Name

660 East Jefferson Street

Address

Tallahassee, FL 32301 222-1092

City

State

Zip

Phone

CORPORATION(S) NAME

500001675605

-01/02/96--01078--015
*****35.00 *****35.00

500001675605

-01/02/96--01078--016
*****52.50 *****52.50

BZW Global Investors Services Inc.

changing its name to:

BZW Barclays Global Investors Services Inc.

☐ Profit

☐ NonProfit

☒ Amendment

☐ Merger

☐ Foreign

☐ Dissolution/Withdrawal

☐ Mark

☐ Limited Partnership

☐ Annual Report

☐ Other

☐ Reinstatement

☐ Reservation

☐ Change of R.A.

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☐ CUS

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1-2
3pm

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**APPLICATION BY FOREIGN CORPORATION TO FILE AMENDMENT
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS
FLORIDA**

RECEIVED
JAN - 2 PM 3:32
SECRETARY OF STATE
TALLAHASSEE, FLORIDA


SECTION I (1-3 must be completed)

1. BNV Global Investors Services Inc.
Name of corporation as it appears within the records of the Department of State.
2. Incorporated under laws of: California
3. Date authorized to do business in Florida: December 4, 1995

SECTION II (4-7 complete only the applicable changes)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation?
December 8, 1995
5. Name of corporation after the amendment, adding suffix "corporation," "company," "incorporated," or appropriate abbreviation, if not contained in new name of the corporation:
BNV Barclays Global Investors Services Inc.
6. If the amendment changes the period of duration, indicate new period of duration.

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.



Signature
Name and Title

Donald L. Luskin, Chairman of the Board

December 28, 1995
Date

State of California

SECRETARY OF STATE'S OFFICE



FILED
96 JAN -2 PM 3:32
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

I, BILL JONES, Secretary of State of the State of California, hereby certify:

That on the 8th day of December, 19 95,

there was filed in this office a(n) Certificate of Amendment

whereby Article First of the Articles of Incorporation of

BZW GLOBAL INVESTORS SERVICES

a California corporation, was amended to set forth a change of corporate name to

BZW BARCLAYS GLOBAL INVESTORS SERVICES



IN WITNESS WHEREOF, I execute
this certificate and affix the Great
Seal of the State of California this
19th day of December, 1995.

Bill Jones
Secretary of State

F95000005884

Document Number Only

CT CORPORATION SYSTEM

660 EAST JEFFERSON STREET

Requestor's Name
TALLAHASSEE, FL 32301

Address

222-1092

City State Zip Phone

CORPORATION(S) NAME

100002163311--9
-05/02/97--01056--003
*****35.00 *****35.00

B2W Barclays Global Investors Services

Changing Name to:

Barclays Global Investors Services

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97 MAY -2 PM 2:39
SECRETARY OF STATE
TALLAHASSEE FLORIDA

- | | | |
|--|---|---|
| <input type="checkbox"/> Profit | <input checked="" type="checkbox"/> Amendment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> NonProfit | | |
| <input type="checkbox"/> Limited Liability Co. | | |
| <input type="checkbox"/> Foreign | <input type="checkbox"/> Dissolution/Withdrawal | <input type="checkbox"/> Mark |
| <input type="checkbox"/> Limited Partnership | <input type="checkbox"/> Annual Report | <input type="checkbox"/> Other |
| <input type="checkbox"/> Reinstatement | <input type="checkbox"/> Reservation | <input type="checkbox"/> Change of R.A. |
| | | <input type="checkbox"/> Fictitious Name Filing |
| <input type="checkbox"/> Certified Copy | <input type="checkbox"/> Photo Copies | <input type="checkbox"/> CUS |
| <input type="checkbox"/> Call When Ready | <input type="checkbox"/> Call if Problem | <input type="checkbox"/> After 4:30 |
| <input checked="" type="radio"/> Walk In | <input type="checkbox"/> Will Wait | <input checked="" type="radio"/> Pick Up |
| <input type="checkbox"/> Mail Out | | |

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Acknowledgment
W.P. Verifier

5-2-97

5/2

John
Name
Change

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97 MAY -2 AM 11:30
DIVISION OF CORPORATION

**APPLICATION BY FOREIGN CORPORATION TO FILE AMENDMENT TO
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN
FLORIDA**

FILED
97 MAY -2 PM 2:39
SECRETARY OF STATE
TALLAHASSEE FLORIDA

SECTION I (1-3 must be completed)

1. BNY Barclays Global Investors Services Inc.
Name of corporation as it appears within the records of the Department of State.
2. Incorporated under laws of: California
3. Date authorized to do business in Florida: December 4, 1995

SECTION II (4-7 complete only the applicable changes)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation?

October 9, 1996

5. Name of corporation after the amendment, adding suffix "corporation," "company," "incorporated," or appropriate abbreviation, if not contained in new name of the corporation:

Barclays Global Investors Services, Inc.

6. If the amendment changes the period of duration, indicate new period of duration.

No Change

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

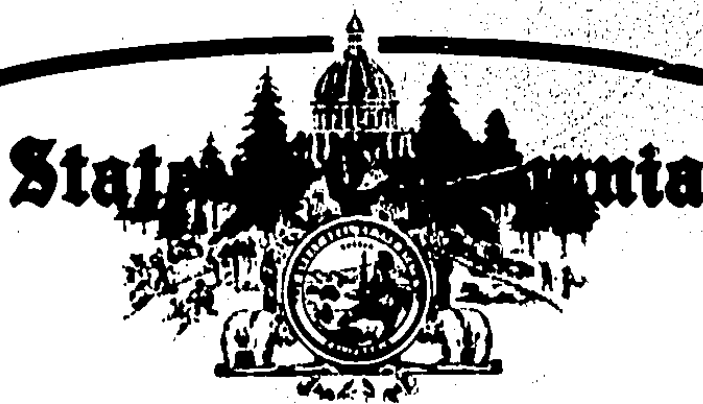
Joanne Madero

Signature
Name and Title

Joanne Madero, Secretary

4/18/97

Date



SECRETARY OF STATE

I, **BILL JONES**, Secretary of State of the State of California, hereby certify:

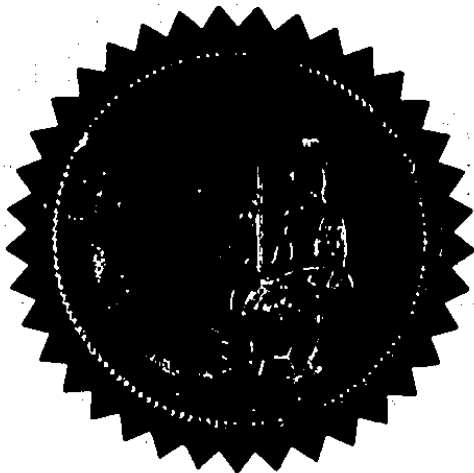
That on the 9th day of October, 19 96,

there was filed in this office an amendment changing the corporation name from

BZW BARCLAYS GLOBAL INVESTORS SERVICES

_____, a California corporation,

to BARCLAYS GLOBAL INVESTORS SERVICES



IN WITNESS WHEREOF, I execute
this certificate and affix the Great
Seal of the State of California this
30th day of April, 1997.

Bill Jones

Secretary of State

F95000005884

**STATE OF FLORIDA
OFFICE OF THE COMPTROLLER
APPLICATION FOR REFUND**

Section 215.26, Florida Statutes, states in part: "Applications for refunds as provided in this section shall be filed with the Comptroller, except as otherwise provided herein, within 3 years after the right to such refund shall have accrued else such right shall be barred." Three years is generally interpreted as meaning three years from the date of payment into the State Treasury. The Comptroller has delegated the authority to accept applications for refund to the unit of State government which initially collected the money.

Pursuant to the provisions of Rule 3A-44.020, Florida Administrative Code, and Section 215.26, Florida Statutes, or Section _____, Florida Statutes, I hereby apply for a refund of moneys I paid into the State Treasury, which are subject to refund. The following information is submitted to substantiate the claim.

THE INFORMATION IN THIS BOX WILL BE USED TO WRITE AND MAIL YOUR REFUND CHECK. PLEASE TYPE OR PRINT LEGIBLY.

Name: <u>CT Corporation System / Stephen Bellinger</u>		EIN or SS#: _____
Address: <u>49 Stevenson Street, Suite 900</u>		
<u>San Francisco, CA 94105</u>		
Amount: <u>\$35.00</u>	Date Paid: _____	
Reason for Claim: <u>amendment was already filed for BARCLAYS GLOBAL INVESTORS SERVICES, INC. (F95000005884) AJH</u>		
Certified true and correct this _____ day of _____, 19 _____.		
Signature <u>[Signature]</u>		Order #: <u>977821</u>
* Must be completed if authority is other than Section 215.26, Florida Statutes.		

Do Not Write in This Box - For Agency Use Only	
Agency recommends approval of above claim and submits the following information to substantiate the claim:	
Amount of recommended refund \$ <u>35.00</u>	
The amount requested above was originally deposited into the State Treasury, as a part of the funds deposited on	
State Treasurer's Receipt No. <u>01067 014</u> dated <u>9-2-97</u>	
NAME OF ACCOUNT: <u>4520213000145300000000000010000</u>	
Statutory Authority for Collection <u>607.0122</u>	
It is requested that payment be made from the following account:	
NAME OF ACCOUNT: <u>452021300014530000000022002000</u>	
Certified true and correct this _____ day of _____, 19 _____.	
Department of State, Division of Corporations	(Authorized Agency Signature and Title)
(Agency)	

Document Number Only

C T CORPORATION SYSTEM

Requestor's Name

660 East Jefferson Street

Address

Tallahassee, Florida 32301

City

State

Zip

Phone

CORPORATION(S) NAME

900002282339--B
-09/02/97--01067--014
*****35.00 *****35.00

BZW Barclays Global Investor Services

Changed Name:

Barclays Global Investors Services

☐ Profit

☐ NonProfit

☐ Limited Liability Company

☐ Foreign

☒ Amendment

☐ Dissolution/Withdrawal

☐ Merger

☐ Mark

☐ Limited Partnership

☐ Reinstatement

☐ Limited Liability Partnership

☐ Certified Copy

☐ Annual Report

☐ Reservation

☐ Photo Copies

☐ Other

☐ Change of R.A.

☐ Fictitious Name

☐ CUS

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☐ After 4:30

☒ Pick Up

Name
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Updater
Verifier
Acknowledgment
W.P. Verifier

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9/2/97

400789, 00524, 00672

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SEP - 2 AM 11:37
STATE OF FLORIDA
DEPARTMENT OF REVENUE
TALLAHASSEE, FLORIDA

CR2E031 (1-89)