

F95000004999

TRANSMITTAL LETTER

TO: Qualification/Tax Lien Section
Division of Corporations

SUBJECT: Fechtor, Detwiler & Co., Inc.
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Marjorie Filer

(Name of Person)

4000001594014

-09/26/95--01109--002

*****78.75 *****78.75

Fechtor, Detwiler & Co., Inc.

(Firm/Company)

W95-19342

155 Federal Street

(Address)

Boston, MA 02110

(City/State/Zip)

Should you need to call someone concerning this matter, please call:

Marjorie Filer

(Name of Person)

at (617) 451-0100

(Area Code & Daytime Telephone Number)

95 OCT 13 PM 3:11
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

COURIER ADDRESS:

Qualification/Tax Lien Sec.
Division of Corporations
409 E. Gaines St
Tallahassee, FL 32399

MAILING ADDRESS:

Qualification/Tax Lien Section
Division of Corporations
P. O. Box 6327
Tallahassee, FL 32314

Wf
10/13



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State

September 27, 1995

MARJORIE FILER
FECHTOR, DETWILER & COMPANY, INC.
155 FEDERAL STREET
BOSTON, MA 02110

SUBJECT: FECHTOR, DETWILER & COMPANY, INC.
Ref. Number: W95000019342

We have received your document for FECHTOR, DETWILER & COMPANY, INC. and your check(s) totaling \$78.75. However, the enclosed document has not been filed and is being returned for the following correction(s):

The name listed in number one of the application must be identical to the name listed in the certificate of existence.

The entity's period of duration must be listed on the application. Please insert the word "perpetual", if a specific date of dissolution or term of existence has not been specified.

The date first transacted business in Florida within the meaning of s. 607.1501 or 608.501, F.S., must be set forth in section 6 of the application. If the corporation/limited liability company has not yet transacted business in Florida within this meaning, please insert the words "upon qualification" in lieu of a date. (Note: Pursuant to s. 607.1502(4), F.S., this office collects a civil penalty of \$1000 for each year other than the application filing year, that a foreign corporation or limited liability company transacts business in this state without authority along with the past annual report fees due this office.)

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (904) 487-6958.

Lee Rivers
Document Examiner

Letter Number: 895A00044107



155 FEDERAL STREET • BOSTON, MASSACHUSETTS 02110 • (617) 451-0100

October 12, 1995

Florida Department of State
Division of Corporations
Attn: Lee Rivers
P O Box 6327
Tallahassee, Florida 32314

Re: Fechter, Detwiler & Co., Inc.
Ref. Number - W95000019342
Letter Number: 895A00044107

Dear Examiner Rivers,

Please find enclosed a copy of your letter, a new legal existence document and our application with the information completed.

The Commonwealth of Massachusetts has been typing out "Company" in our company name for years and your rejection of our application only made us do something about it. We have enclosed a copy of the Articles of Amendment to show that it was done correctly back in July, 1976.

Please accept our application and we look forward to hearing from you shortly.

Very truly yours,

Marjorie L. Filer
Vice President, Administration

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION
TO TRANSACT BUSINESS IN FLORIDA**

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE
STATE OF FLORIDA:**

1. Fechtor, Detwiler & Co., Inc.
(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. Massachusetts
(State or country under the law of which it is incorporated)
3. 04-2473303
(FEI number, if applicable)
4. January 1, 1971
(Date of Incorporation)
5. Perpetual
(Duration: Year corp. will cease to exist or "perpetual")
6. upon qualification
(Date first transacted business in Florida. (SEE SECTIONS 607.1501, 607.1502, AND 817.155, F.S.))
7. Suite 234W, 2255 Glades Road, Boca Raton, Florida 33431
(Current mailing address)
8. Investment Banking
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)
Name: Maurice R. Buchsbaum
Office Address: c/o: Fechter, Detwiler & Co., Inc.
Suite 234W, 2255 Glades Road
Boca Raton, Florida, 33431
(Zip Code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

X Maurice R. Buchsbaum
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address ONLY- P. O. Box NOT acceptable)

A. DIRECTORS (Street address only- P. O. Box NOT acceptable)

Chairman: Sheldon M. Fechter

Address: 155 Federal Street, Boston, MA 02110

Vice Chairman: _____

Address: _____

Director: Richard L. Fechter

Address: 155 Federal Street, Boston, MA 02110

Director: Robert R. Detwiler

Address: 155 Federal Street, Boston, MA 02110

B. OFFICERS (Street address only- P. O. Box NOT acceptable)

President: Sheldon M. Fechter

Address: 155 Federal Street, Boston, MA 02110

Vice President: _____

Address: _____

Secretary: and Executive Vice President

Address: Robert R. Detwiler


155 Federal Street, Boston, MA 02110

Treasurer: and Executive Vice President

Address: Richard L. Fechter

155 Federal Street, Boston, MA 02110

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Marjorie L. Filer, Vice President, Administration
(Typed or printed name and capacity of person signing application)



155 FEDERAL STREET • BOSTON, MASSACHUSETTS 02110 • (617) 451-0100

ADDITIONAL OFFICERS

TITLE: Vice President Administration
NAME: Marjorie L. Filer
ADDRESS: 155 Federal Street
Boston, MA 02110

TITLE: Vice President Operations
NAME: Edward J. Hughes
ADDRESS: 155 Federal Street
Boston, MA 02110

TITLE: Chief Compliance & Legal Officer
NAME: Stephen Z. Frank
ADDRESS: 155 Federal Street
Boston, MA 02110



William Francis Galvin
Secretary of the
Commonwealth

The Commonwealth of Massachusetts

Secretary of the Commonwealth

State House, Boston, Massachusetts 02188

October 12, 1995

TO WHOM IT MAY CONCERN:

I hereby certify that the records of this office show that
Fechtor & Co., Inc.

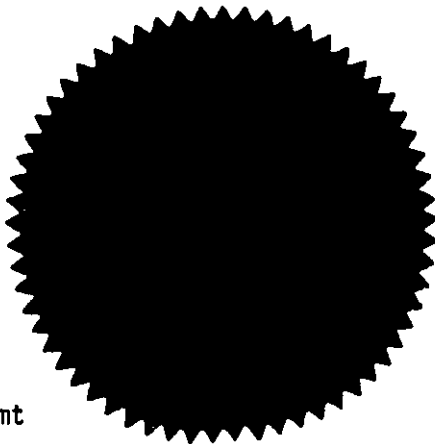
was incorporated under the General Laws of this Commonwealth on

January 4, 1971

I further certify that by articles of amendment filed on July 1, 1976
the name of said corporation was changed to Fechter, Detwiler & Co., Inc.

and said corporation still has legal existence.

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
95 OCT 13 PM 3:18



kmt

In testimony of which,
I have hereunto affixed the
Great Seal of the Commonwealth
on the date first above written.

William Francis Galvin

Secretary of the Commonwealth

F95000004999



Fechter, Detwiler & Co., Inc.
INVESTMENT BANKERS
155 FEDERAL STREET
BOSTON, MASSACHUSETTS 02110

City/State/Zip

Office Use Only

CORPORATION NAME(S) & DOCUMENT NUMBER(S), (if known):

1. _____
(Corporation Name) (Document #)
2. _____
(Corporation Name) (Document #)
3. _____
(Corporation Name) (Document #)
4. _____
(Corporation Name) (Document #)

800001890828
-07/11/96--01042--011
*****35.00 *****35.00

- ☐ Walk in ☐ Pick up time _____ ☐ Certified Copy
☐ Mail out ☐ Will wait ☐ Photocopy ☐ Certificate of Status

NEW FILINGS	
<input type="checkbox"/>	Profit
<input type="checkbox"/>	NonProfit
<input type="checkbox"/>	Limited Liability
<input type="checkbox"/>	Domestication
<input type="checkbox"/>	Other

AMENDMENTS	
<input type="checkbox"/>	Amendment
<input type="checkbox"/>	Resignation of R.A., Officer/ Director
<input type="checkbox"/>	Change of Registered Agent
<input type="checkbox"/>	Dissolution/Withdrawal
<input type="checkbox"/>	Merger

OTHER FILINGS	
<input type="checkbox"/>	Annual Report
<input type="checkbox"/>	Fictitious Name
<input type="checkbox"/>	Name Reservation

REGISTRATION/ QUALIFICATION	
<input type="checkbox"/>	Foreign
<input type="checkbox"/>	Limited Partnership
<input type="checkbox"/>	Reinstatement
<input type="checkbox"/>	Trademark
<input type="checkbox"/>	Other

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

96 JUL 11 AM 9:10

APPROVED
AND
FILED

Handwritten:
F95000004999
RA on
7-11-96

Florida Department of State, Sandra B. Mortham, Secretary of State

STATEMENT OF CHANGE OF REGISTERED OFFICE OR REGISTERED AGENT OR BOTH FOR CORPORATIONS

Pursuant to the provisions of sections 607.0502, 617.0502, 607.1508, or 617.1508, Florida Statutes, the undersigned corporation organized under the laws of the State of Massachusetts submits the following statement in order to change its registered office or registered agent, or both, in the State of Florida.

1. The name of the corporation is: Fechtor, Detwiler & Co., Inc.

2. The mailing address of the corporation is: 155 Federal Street
8th Floor, Boston, MA 02110

3. Date of incorporation/qualification: 10/13/95 Document number: F95000004999

4. The name and address of the current registered agent and office:

Maurice Buchsbaum
2255 Glades Road, Suite 234W
Boca Raton, FL 33431

5. The name and address of the new registered agent and office: (P.O. Box Not Acceptable)

Ivan I. Rom
2255 Glades Road, Suite 234W
Boca Raton, FL 33431

The street address of its registered office and the street address of the business office of its registered agent, as changed, will be identical.

Such change was authorized by resolution duly adopted by its board of directors or by an officer so authorized by the board.

Sheldon M. Fechter
(Signature of an officer, chairman or vice chairman of the board)

6/17/96
(Date)

Sheldon M. Fechter, President, CEO

(Printed or typed name and title)

Having been named as registered agent and to accept service of process for the above stated corporation, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligation of my position as registered agent.

Ivan I. Rom
(Signature of Registered Agent)

6/12/96
(Date)

If signing on behalf of an entity:

Ivan I. Rom
(Typed or Printed Name)

Vice President & Branch Manager
(Capacity)

F95000004999



Fechtor, Detwiler & Co., Inc.
INVESTMENT BANKERS

We are pleased to announce
the relocation of our offices to

225 Franklin Street
Boston, MA 02110

July 25, 1997

(617) 451-0100

FAX (617) 747-0800

Kelly
9/10