


**FILED**  
**Mar 01, 1999 8:00 am**  
**Secretary of State**

03-01-1999 90097 019 \*\*\*150.00

<b>PROFIT CORPORATION ANNUAL REPORT 1999</b>		<b>FLORIDA DEPARTMENT OF STATE</b> <b>Katherine Harris</b> Secretary of State DIVISION OF CORPORATIONS
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**DOCUMENT # F95000004852**

1. Corporation Name

**EFFICIENT MARKET SERVICES, INC.**

Principal Place of Business

106 WILMOT RD., STE. 300  
DEERFIELD IL 60015

Mailing Address

106 WILMOT RD., STE. 300  
DEERFIELD IL 60015

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

10/06/1995

4. FEI Number

36-3764981

Applied For

Not Applicable

5. Certificate of Status Desired ☐**\$8.75** Additional  
Fee Required6. Election Campaign Financing  
Trust Fund Contribution ☐**\$5.00** May Be  
Added to Fees8. This corporation owes the current year Intangible  
Personal Property Tax. ☒ Yes ☐ No

2. Principal Place of Business

21 Suite, Apt. #, etc.

22 **STE. 400**

23 City &amp; State

24 Zip Country

2a. Mailing Address

26 Suite, Apt. #, etc.

27 **STE. 400**

28 City &amp; State

29 Zip Country

9. Name and Address of Current Registered Agent

**CORPORATION SERVICE COMPANY**  
**1201 HAYS STREET**  
**TALLAHASSEE FL 32301-2525**

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

**FL**

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reappointing)

DATE

12. OFFICERS AND DIRECTORS

TITLE ☐ DELETENAME **PD LEVY, WAYNE**STREET ADDRESS **106 WILMOT RD., STE. 300**CITY-ST-ZIP **DEERFIELD IL 60015**TITLE ☐ DELETENAME **VS BARON, PENNY**STREET ADDRESS **106 WILMOT RD., STE. 300**CITY-ST-ZIP **DEERFIELD IL 60015**TITLE ☐ DELETENAME **VD SPINDLER, MICHAEL**STREET ADDRESS **106 WILMOT RD., STE. 300**CITY-ST-ZIP **DEERFIELD IL 60015**TITLE ☐ DELETENAME **D VAN AUKEN, WENDELL**STREET ADDRESS **2800 SAND HILL RD**CITY-ST-ZIP **MENLO PARK CA**TITLE ☐ DELETE

NAME

STREET ADDRESS

CITY-ST-ZIP

TITLE ☐ DELETE

NAME

STREET ADDRESS

CITY-ST-ZIP

**SEE ATTACHED  
FOR CORRECTED LIST**

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE ☒ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS **106 WILMOT Rd, STE. 400**

1.4 CITY-ST-ZIP

2.1 TITLE ☒ Change ☐ Addition

2.2 NAME

2.3 STREET ADDRESS **106 WILMOT Rd, STE. 400**

2.4 CITY-ST-ZIP

3.1 TITLE ☒ Change ☐ Addition

3.2 NAME

3.3 STREET ADDRESS **106 WILMOT Rd, STE. 400**

3.4 CITY-ST-ZIP

4.1 TITLE ☐ Change ☐ Addition

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

5.1 TITLE ☐ Change ☐ Addition

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

6.1 TITLE ☐ Change ☐ Addition

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

**Mark D. Korte**  
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

RENEWED

1-29-99

Date

Daytime Phone #

CR2E034 (11/98)

0311999-90097-019-\$150.00-\$150.00

281062-90066-34

F95000004852

Attachment A

**President/CEO/Director**

Wayne Levy  
efficient market services, inc  
106 Wilmot Road, Suite 400  
Deerfield, IL 60015  
(847) 205-7800

**Secretary/Treasurer/Director**

Penny Baron  
efficient market services, inc  
106 Wilmot Road, Suite 400  
Deerfield, IL 60015  
(847) 205-7800

**CFO**

Mark Renfree  
efficient market services, inc.  
106 Wilmot Road, Suite 400  
Deerfield, IL 60015  
(847) 205-7800

**Director**

Peter Husting  
12 Indian Hill Road  
Winnetka, IL 60093  
(847) 441-8687

**Director**

Van Van Auken  
Mayfield Fund  
2800 Sand Hill Road  
Menlo Park, CA 94025  
(650) 854-5560

**Director**

Jeffrey M. Krauss  
Nazem and Company  
645 Madison Avenue  
New York, NY 10022-1010  
(212) 371-7900