

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
May 06, 2002 8:00 am
Secretary of State

05-06-2002 90200 004 ***150.00

DOCUMENT # F95000004735

1. Entity Name
LADENBURG, THALMANN GROUP INC.

Principal Place of Business
**100 SE 2ND ST., 32ND FLOOR
 MIAMI FL 33131**

Mailing Address
**100 SE 2ND ST., 32ND FLOOR
 MIAMI FL 33131**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **22-3375462**

Applied For
 Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

DO NOT WRITE IN THIS SPACE

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
 1200 SOUTH PINE ISLAND ROAD
 PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
 After May 1, 2002 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **CD** ☒ Delete
 NAME **RIVAS, VICTOR M**
 STREET ADDRESS **100 SE SECOND ST, 32ND FLR**
 CITY-ST-ZIP **MIAMI FL 33131**

TITLE **CD** ☒ Change ☒ Addition
 NAME **BENNETT S. LEBOW**
 STREET ADDRESS **100 S.E. SECODN ST, 32ND FLR**
 CITY-ST-ZIP **MIAMI, FL 33131**

TITLE **EVCF** ☒ Delete
 NAME **GIARDINA, SALVATORE**
 STREET ADDRESS **100 SE 2ND ST., 32ND FLOOR**
 CITY-ST-ZIP **MIAMI FL 33131**

TITLE **PCEO** ☒ Change ☒ Addition
 NAME **HOWARD M. LORBER**
 STREET ADDRESS **100 S.E. SECOND ST, 32ND FLR**
 CITY-ST-ZIP **MIAMI, FL 33131**

TITLE **P** ☒ Delete
 NAME **BORIS, DAVID B**
 STREET ADDRESS **100 S.E. SECOND ST 32ND FLR**
 CITY-ST-ZIP **MIAMI FL 33131**

TITLE **EVP,AS** ☒ Change ☒ Addition
 NAME **RICHARD J. LAMPEN**
 STREET ADDRESS **100 S.E. SECOND ST, 32ND FLR**
 CITY-ST-ZIP **MIAMI, FL 33131**

TITLE **AS** ☒ Delete
 NAME **KIRKLAND III, J BRYANT**
 STREET ADDRESS **100 SE SECOND STREET-32ND FLOOR**
 CITY-ST-ZIP **MIAMI FL 33131**

TITLE **S** ☒ Change ☒ Addition
 NAME **MARC N. BELL**
 STREET ADDRESS **100 S.E. SECOND ST, 32ND FLR**
 CITY-ST-ZIP **MIAMI, FL 33131**

TITLE **SVS** ☒ Delete
 NAME **GIOVANNIELLO, JOSEPH JR**
 STREET ADDRESS **100 S.E. SECOND ST-32ND FLOOR**
 CITY-ST-ZIP **MIAMI FL 33131**

TITLE **VP,CFO,T&S** ☒ Change ☒ Addition
 NAME **J. BRYANT KIRKLAND III**
 STREET ADDRESS **100 S.E. SECOND ST, 32ND FLR**
 CITY-ST-ZIP **MIAMI, FL 33131**

TITLE ☐ Delete
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *J. Bryant Kirkland III*

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR
J. BRYANT KIRKLAND III

April 16, 2002

Date

(305) 579-8000

Daytime Phone #

CR2E034 (9/01)