

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT
CORPORATION
ANNUAL REPORT
1999



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State
DIVISION OF CORPORATIONS

FILED
Apr 16, 1999 8:00 am
Secretary of State

04-16-1999 90092 036 ***150.00

DOCUMENT # F95000004735

1. Corporation Name

LADENBURG, THALMANN GROUP INC.

Principal Place of Business

100 SE 2ND ST., 32ND FLOOR
MIAMI FL 33131

Mailing Address

100 SE 2ND ST., 32ND FLOOR
MIAMI FL 33131

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

09/28/1995

4. FEI Number

22-3375462

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution ☐

\$5.00 May Be
Added to Fees

8. This corporation owes the current year Intangible
Personal Property Tax. ☐ Yes ☐ No

2. Principal Place of Business

21 Suite, Apt. #, etc.

2a. Mailing Address

26 Suite, Apt. #, etc.

23 City & State

27 City & State

24 Zip

25 Country

29 Zip

30 Country

9. Name and Address of Current Registered Agent

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE DP ☐ DELETE

NAME GRAHAM, PETER M.
STREET ADDRESS 100 SE SECOND STREET 32ND FLOOR
CITY-ST-ZIP MIAMI FL

TITLE V ☒ DELETE

NAME WEINTRAUB, ROBERT B.
STREET ADDRESS 100 SE 2ND ST., 32ND FLOOR
CITY-ST-ZIP MIAMI FL

TITLE CSD ☐ DELETE

NAME KRAMER, RONALD J
STREET ADDRESS 100 SE 2ND ST., 32ND FLOOR
CITY-ST-ZIP MIAMI FL

TITLE VT ☐ DELETE

NAME KIRKLAND III, J BRYANT
STREET ADDRESS 100 SE SECOND STREET-32ND FLOOR
CITY-ST-ZIP MIAMI FL

TITLE V ☒ DELETE

NAME STEVENS, JAMES T.
STREET ADDRESS 100 S.E. SECOND ST-32ND FLOOR
CITY-ST-ZIP MIAMI FL

TITLE ☐ DELETE

NAME
STREET ADDRESS
CITY-ST-ZIP

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE ☐ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY-ST-ZIP

2.1 TITLE ☐ Change ☒ Addition

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY-ST-ZIP

3.1 TITLE ☐ Change ☐ Addition

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY-ST-ZIP

4.1 TITLE ☒ Change ☐ Addition

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

5.1 TITLE ☐ Change ☒ Addition

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

6.1 TITLE ☐ Change ☐ Addition

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

EVPCFO

Salvatore Giardina

100 S.E. Second Street, 32nd Flr

Miami, FL 33131

AS

J. Bryant Kirkland III

100 S.E. Second Street, 32nd Flr

Miami, FL 33131

SVP,S

Joseph Giovanniello, Jr.

100 S.E. Second Street, 32nd Flr

Miami, FL 33131

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *[Signature]* SIGNATURE REQUIRED

March 25, 1999

(305) 579-8000

Date

Daytime Phone #

CR2E034 (11/98)