

F95000003843

1201 HAYS STREET
TALLAHASSEE, FL 32301

800-342-8086



ACCOUNT NO. : 072100000032

REFERENCE : 656355 86901E

AUTHORIZATION :

Patricia Pizik

COST LIMIT : \$ 70.00

ORDER DATE : August 9, 1995

ORDER TIME : 10:10 AM

ORDER NO. : 656355

100001556181

CUSTOMER NO: 86901E

CUSTOMER: Ms. Caroline Greiber
Prentice Hall Legal &
Suite 202
11 South 12th Street
Richmond, VA 23219

FOREIGN FILINGS

NAME: LIEBER II CORP.

XX PROFIT
NON-PROFIT

XX CORPORATE
LIMITED PARTNERSHIP

XX QUALIFICATION

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

CERTIFIED COPY
XX PLAIN STAMPED COPY
CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Debbie Skipper

FILED
SECRETARY OF STATE
DIVISION OF CORPORATE
95 AUG -9 11:11:45
jsk/9

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO
TRANSACTION BUSINESS IN FLORIDA**

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACTION BUSINESS IN THE
STATE OF FLORIDA:**

1. Lieber II Corp.*
(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)
2. New York 3. 56-1872375
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 3/7/94 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. Upon Qualification
(Date first transacted business in Florida. (See sections 607.1501, 607.1502, and 617.155, F.S.))
7. 2500 Westchester Avenue
Purchase, New York 10577
(Current mailing address)
8. General Partner of Investment Advisor to investment company registered
under 1940 Act; Securities Broker/dealer
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)
9. **Name and street address of Florida registered agent:**
The Prentice-Hall Corporation
Name: System, Inc.
Office Address: 1201 Hays Street, Suite 105
Tallahassee, Florida, 32301
(Zip Code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

The Prentice-Hall Corporation System, Inc.

By: Marcia A. Havner
(Registered agent's signature)

Marcia A. Havner, Asst. Sec.

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

*Lieber II Corp. is one of two general partners of Lieber & Company, a broker/dealer registered with the Securities and Exchange Commission.

Names and addresses of officers and/or directors:

A. DIRECTORS

Chairman: Barbara J. Colvin
Address: 301 S. College Street
Charlotte, North Carolina 28288
Vice Chairman: Richard K. Wagoner
Address: 301 S. College Street
Charlotte, North Carolina 28288
Director: Marion A. Cowell, Jr.
Address: 301 S. College Street
Charlotte, North Carolina 28288
Director: _____
Address: _____

B. OFFICERS

President: Richard K. Wagoner
Address: 301 S. College Street
Charlotte, North Carolina 28288
Vice President: Robert L. Andersen
Address: 301 S. College Street
Charlotte, North Carolina 28288
Secretary: Kent S. Hathaway
Address: 301 S. College Street
Charlotte, North Carolina 28288
Treasurer: Jim H. Hatch
Address: 301 S. College Street
Charlotte, North Carolina 28288

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.

Robert L. Andersen
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14.

Robert L. Andersen, Senior Vice President
(Typed or printed name and capacity of person signing application)

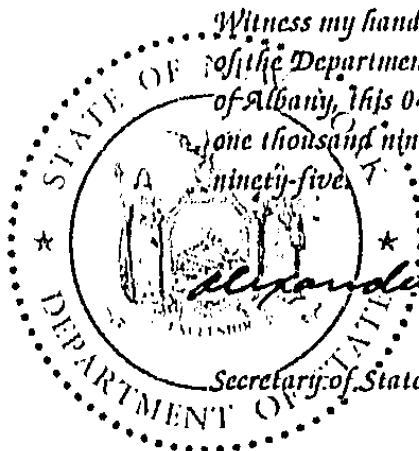
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SECRETARY OF STATE
DIVISION OF CORPORATIONS
93 AUG -9 AM 11:45

State of New York | ss:
Department of State

I hereby certify, that the certificate of incorporation of LIEBER II CORP. was filed on 03/07/1994, under the name of FIRST UNION LIEBER II CORP., with perpetual duration, and that I have made a diligent examination of the index of corporation papers filed in this Department for a certificate, order, or record of a dissolution, and upon such examination, I find no such certificate, order or record, and that no far as indicated by the records of this Department, such corporation is a subsisting corporation.

A Certificate of Amendment FIRST UNION LIEBER II CORP., changing name to LIEBER II CORP., was filed 06/22/1994.

Witness my hand and the official seal
of the Department of State at the City
of Albany, this 04th day of August
one thousand nine hundred and
ninety-five.



Alexander F. Treadwell
Secretary of State

199508070103

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS
95 AUG -9 AM 11:46

F95000003843

STATE OF FLORIDA
OFFICE OF THE COMPTROLLER
APPLICATION FOR REFUND

Section 215.26, Florida Statutes, states in part: "Applications for refunds as provided in this section shall be filed with the Comptroller, except as otherwise provided herein, within 3 years after the right to such refund shall have accrued else such right shall be barred." Three years is generally interpreted as meaning three years from the date of payment into the State treasury. The Comptroller has delegated the authority to accept applications for refund to the unit of State government which initially collected the money.

Pursuant to the provisions of Rule 3A-44.020, Florida Administrative Code, and Section 215.26, Florida Statutes, or Section _____, Florida Statutes, I hereby apply for a refund of moneys I paid into the State treasury, which are subject to refund. The following information is submitted to substantiate the claim.

Name: Lieber II Corp. EIN or SS#: 56-1872375

Address: Two First Union Center 0200
Charlotte, NC 28288-0200

Amount: 225 Date Paid 8-6-96
Reason for claim: F95000003843 - duplicate
check of the AR

Certified true and correct this 26 day of Nov, 19 96.

Signature [Signature]

* Must be completed if authority is other than Section 215.26, Florida Statutes.

For Agency Use Only

Agency recommends approval of above claim and submits the following information to substantiate the claim: Amount of recommended refund \$ 225

The amount requested above was originally deposited into the State Treasury, as a part of the funds deposited on State Treasurer's Receipt No. 97602 020 dated 8-6-96

Name of Account

4520213000145300000000010000

Statutory Authority for Collection

607

It is requested that payment be made from the following account:

NAME OF ACCOUNT:

45202130001453000000022002000

Certified true and correct this _____ day of _____, 19 _____

Department of State, Division of Corporations

(Agency)

(Authorized Signature and Title)