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TRANSMITTAL LETTER

TO: QUALIFICATION/TAX LIEN SECTION  
DIVISION OF CORPORATIONS

600001553876  
-09/07/95--01018--010  
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SUBJECT: W.J. Gallagher & Company, Inc.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Trish Herman  
(Name of Person)

W.J. Gallagher & Company, Inc.  
(Firm/Company)

1715 North Westshore Boulevard Suite 775  
(Address)

Tampa, Florida 33607  
(City, State and Zip Code)

Should you need to call someone concerning this matter, please call:

Trish Herman at ( 813 ) 282 0808  
(Name of Person) Area Code & Daytime Telephone Number

COURIER ADDRESS:

Qualification/Tax Lien Sec.  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

MAILING ADDRESS:

Qualification/Tax Lien Sec.  
Division of Corporations  
P. O. Box 6327  
Tallahassee, FL 32314

will wait  
8/7  
RECEIVED  
DIVISION OF CORPORATIONS  
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APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO  
TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS  
SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE  
STATE OF FLORIDA:

1. W. J. Gallagher & Company, Inc.  
(Name of corporation: must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or  
abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person  
or partnership if not so contained in the name at present.)

2. California 3. \_\_\_\_\_  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. MAY 22 1981 5. Perpetual  
(Date of Incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. June 1995  
(Date first transacted business in Florida. (See sections 607.1501, 607.1502, and 617.155, F.S.))

7. 1715 North Westshore Boulevard Suite 775  
Tampa, Florida 33607  
(Current mailing address)

8. Investment Banking, retail investment securities  
(Purpose(s) of corporation authorized in home state or country to be carried out in the state of Florida)

9. Name and street address of Florida registered agent:

Name: Patricia A. Herman

Office Address: 1715 North Westshore Boulevard Suite 775  
Tampa, Florida, 33607  
(Zip Code)

10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated  
corporation at the place designated in this application, I hereby accept the appointment as  
registered agent and agree to act in this capacity. I further agree to comply with the provisions  
of all statutes relative to the proper and complete performance of my duties, and I am familiar  
with and accept the obligations of my position as registered agent.*

Patricia Herman  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to  
delivery of this application to the Department of State, by the Secretary of State or other official  
having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and addresses of officers and/or directors: (Street address ONLY- P. O. Box NOT acceptable)

A. DIRECTORS (Street address only- P. O. Box NOT acceptable)

Chairman: William J. Gallagher, Sr.  
Address: 747 East Green Street Suite 101  
Pasadena, California 91101  
Vice Chairman: None  
Address: \_\_\_\_\_

Director: William J. Gallagher, Sr.  
Address: 747 East Green Street Suite 101  
Pasadena, California 91101  
Director: William J. Gallagher, Jr.  
Address: 747 East Green Street Suite 101  
Pasadena, California 91101

B. OFFICERS (Street address only- P. O. Box NOT acceptable)

President: William J. Gallagher Sr.  
Address: 747 East Green Street Suite 101  
Pasadena, California  
Vice President: Norman Gopoznik } 747 East Green St.  
Address: Robert Evans } Suite 101  
George Hentos } Pasadena, Ca 91101  
Secretary: Mrs. Ethel E. Gallagher  
Address: 747 East Green Street  
Suite 101 Pasadena Ca 91101  
Treasurer: Mrs. Ethel E. Gallagher  
Address: 747 East Green Street Suite 101  
Pasadena, California 91101

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. William J. Gallagher  
(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)  
14. William J. Gallagher, Chairman  
(Typed or printed name and capacity of person signing application)

# State of California

SECRETARY OF STATE

## CERTIFICATE OF STATUS DOMESTIC CORPORATION

I, **BILL JONES**, Secretary of State of the State of California, hereby certify:

That on the 22nd day of May, 19 81

W.J.GALLAGHER & COMPANY, INC.

became incorporated under the laws of the State of California by filing its Articles of Incorporation in this office; and

That no record exists in this office of a certificate of dissolution of said corporation nor of a court order declaring dissolution thereof, nor of a merger or consolidation which terminated its existence; and

That said corporation's corporate powers, rights and privileges are not suspended on the records of this office; and

That according to the records of this office, the said corporation is authorized to exercise all its corporate powers, rights and privileges and is in good legal standing in the State of California; and

That no information is available in this office on the financial condition, business activity or practices of this corporation.

IN WITNESS WHEREOF, I execute this  
certificate and affix the Great Seal  
of the State of California this  
4th day of August 1995



*Bill Jones*  
BILL JONES  
Secretary of State

# F950000003790

W.J. Gallagher & Company, Inc.  
(Requestor's Name)  
44 Montgomery St., 7th Fl.  
(Address)  
San Francisco, CA 94104  
(City, State, Zip) (Phone #)

OFFICE USE ONLY

## CORPORATION NAME(S) & DOCUMENT NUMBER(S) (if known):

1. \_\_\_\_\_  
(Corporation Name) (Document #)
2. \_\_\_\_\_  
(Corporation Name) (Document #)
3. \_\_\_\_\_  
(Corporation Name) (Document #)
4. \_\_\_\_\_  
(Corporation Name) (Document #)

- ☐ Walk in ☐ Pick up time \_\_\_\_\_ ☐ Certified Copy  
☐ Mail out ☐ Will wait ☐ Photocopy ☐ Certificate of Status

NEW FILINGS	
<input type="checkbox"/>	Profit
<input type="checkbox"/>	NonProfit
<input type="checkbox"/>	Limited Liability
<input type="checkbox"/>	Domestication
<input type="checkbox"/>	Other

AMENDMENTS	
<input type="checkbox"/>	Amendment
<input type="checkbox"/>	Resignation of R.A., Officer/Director
<input type="checkbox"/>	Change of Registered Agent
<input checked="" type="checkbox"/>	Dissolution/Withdrawal
<input type="checkbox"/>	Merger

OTHER FILINGS	
<input type="checkbox"/>	Annual Report
<input type="checkbox"/>	Fictitious Name
<input type="checkbox"/>	Name Reservation

REGISTRATION/ QUALIFICATION	
<input type="checkbox"/>	Foreign
<input type="checkbox"/>	Limited Partnership
<input type="checkbox"/>	Reinstatement
<input type="checkbox"/>	Trademark
<input type="checkbox"/>	Other

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SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
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CR2E031(10/92)

Examiner's Initials

APPLICATION BY FOREIGN CORPORATION FOR WITHDRAWAL  
OF AUTHORITY TO TRANSACT BUSINESS OR CONDUCT AFFAIRS  
IN FLORIDA

W.J. Gallanher & Company, Inc. (document number F95000003790 )  
(Name of Corporation)

California  
(Incorporated Under Laws Of)

This corporation is no longer transacting business or conducting affairs within the State of Florida and hereby voluntarily surrenders its authority to transact business or conduct affairs in Florida.

This corporation revokes the authority of its registered agent in Florida to accept service on its behalf and appoints the Department of State as its agent for service of process based on a cause of action arising during the time it was authorized to transact business or conduct affairs in Florida.

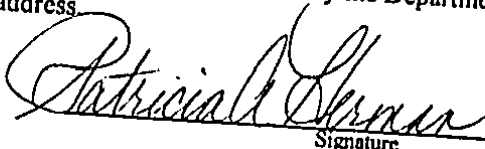
The following is a current mailing address to which the Department of State may mail a copy of any process against this corporation that may be served on the Department.

44 Montgomery Street, Twenty Fourth Floor  
(Mailing Address)

San Francisco, California 94104  
(City/State/Zip)

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DIVISION OF CORPORATIONS  
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The corporation agrees to notify the Department of State in the future of any change in its mailing address.



Signature

Vice President, Director of Administration  
Title

Patricia A. Herman  
Typed or printed name

December 19, 1995  
Date