

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 22, 2002 8:00 am
Secretary of State

04-22-2002 90327 001 ***150.00

DOCUMENT # F95000003664

1. Entity Name

WEXFORD CLEARING SERVICES CORPORATION

Principal Place of Business

**ONE NEW YORK PLAZA
 11TH FLOOR
 NEW YORK NY 10292-2011**

Mailing Address

**MAGUIRE, K.
 ONE SEAPORT PLAZA 29 FLOOR 31ST FLOOR
 NEW YORK NY 10292-0131
 US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

13-3804783

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
 Fee Required

6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM
 1200 SOUTH PINE ISLAND ROAD
 PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) **xxx**

**FILE NOW!!! FEE IS \$150.00
 After May 1, 2002 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing
 Trust Fund Contribution. ☐

\$5.00 May Be
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
 NAME
 STREET ADDRESS
 CITY - ST - ZIP
**CEO
 KARICH, JOSEPH F.
 1 NEW YORK PLAZA
 NEW YORK NY 10292-2010** ☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY - ST - ZIP
☐ Change ☐ Addition

TITLE
 NAME
 STREET ADDRESS
 CITY - ST - ZIP
**P
 SCHLITZER, EDWARD
 ONE NEW YORK PLAZA
 NEW YORK NY** ☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY - ST - ZIP
☐ Change ☐ Addition

TITLE
 NAME
 STREET ADDRESS
 CITY - ST - ZIP
**V
 PATRICOLA, PETER
 ONE SEAPORT PLAZA
 NEW YORK NY** ☒ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY - ST - ZIP
**CFO & Director
 William J. Horan
 One Seaport Plaza
 New York, N.Y. 10292** ☐ Change ☒ Addition

TITLE
 NAME
 STREET ADDRESS
 CITY - ST - ZIP
**D
 CROWLEY, KENNETH P
 1 NEW YORK PLAZA
 NEW YORK NY 10292** ☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY - ST - ZIP
☐ Change ☐ Addition

TITLE
 NAME
 STREET ADDRESS
 CITY - ST - ZIP
☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY - ST - ZIP
☐ Change ☐ Addition

TITLE
 NAME
 STREET ADDRESS
 CITY - ST - ZIP
☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY - ST - ZIP
☐ Change ☐ Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED

April

2002

212 214 6429

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (9/01)

Attachment

DOC# F95000003664
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WEXFORD CLEARING SERVICES CORPORATION

OFFICERS AND DIRECTORS AS OF APRIL, 2002

JOSEPH F. KARCICH
CHAIRMAN OF THE BOARD
DIRECTOR

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292 2011

KENNETH P. CROWLEY
DIRECTOR

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

EDWARD SCHLITZER
PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

WILLIAM J. HORAN
CHIEF FINANCIAL OFFICER
DIRECTOR

ONE SEAPORT PLAZA
NEW YORK, N. Y. 10292-0128

ROBERT J. TROIANO JR.
TREASURER

ONE SEAPORT PLAZA
NEW YORK, N. Y. 10292-0128

PATRICIA A. JAMISON
CHIEF OPERATING OFFICER
EXECUTIVE VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

THOMAS S. DILLON
EXECUTIVE VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

MICHAEL A. BELKIN
SENIOR VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

ALBERT CAIAZZO
SENIOR VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

GEORGE G. N. CALLEIA
SENIOR VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

CARLO FRANZESE
SENIOR VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

RICHARD GILL
SENIOR VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

ROBERT E. LINO
SENIOR VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

JOHN A. MESSINA
SENIOR VICE PRESIDENT

ONE NEW YORK PLALZA
NEW YORK, N. Y. 10292-2011

JOSEPH SISTI
SENIOR VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

THOMAS J. SKIBICKI
SENIOR VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

RUDOLPH R. VERRA
SENIOR VICE PREISDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

WILLIAM E. MC CUSKER
FIRST VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

GRACE L. SPIVEY
FIRST VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

Attachment
DOC# F9500000 3664
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LEONARD BIALOUS
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

RALPH BRUGUERAS
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

THOMAS E. CAVAGNARO
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

TODD A. DE STAEBLER
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

FREDERICK C. KLOSS
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

GLADYS C. MASIELLO
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

DONNA L. MILLS
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N. Y. 10292-2011

JOHN F. SULLIVAN
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

FRANK T. TRULBY
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

PAUL WALDMAN
SECRETARY

ONE SEAPORT PLAZA
NEW YORK, N. Y. 10292-0129

NOAH D. SORKIN
ASSISTANT SECRETARY

ONE SEAPORT PLAZA
NEW YORK, N. Y. 10292-0129

KATHLEEN C. HOFFMAN
ASSISTANT TREASURER

ONE SEAPORT PLAZA
NEW YORK, N. Y. 10292-0128