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May 05 1997 8:00am
Secretary of State

PROFIT
CORPORATION
ANNUAL REPORT
1997



FLORIDA DEPARTMENT OF STATE
Sandra B. Northam
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # F95000003664 (8)

1. Corporation Name
WEXFORD CLEARING SERVICES CORPORATION

Principal Place of Business
ONE NEW YORK PLAZA
11TH FLOOR
NEW YORK NY 10282-2011

Mailing Address
ONE NEW YORK PLAZA
11TH FLOOR
NEW YORK NY 10004-1801

3. Date Incorporated or Qualified 07/28/1995
3a. Date of Last Report 04/26/1996

2. Principal Place of Business

2a. Mailing Address
K. Maguire

4. FEI Number
13-3804783

Applied For
Not Applicable

Suite, Apt. #, etc.

Suite, Apt. #, etc.
One Seaport Plaza.

5. Certificate of Status Desired ☐ \$8.75 Additional
Fee Required

City & State

City & State
New York, N.Y.

6. Election Campaign Financing
Trust Fund Contribution ☐ \$5.00 May Be
Added to Fees

Zip

Country

Zip

Country

8. This corporation has liability for intangible tax under s. 199.032,
Florida Statutes ☒ Yes ☐ No

24

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28

10292-0129

30

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324

61 Name

62 Street Address (P.O. Box Number is Not Acceptable)

63

64 City

FL

65 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
CD
GOLDEN, ROBERT C
ONE NEW YORK PLAZA
NEW YORK NY
☐ DELETE

1.1 TITLE
1.2 NAME
1.3 STREET ADDRESS
1.4 CITY - ST - ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
P
SCHLITZER, EDWARD
ONE NEW YORK PLAZA
NEW YORK NY
☐ DELETE

2.1 TITLE
2.2 NAME
2.3 STREET ADDRESS
2.4 CITY - ST - ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
T
CASTAGNA, ELIZABETH W
ONE SEAPORT PLAZA
NEW YORK NY
☐ DELETE

3.1 TITLE
3.2 NAME
3.3 STREET ADDRESS
3.4 CITY - ST - ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
V
PATRICOLA, PETER
ONE SEAPORT PLAZA
NEW YORK NY
☐ DELETE

4.1 TITLE
4.2 NAME
4.3 STREET ADDRESS
4.4 CITY - ST - ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
S
MAIO, NATHALIE P
ONE SEAPORT PLAZA
NEW YORK NY
☐ DELETE

5.1 TITLE
5.2 NAME
5.3 STREET ADDRESS
5.4 CITY - ST - ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY - ST - ZIP
D
HOGAN, ALAN D
ONE SEAPORT PLAZA
NEW YORK NY
☐ DELETE

6.1 TITLE
6.2 NAME
6.3 STREET ADDRESS
6.4 CITY - ST - ZIP
☐ Change ☐ Addition

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

Nathalie P. Maio

4/16/97

212 214 1029

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Nathalie P. Maio - Secretary

Date

Daytime Phone

0004648

CR2E034 (9/96)

WEXFORD CLEARING SERVICES CORPORATION
OFFICERS AND DIRECTORS AS OF APRIL 1, 1997

ROBERT C. GOLDEN
CHAIRMAN OF THE BOARD
AND DIRECTOR

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

ALAN D. HOGAN
DIRECTOR

ONE SEAPORT PLAZA
NEW YORK, N.Y. 10292-0134

LEE B. SPENCER, JR.
DIRECTOR

ONE SEAPORT PLAZA
NEW YORK, N.Y. 10292-0134

EDWARD SCHLITZER
PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

WILLIAM J. HORAN
CHIEF FINANCIAL OFFICER

ONE SEAPORT PLAZA
NEW YORK, N.Y. 10292-0128

ELIZABETH W. CASTAGNA
TREASURER

ONE SEAPORT PLAZA
NEW YORK, N.Y. 10292-0128

PATRICIA A. JAMISON
CHIEF OPERATING OFFICER
& EXECUTIVE VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

RUDOLPH R. VERRA
SENIOR VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2003

ALBERT CAIAZZO
SENIOR VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

RICHARD H. GILL
SENIOR VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

MICHAEL A. BELKIN
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

GEORGE G. N. CALLEI
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

JOHN P. FOLEY
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

CARLO FRANZESE
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

WILLIAM E. MC CUSKER
VICE PRESIDENT

ONE NEW YORK PLAZA
NEW YORK, N.Y. 10292-2011

ROBERT T. NEUMAYER, JR.
VICE PRESIDENT

PETER PATRICOLA
VICE PRESIDENT

NEIL SHAUGHNESSY
VICE PRESIDENT

JOHN A. TABACCO
VICE PRESIDENT

NATHALIE P. MAIO
SECRETARY

SARAH LOUISE-OTAZO
ASSISTANT TREASURER

ROBERT TROIANO
ASSISTANT TREASURER

141 WEST JACKSON BLVD.
CHICAGO, ILLIONIS 60604

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