

2000 UNIFORM BUSINESS REPORT (UBR)

FILED
Jan 26, 2000 8:00 am
Secretary of State

01-26-2000 90200 019 ***150.00

DOCUMENT # F95000002683

1. Entity Name

TRANSAMERICA SECURITIES SALES CORPORATION

Principal Place of Business

Mailing Address

P.O. BOX 512598
LOS ANGELES CA 90051

P.O. BOX 512598
LOS ANGELES CA 90051-1598

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

95-4044525

Applied For
Not Applicable

5. Certificate of Status Desired ☐

**\$8.75 Additional
Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
After MAY 1, 2000 Fee will be \$550.00
Make Check Payable to Department of State**

10. Election Campaign Financing
Trust Fund Contribution. ☐

**\$5.00 May Be
Added to Fees**

11. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD KELLEY, BARBARA A 1150 SO. OLIVE ST. LOS ANGELES CA	☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V TRIVERS, DAN 1150 SO. OLIVE ST. LOS ANGELES CA	☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V BAIR, NICKI 1150 SO. OLIVE ST. LOS ANGELES CA	☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V SHAW, CHRISTOPHER 1150 SO. OLIVE ST. LOS ANGELES CA	☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	T TANG, BEN 1150 SO. OLIVE ST. LOS ANGELES CA	☒ Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Delete

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	President & Director Nicki Bair 1150 S. Olive Street Los Angeles, CA 90015	☒ Change ☒ Add
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VP & Treasurer & Director Sandra Brown 1150 S. Olive Street Los Angeles, CA 90015	☒ Change ☒ Add
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Vice President Christopher Shaw 1150 S. Olive Street Los Angeles, CA 90015	☒ Change ☒ Add
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Secretary Regina Fink 1150 S. Olive, Street Los Angeles, CA 90015	☐ Change ☒ Add
TITLE NAME STREET ADDRESS CITY-ST-ZIP	George Chuang Vice President & Chief Financial Office 1150 S. Olive Street Los Angeles, CA 90015	☒ Change ☒ Add
TITLE NAME STREET ADDRESS CITY-ST-ZIP		☐ Change ☐ Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Christopher Shaw

Christopher Shaw-Vice President

Jan 17, 2000

213-742-3295

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

**TRANSAMERICA SECURITIES SALES CORP.
OFFICERS AND DIRECTORS**

Name	OFFICE	RESIDENCE	BUSINESS	CRD	Effective Date
Nicki Bair	President & Director	17199 Palisades Circle Pacific Palisades, CA 90272	1150 S. Olive St. Los Angeles, CA 90015	1645905	04/01/99
Sandra C. Brown	Senior Vice President & Treasurer & Director	1591 Clear View Drive Beverly Hills, CA 90210	1150 S. Olive St. Los Angeles, CA 90015	2088836	04/01/99
George Chuang	Vice President & Chief Financial Officer	16330 Fieldcrest Court La Mirada, CA 91770	1150 S. Olive St. Los Angeles, CA 90015	3162477	04/01/99
Christopher Shaw	Vice President & Chief Compliance Officer	15451 Turquoise Circle N. Chino Hills, CA 91709	1150 S. Olive St. Los Angeles, CA 90015	2514269	04/01/99
Regina M. Fink	Secretary	913 17th St. #4 Santa Monica, CA 90403	1150 S. Olive St. Los Angeles, CA 90015	none	04/01/94
Milan Konkol	Compliance Officer	10619 Samoa Avenue Seven Hills, CA 91042	1150 S. Olive St. Los Angeles, CA 90015	2476590	04/01/99
Norruddin Veejee	Director	9848 Tabor St. Apt 229 Los Angeles, CA 90034	1150 S. Olive St. Los Angeles, CA 90015	1645705	03/01/95
Roy Chong-Kit	Director	1737 Stoner Avenue, #18 Los Angeles, CA 90025	1150 S. Olive St. Los Angeles, CA 90015	None	04/01/99

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