

**2008 FOR PROFIT CORPORATION
ANNUAL REPORT**

FILED
Apr 24, 2008 8:00 am
Secretary of State

04-24-2008 90115 021 ***150.00

DOCUMENT # F95000002325	
1. Entity Name W & S FINANCIAL GROUP DISTRIBUTORS, INC.	
	
Principal Place of Business 303 BROADWAY STE 1100 CINCINNATI, OH 45202 US	Mailing Address POB 1075 CINCINNATI, OH 45201-1075 US

40080179



04142008 No Chg-P CR2E034 (11/05)

DO NOT WRITE IN THIS SPACE

4. FEI Number 31-1334221	Applied For Not Applicable
-----------------------------	-------------------------------

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324

**DO NOT WRITE
IN THIS SPACE**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE _____

**FILE NOW!!! FEE IS \$150.00
After May 1, 2008 Fee will be \$550.00**

9. Election Campaign Financing
Trust Fund Contribution. ☐

**\$5.00 May Be
Added to Fees**

10. OFFICERS AND DIRECTORS

TITLE	PD
NAME	CANER, MARK E
STREET ADDRESS	400 BROADWAY
CITY-ST-ZIP	CINCINNATI, OH 45202
TITLE	VP
NAME	TAULBEE, RICHARD
STREET ADDRESS	400 BROADWAY
CITY-ST-ZIP	CINCINNATI, OH 45202
TITLE	V
NAME	WATERS, JAMES R JR
STREET ADDRESS	221 EAST FOURTH, SUITE 300
CITY-ST-ZIP	CINCINNATI, OH 45202
TITLE	D
NAME	WUEBBLING, DONALD J
STREET ADDRESS	400 BROADWAY
CITY-ST-ZIP	CINCINNATI, OH 45202
TITLE	VPT
NAME	VANCE, JAMES J
STREET ADDRESS	400 BROADWAY
CITY-ST-ZIP	CINCINNATI, OH 45202
TITLE	VPC
NAME	HEENAN, EDWARD S
STREET ADDRESS	400 BROADWAY
CITY-ST-ZIP	CINCINNATI, OH 45202

**DO NOT WRITE
IN THIS SPACE**

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: _____

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

4/14/08 513-629-2121

ATTACHMENT 40080179

#F95000002325

W & S Financial Group Distributors Inc.

Listing of Additional Directors:

Jill T. McGruder	303 Broadway, Ste 1100	Cincinnati, Oh 45202
James N. Clark	400 Broadway	Cincinnati, Oh 45202
Edward S. Heenan	400 Broadway	Cincinnati, Oh 45202
Donald J. Wuebbeling	400 Broadway	Cincinnati, Oh 45202

Listing of Additional Officers:

President	Mark E. Caner	303 Broadway, Ste 1100	Cincinnati, Oh 45202
Sr. V.P. & Product Manag. & Conserv	Scott Edblom	303 Broadway, Ste 1100	Cincinnati, Oh 45202
Vice President & Controller	Edward S. Heenan	400 Broadway	Cincinnati, Oh 45202
Vice President	Richard K. Taulbee	400 Broadway	Cincinnati, Oh 45202
Vice President & Treasurer	James J. Vance	400 Broadway	Cincinnati, Oh 45202
Assistant Vice President	William R. Skidmore	303 Broadway, Ste 1100	Cincinnati, Oh 45202
National Accounting Director	James R. Waters	303 Broadway, Ste 1100	Cincinnati, Oh 45202
Director Licensing & Compliance	Patricia J. Wilson	303 Broadway, Ste 1100	Cincinnati, Oh 45202
Assistant Treasurer	Douglas B. Perry	400 Broadway	Cincinnati, Oh 45202
Asst. Treasurer	Timothy D. Speed	400 Broadway	Cincinnati, Oh 45202
Assistant Treasurer	Cheryl J. Stotts	400 Broadway	Cincinnati, Oh 45202
Secretary	Rhonda S. Malone	400 Broadway	Cincinnati, Oh 45202