

2007 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Apr 27, 2007 8:00 am
Secretary of State

04-27-2007 90178 018 ***150.00

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04172007 Chg-P CR2E034 (12/06)

4. FEI Number
31-1334221
Applied For
Not Applicable

5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324

7. Name and Address of New Registered Agent

Name
Street Address (P.O. Box Number is Not Acceptable)
City
FL Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____
Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE

FILE NOW!!! FEE IS \$150.00
After May 1, 2007 Fee will be \$550.00

9. Election Campaign Financing
Trust Fund Contribution. ☐ \$5.00 May Be Added to Fees

10. OFFICERS AND DIRECTORS

TITLE	PD	☒ Delete
NAME	MCGRUDER, JILL T	
STREET ADDRESS	221 E FOURTH STE 300	
CITY-ST-ZIP	CINCINNATI, OH 45202	
TITLE	VP	☐ Delete
NAME	TAULBEE, RICHARD	
STREET ADDRESS	400 BROADWAY	
CITY-ST-ZIP	CINCINNATI, OH 45202	
TITLE	V	☐ Delete
NAME	WATERS, JAMES R JR	
STREET ADDRESS	221 EAST FOURTH, SUITE 300	
CITY-ST-ZIP	CINCINNATI, OH 45202	
TITLE	D	☐ Delete
NAME	WUEBBLING, DONALD J	
STREET ADDRESS	400 BROADWAY	
CITY-ST-ZIP	CINCINNATI, OH 45202	
TITLE	VPT	☐ Delete
NAME	VANCE, JAMES J	
STREET ADDRESS	400 BROADWAY	
CITY-ST-ZIP	CINCINNATI, OH 45202	
TITLE	VPC	☐ Delete
NAME	HEENAN, EDWARD S	
STREET ADDRESS	400 BROADWAY	
CITY-ST-ZIP	CINCINNATI, OH 45202	

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE	PRESIDENT	☐ Change ☐ Addition
NAME	MARK E. CANER	
STREET ADDRESS	400 BROADWAY	
CITY-ST-ZIP	CINCINNATI OH 45202	
TITLE		☐ Change ☐ Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		☐ Change ☐ Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		☐ Change ☐ Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Mark E. Caner
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date 4/17/07 Daytime Phone # 513-629-2121

ATTACHMENT
40885033
495000002325
W & S Financial Group Distributors Inc.

Listing of Additional Directors:

Jill T. McGruder	303 Broadway, Ste 1100	Cincinnati, Oh 45202
James N. Clark	400 Broadway	Cincinnati, Oh 45202
Edward S. Heenan	400 Broadway	Cincinnati, Oh 45202
Donald J. Wuebbeling	400 Broadway	Cincinnati, Oh 45202

Listing of Additional Officers:

President	Mark E. Caner	303 Broadway, Ste 1100	Cincinnati, Oh 45202
Vice President & Controller	Edward S. Heenan	400 Broadway	Cincinnati, Oh 45202
Vice President	Richard K. Taulbee	400 Broadway	Cincinnati, Oh 45202
Vice President & Treasurer	James J. Vance	400 Broadway	Cincinnati, Oh 45202
Assistant Vice President	William R. Skidmore	303 Broadway, Ste 1100	Cincinnati, Oh 45202
National Accounting Director	James R. Waters	303 Broadway, Ste 1100	Cincinnati, Oh 45202
Director Licensing & Compliance	Patricia J. Wilson	303 Broadway, Ste 1100	Cincinnati, Oh 45202
Assistant Treasurer	Douglas B. Perry	400 Broadway	Cincinnati, Oh 45202
Asst. Treasurer	Timothy D. Speed	400 Broadway	Cincinnati, Oh 45202
Assistant Treasurer	Cheryl J. Stotts	400 Broadway	Cincinnati, Oh 45202
Secretary	Rhonda S. Malone	400 Broadway	Cincinnati, Oh 45202