

2004 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
May 06, 2004 8:00 am
Secretary of State

05-06-2004 90191 027 ***150.00

DOCUMENT # F95000002325

1. Entity Name
W & S FINANCIAL GROUP DISTRIBUTORS, INC.



Principal Place of Business
**221 E FOURTH
SUITE 300
CINCINNATI, OH 45202 US**

Mailing Address
**221 E FOURTH
SUITE 300
CINCINNATI, OH 45202 US**

44045074



2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

04192004

Chg-P

CR2E034 (10/03)

City & State

City & State

4. FEI Number

31-1334221

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐

**\$8.75 Additional
Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00
After May 1, 2004 Fee will be \$550.00**

9. Election Campaign Financing
Trust Fund Contribution. ☐

**\$5.00 May Be
Added to Fees**

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE PD
NAME MCGRUDER, JILL T ☐ Delete
STREET ADDRESS 221 E FOURTH STE 300
CITY-ST-ZIP CINCINNATI, OH 45202

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE V
NAME TAULBEE, RICHARD ☐ Delete
STREET ADDRESS 400 BROADWAY
CITY-ST-ZIP CINCINNATI, OH

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE V
NAME WATERS, JAMES R JR ☐ Delete
STREET ADDRESS 311 PIKE STREET
CITY-ST-ZIP CINCINNATI, OH

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE D
NAME WUEBBLING, DONALD J ☐ Delete
STREET ADDRESS 400 BROADWAY
CITY-ST-ZIP CINCINNATI, OH

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE T
NAME VANCE, JAMES J ☐ Delete
STREET ADDRESS 400 BROADWAY
CITY-ST-ZIP CINCINNATI, OH 45202

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE D
NAME LEDWIN, WILLIAM ☒ Delete
STREET ADDRESS 400 BROADWAY
CITY-ST-ZIP CINCINNATI, OH

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/19/04

513-629-1426

Date

Daytime Phone #

Attachment

44045074

F95000002325-

W & S Financial Group Distributors, Inc

Listing of Additional Directors:

James N. Clark	400 Broadway	Cincinnati, Oh 45202
Jill T. McGruder	221 East Fourth Suite 300	Cincinnati, Oh 45202
Edward S. Heenan	400 Broadway	Cincinnati, Oh 45202
Donald J. Wuebbeling	400 Broadway	Cincinnati, Oh 45202

Listing of Additional Officers:

President	Jill T. McGruder	221 East Fourth Suite 300	Cincinnati, Oh 45202
Vice President & Controller	Edward S. Heenan	400 Broadway	Cincinnati, Oh 45202
Vice President	Richard K. Taulbee	400 Broadway	Cincinnati, Oh 45202
Vice President	James L. LaRue	7550 St. Marlo CC Pkwy	Duluth, GA 30136
Vice President & Treasurer	James J. Vance	400 Broadway	Cincinnati, Oh 45202
National Accounting Director	James R. Waters	221 East Fourth Suite 300	Cincinnati, Oh 45202
Director Licensing & Comp	Patricia J. Wilson	221 East Fourth Suite 300	Cincinnati, Oh 45202
Secretary	Robert F. Morand	400 Broadway	Cincinnati, Oh 45202
Asst. Treasurer	Timothy D. Speed	400 Broadway	Cincinnati, Oh 45202
Asst. Treasurer	Elaine M. Reuss	401 Broadway	Cincinnati, Oh 45203