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Jan 17 1997 8:00am
Secretary of State

PROFIT
CORPORATION
ANNUAL REPORT
1997



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # F95000002149 (1)

1. Corporation Name

UBL FINANCIAL CORPORATION



Principal Place of Business

12636 HIGH BLUFF DR., STE. 100
SAN DIEGO CA 92130

Mailing Address

12636 HIGH BLUFF DR., STE. 100
SAN DIEGO CA 92130-2071

3. Date Incorporated or Qualified

05/02/1995

3a. Date of Last Report

04/03/1996

2. Principal Place of Business

21

Suite, Apt. #, etc.

22

City & State

23

Zip

Country

24

2a. Mailing Address

26

Suite, Apt. #, etc.

27

City & State

28

Zip

Country

29

30

4. FEI Number

84-1165460

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution

☐

\$5.00 May Be
Added to Fees

8. This corporation has liability for intangible tax under s. 199.032,
Florida Statutes

☐ Yes

☒ No

9. Name and Address of Current Registered Agent

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE DC ☐ DELETE

NAME GREENBERG, HERBERT L
STREET ADDRESS 327 PACIFIC AVE.
CITY-ST-ZIP SOLANA BEACH CA 92075

TITLE DV ☐ DELETE

NAME GREENBERG, DANIEL J
STREET ADDRESS 12636 HIGH BLUFF DR., STE. 100
CITY-ST-ZIP SAN DIEGO CA 92130

TITLE DAS ☐ DELETE

NAME KING, FRANCIS P ESQ.
STREET ADDRESS 1680 LINCOLN ST., #2900
CITY-ST-ZIP DENVER CO 80264

TITLE D ☒ DELETE

NAME TOMPKINS, JAMES R
STREET ADDRESS 87 NEWTON LANE
CITY-ST-ZIP EAST HAMPTON NY 11937

TITLE V ☐ DELETE

NAME BRENNAN, JOSEPH E
STREET ADDRESS 2014 SEA VILLAGE CIRCLE
CITY-ST-ZIP CARDIFF CA 92007

TITLE V ☒ DELETE

NAME MEISTER, ALAN J
STREET ADDRESS 12636 HIGH BLUFF DR., STE. 100
CITY-ST-ZIP SAN DIEGO CA 92130

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE DIRECTOR ☒ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY-ST-ZIP

2.1 TITLE PRESIDENT ☒ Change ☐ Addition

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY-ST-ZIP

3.1 TITLE DIRECTOR ☒ Change ☐ Addition

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY-ST-ZIP

4.1 TITLE ☐ Change ☐ Addition

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

5.1 TITLE ☐ Change ☐ Addition

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

6.1 TITLE CHIEF FINANCIAL OFFICER ☐ Change ☒ Addition

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

ROBERT A. BEREY
12636 HIGH BLUFF DRIVE, SUITE 100
SAN DIEGO, CA 92130

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 or on an attachment with an address.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

DANIEL J. GREENBERG

01/08/97

(619)793-4911

0903206

CR2E034 (9/96)