

PLEASE READ ALL INSTRUCTIONS BEFORE COMPLETING THIS FORM.

**CORPORATION
REINSTATEMENT**



FLORIDA DEPARTMENT OF STATE
Secretary of State
DIVISION OF CORPORATIONS

FILED
06 JUN -5 AM 10:57
SECRET
TALLAHASSEE, FLORIDA

DOCUMENT # **F94000005533**

1. Corporation Name

TD Securities (USA) Inc.

2. Principal Office Address

31 West 52nd Street

Suite, Apt. #, etc.

21 st Floor

City & State

New York NY

Zip

10019

Country

USA

3. Mailing Office Address

31 West 52nd Street

Suite, Apt. #, etc.

21st Floor

City & State

New York NY

Zip

10019

Country

USA

REINSTATEMENT

00-06

4. Date Incorporated or Qualified
To Do Business in Florida **10/25/1994**

5. FEI Number

222203727

Applied For

Not Applicable

6. CERTIFICATE OF STATUS DESIRED ☒

\$8.75 Additional Fee required
for a Certificate of Status

7. Name and Address of Current Registered Agent

Name

NRAI Services, Inc.

Street Address (P.O. Box Number is Not Acceptable)

2731 Executive Park Drive, Suite 4

Suite, Apt. #, Etc.

City

Weston

State

FL

Zip Code

33331

8. I, being appointed the registered agent of the above named corporation, am familiar with and accept the obligations of section 607.0505 or 617.0503, F.S.

Signature of
Registered Agent

NRAI Services, Inc.

By: **Christian Eubanks**

Christian Eubanks, Assistant Secretary

June 2, 2006

REGISTERED AGENT MUST SIGN

9. Names and Street Addresses of Each Officer and/or Director (Florida nonprofit corporations must list at least 3 directors)

Titles	Name of Officers and/or Directors	Street Address of Each Officer and/or Director	City / State / Zip
	See Attached List		

10. I certify that I am an officer or director or the receiver or trustee empowered to execute this application as provided for in chapter 607 or 617, F.S. I further certify that when filing this reinstatement application, the reason for dissolution has been eliminated, the corporate name satisfies the requirements of section 607.0401 or 617.0401, F.S., that all fees owed by the corporation have been paid and the names of individuals listed on this form do not qualify for an exemption contained in Chapter 119, F.S. The information indicated on this application is true and accurate, and my signature shall have the same legal effect as if made under oath.

SIGNATURE:

Robin Kraus

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

**Securities**

**TD SECURITIES (USA) LLC
MANAGING BOARD OF DIRECTORS AND
COMPANY OFFICERS**

April 30, 2006

<u>NAME</u>	<u>TITLE</u>	<u>BUSINESS ADDRESS</u>	<u>RESIDENCE</u>
TD Holdings II Inc. EIN: 20-1177269	Sole Member	31 West 52nd St New York, NY 10019	
O'Halloran, Brendan CRD: 2074290	CEO & Director	31 West 52 nd St. New York, NY 10019	259 Marvin Ridge Road New Canaan, CT 06840
Tripodi, Frank CRD: 1593106	CFO & Director	31 West 52 nd St. New York, NY 10019	7 Rebecca Drive Middletown, NJ 07748
Peacock, Jeffrey CRD: 1548052	Managing Director & Director	31 West 52 nd St. New York, NY 10019	12 Windsor Drive Livingston, NJ 07039
Robert O'Leary CRD: 2757246	Director	66 Wellington Street Toronto Ontario Canada	3660 Green Bower Ct Mississauga Canada L5L1P2
Kraus, Robin CRD: 1771485	Chief Compliance Officer & Assistant Secretary	31 West 52 nd St New York, NY 10019	145 E. 22 nd St. New York, NY 10010
Ferando, Elizabeth CRD: 3085288	Senior Legal Officer & Assistant Secretary	31 West 52 nd Street New York, NY 10019	2000 Broadway New York, NY 10023
Eide, Nadine CRD: 76382 Prints Only	Secretary	31 West 52 nd St. New York, NY 10019	5 Northwood Court Dix Hills, NY 10023