

# 2006 FOR PROFIT CORPORATION ANNUAL REPORT

**FILED**  
**May 09, 2006 8:00 am**  
**Secretary of State**

05-09-2006 90078 023 \*\*\*150.00

40089640



04202006 Chg-P CR2E034 (11/05)

<b>DOCUMENT # F94000005333</b> 1. Entity Name <b>HEARTHSTONE ADVISORS, INC.</b>					
Principal Place of Business <b>16133 VENTURA BLVD. STE 1400 ENCINO, CA 91436 US</b>			Mailing Address <b>16133 VENTURA BLVD. SUITE 1400 ENCINO, CA 91436</b>		
2. Principal Place of Business		3. Mailing Address			
Suite, Apt. #, etc.		Suite, Apt. #, etc.			
City & State		City & State		4. FEI Number <b>94-3160302</b>	
Zip		Country		Applied For Not Applicable	
Zip		Country		5. Certificate of Status Desired <input type="checkbox"/> <b>\$8.75</b> Additional Fee Required	
6. Name and Address of Current Registered Agent				7. Name and Address of New Registered Agent	
<b>NRAI SERVICES, INC. 2731 EXECUTIVE PARK DRIVE SUITE 4 WESTON, FL 33331</b>				Name Street Address (P.O. Box Number is Not Acceptable) City <b>FL</b> Zip Code	
8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.  SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) DATE _____					
<b>FILE NOW!!! FEE IS \$150.00 After May 1, 2006 Fee will be \$550.00</b>		9. Election Campaign Financing Trust Fund Contribution. <input type="checkbox"/> <b>\$5.00</b> May Be Added to Fees			
10. OFFICERS AND DIRECTORS			11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>COPD BRUIN, TOM 1250 GROVE AVENUE, SUITE 300 BARRINGTON, IL 60010</b> <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>CEOD PUGASH, JAMES Z 781 LINCOLN AVE., STE. 300 SAN RAFAEL, CA 94901</b> <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>SVPD PORATH, MARK 16133 VENTURA BLVD., #1400 ENCINO, CA 91436</b> <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>EVPD</b> <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>SVPD CARVER, TRACY 781 LINCOLN AVE., STE. 300 SAN RAFAEL, CA 94901</b> <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>EVPD</b> <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>SVPD BOTTE, ANTHONY 11455 EL CAMINO REAL SAN DIEGO, CA 92130</b> <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>See Attached List</b> <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition		
12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.					
SIGNATURE: _____		By: <b>Mark A. Porath, EVP/CFO 04/25/2006 818 385-0005</b> SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #			

## ATTACHMENT

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HEARTHSTONE, INC.

## Officers and Directors

Name	Officer	Director	Address
Jeffrey Barcy	Chief Strategic Officer	x	781 Lincoln Ave., Suite 300, San Rafael, CA 94901
Marcus Buerosse	Senior Vice President-Director of Due Diligence	x	220 North Smith Street, Suite 406, Palatine, IL 60067
Cynthia Gilmore	Senior Vice President		220 North Smith Street, Suite 406, Palatine, IL 60067
Mark Ausley	Vice President		16133 Ventura Blvd., Suite 1400, Encino, CA 91436
David Brittain	Vice President		16133 Ventura Blvd., Suite 1400, Encino, CA 91436
Lauren Boro	Vice President - Associate General Counsel		781 Lincoln Ave., Suite 300, San Rafael, CA 94901
Gregory Wasserman	Vice President - Assistant General Counsel		781 Lincoln Ave., Suite 300, San Rafael, CA 94901
Robert Meltz	Chief Technology Officer		16133 Ventura Blvd., Suite 1400, Encino, CA 91436
Nancy Nemecek	Vice President of Corporate Affairs		781 Lincoln Ave., Suite 300, San Rafael, CA 94901
Bryce Brunsting	Vice President of Due Diligence - Western Region		781 Lincoln Ave., Suite 300, San Rafael, CA 94901
Debra Vander Weit	Vice President, Investment Manager		220 North Smith Street, Suite 406, Palatine, IL 60067
Jeffrey Gertino	Vice President, Investment Manager		440 Stevens Avenue, Suite 100, San Diego, CA 92075
Jeremy Rogers	Vice President, Path of Growth		781 Lincoln Ave., Suite 300, San Rafael, CA 94901
Steve Black		x	4350 LaJolla Village Drive, 7th Floor, San Diego, CA 92122
Hamilton Loeb		x	875 15th Street NW, Washington, DC 20005
Bryan Bailey		x	9245 Los Lagos Circle, Granite Bay, CA 95746
Rick Van Duzer		x	235 Montgomery Street, San Francisco, CA 94104