

1 of 2

CORPORATION

1998-1999

UBR



FLORIDA DEPARTMENT OF STATE

Katherine Harris

Secretary of State

DIVISION OF CORPORATIONS

FILED

00 JUL 12 PM 2:29

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

DOCUMENT # F940000005194

1. Corporation Name

Coleman and Company Securities, Inc.

2. Principal Office Address

575 Lexington Ave

3. Mailing Office Address

575 Lexington Ave

Suite, Apt. #, etc.

14 th Floor

Suite, Apt. #, etc.

14th Floor

City & State

New York, NY

City & State

New York, NY

Zip

10022

Country

USA

Zip

10022

Country

USA

4. Date Incorporated or Qualified
To Do Business in Florida

5. FEI Number

13-3722027

Applied For

Not Applicable

6. CERTIFICATE OF STATUS DESIRED ☐\$8.75 Additional Fee required
for a Certificate of Status

7. Name and Address of Current Registered Agent

Name

Corporate Access, Inc.

Street Address (P.O. Box Number is Not Acceptable)

236 East 6th Avenue

000003349830-4

Suite, Apt. #, Etc.

08/08/00 01000 014
****300.00 ****300.00

City

Tallahassee

State
FLZip Code
32303

8. I, being appointed the registered agent of the above named corporation, am familiar with and accept the obligations of section 607.0503 or 617.0503, F.S.

Signature of

Registered Agent

Date

REGISTERED AGENT MUST SIGN

9. Names and Street Addresses of Each Officer and/or Director (Florida nonprofit corporations must list at least 3 directors)

Titles	Name of Officers and/or Directors	Street Address of Each Officer and/or Director	City / State / Zip
PRES CEO	Philip C. Puccio, SR.	575 Lexington Avenue	New York, NY 10022
CCO	James E. Port	575 Lexington Avenue	New York, NY 10022
CFO	Mark F. Broderick	575 Lexington Avenue	New York, NY 10022
EVP	Ernest G. Pellegrino	575 Lexington Avenue	New York, NY 10022
EVP	Stanley L. Bartels	575 Lexington Avenue	New York, NY 10022

10. I certify that I am an officer or director of the receiver or trustee empowered to execute this application as provided for in chapter 607 or 617, F.S. I further certify that when filing this reinstatement application, the reason for dissolution has been eliminated, the corporate name satisfies the requirements of section 607.0401 or 617.0401, F.S., that all fees owed by the corporation have been paid and the names of individuals listed on this form do not qualify for an exemption under section 119.07(3)(f), F.S. The information indicated on this application is true and accurate, and my signature shall have the same legal effect as if made under oath.

SIGNATURE:

Philip C. Puccio SR. 7/11/00 212-371-

2626

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

2002



Coleman

Investment Banking Since 1951

July 12, 2000

Florida Department of State
Attention: Katherine Harris
Secretary of State
Divisions of Corporations

Re: Registered Agent

Dear Ms. Harris:

As per our conversation, this letter is being written to notify you that we have never received the 1999 Annual Report.

If you have any questions, please do not hesitate to contact me at (212) 371-2626.

Sincerely,

Mark F. Broderick
Chief Financial Officer