

# 2002 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Feb 11, 2002 8:00 am**  
**Secretary of State**

02-11-2002 90157 042 \*\*\*150.00

**DOCUMENT # F94000004223**

**1. Entity Name**  
**PFIC SECURITIES CORPORATION**

**Principal Place of Business**  
**810 CRESCENT CENTRE DR.**  
**STE. 400**  
**FRANKLIN TN 37067**

**Mailing Address**  
**810 CRESCENT CENTRE DR.**  
**STE. 400**  
**FRANKLIN TN 37067**

**2. Principal Place of Business**  
 Suite, Apt. #, etc.

**3. Mailing Address**  
 Suite, Apt. #, etc.

**City & State**  
 Zip Country

**City & State**  
 Zip Country

**4. FEI Number** **62-1531047** **Applied For**  
**Not Applicable**

**5. Certificate of Status Desired** ☐ **\$8.75 Additional Fee Required**

**6. Name and Address of Current Registered Agent**

**CORPORATION SERVICE COMPANY**  
**1201 HAYS STREET**  
**TALLAHASSEE FL 32301**

**7. Name and Address of New Registered Agent**

**Name**  
**Street Address (P.O. Box Number is Not Acceptable)**  
**City** **FL** **Zip Code**

**8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.**

**SIGNATURE** \_\_\_\_\_ Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) **DATE** \_\_\_\_\_

**9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so.** ☐ (See criteria on back)

**FILE NOW!!! FEE IS \$150.00**  
**After May 1, 2002 Fee will be \$550.00**  
**Make Check Payable to Department of State**

**10. Election Campaign Financing** ☐ **\$5.00 May Be Added to Fees**  
 Trust Fund Contribution.

**11. OFFICERS AND DIRECTORS**

<b>TITLE</b> <b>NAME</b> <b>STREET ADDRESS</b> <b>CITY-ST-ZIP</b>	<b>PD:</b> <b>JUHL, DEBRA A</b> <b>1749 MALLORY LANE, STE. 120</b> <b>BRENTWOOD TN 37027</b> <input type="checkbox"/> Delete
<b>TITLE</b> <b>NAME</b> <b>STREET ADDRESS</b> <b>CITY-ST-ZIP</b>	<b>SD</b> <b>YEAGER, MARGARET A</b> <b>1749 MALLORY LANE, STE. 120</b> <b>BRENTWOOD TN 37027</b> <input type="checkbox"/> Delete
<b>TITLE</b> <b>NAME</b> <b>STREET ADDRESS</b> <b>CITY-ST-ZIP</b>	<b>D</b> <b>ARNOLD, JAMES R</b> <b>1749 MALLORY LANE, STE. 120</b> <b>BRENTWOOD TN 37027</b> <input checked="" type="checkbox"/> Delete
<b>TITLE</b> <b>NAME</b> <b>STREET ADDRESS</b> <b>CITY-ST-ZIP</b>	<b>COVP.</b> <b>HEARSEY, JAIME L</b> <b>1749 MALLORY LANE, STE. 120</b> <b>BRENTWOOD TN 37027</b> <input type="checkbox"/> Delete
<b>TITLE</b> <b>NAME</b> <b>STREET ADDRESS</b> <b>CITY-ST-ZIP</b>	<b>SVP</b> <b>KAYE, JOEL</b> <b>63 BALFOUR RD. E</b> <b>PALM BEACH GARDENS FL 33418</b> <input type="checkbox"/> Delete
<b>TITLE</b> <b>NAME</b> <b>STREET ADDRESS</b> <b>CITY-ST-ZIP</b>	<b>EVP</b> <b>REYNOLDS, RANDY</b> <b>1749 MALLORY LANE, STE. 120</b> <b>BRENTWOOD TN 37027</b> <input checked="" type="checkbox"/> Delete

**12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11**

<b>TITLE</b> <b>NAME</b> <b>STREET ADDRESS</b> <b>CITY-ST-ZIP</b>	<b>Executive Vice President</b> <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition <b>Timothy Pressentin</b> <b>810 Crescent Centre Dr. Suite 400</b> <b>Franklin TN 37067</b>
<b>TITLE</b> <b>NAME</b> <b>STREET ADDRESS</b> <b>CITY-ST-ZIP</b>	<b>Financial and Operations Analyst</b> <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition <b>Marey L. Sanders</b> <b>810 Crescent Centre Dr. Suite 400</b> <b>Franklin TN 37067</b>
<b>TITLE</b> <b>NAME</b> <b>STREET ADDRESS</b> <b>CITY-ST-ZIP</b>	<b>Compliance Officer</b> <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition <b>John C. House</b> <b>810 Crescent Centre Dr. Suite 400</b> <b>Franklin TN 37067</b>
<b>TITLE</b> <b>NAME</b> <b>STREET ADDRESS</b> <b>CITY-ST-ZIP</b>	<b>Assistant Secretary</b> <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition <b>Pam R. Fillmore</b> <b>810 Crescent Centre Dr. Suite 400</b> <b>Franklin TN 37067</b>
<b>TITLE</b> <b>NAME</b> <b>STREET ADDRESS</b> <b>CITY-ST-ZIP</b>	<b>Director</b> <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition <b>Alan W. Kennebeck</b> <b>6200 Poplar Ave</b> <b>Memphis TN</b>
<b>TITLE</b> <b>NAME</b> <b>STREET ADDRESS</b> <b>CITY-ST-ZIP</b>	<b>Director</b> <input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition <b>Charles I. Pearman</b> <b>810 Crescent Centre Dr. Suite 400</b> <b>Franklin TN 37067</b>

**13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.**

**SIGNATURE:** Stanley B. Fillmore  
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

01/10/02  
 Date

Daytime Phone #

CR2E034 (9/01)

ATTACHMENT DOC # F94000004223

**PFIC SECURITIES CORPORATION  
DIRECTORS AND OFFICERS**

404259

**DIRECTORS**

ALAN W. KENNEBECK ✓

CHARLES I. PEARMAN ✓

DEBRA A. JUHL

MARGARET A. YEAGER

**OFFICERS**

DEBRA A. JUHL, President ✓

TIMOTHY PRESSENTIN, Executive Vice President ✓

MAXEY L. SANDERS, Financial and Operations Principal ✓

JOHN C. HAUSE, Compliance Officer ✓

MARGARET A. YEAGER, Secretary ✓

PAM R. FILLMORE, Assistant Secretary

**OTHER OFFICERS**

LEE BROWN, Vice President

MARK HOLMES, Senior Vice President

JOEL KAYE, Senior Vice President ✓

TOM CURRAN, Senior Vice President

HARRY GATES, Senior Vice President