

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Mar 06, 2002 8:00 am
Secretary of State

03-06-2002 90033 034 ***158.75

DOCUMENT # F94000002220

1. Entity Name

GOLDSMITH, AGIO, HELMS SECURITIES, INC.

Principal Place of Business

**1170 THIRD ST. SOUTH #C201
 NAPLES FL 33940**

Mailing Address

**1170 THIRD ST. SOUTH #C201
 NAPLES FL 33940**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number

41-1658525

Applied For

Not Applicable

Zip

34102

Country

Zip

34102

Country

5. Certificate of Status Desired

☒

\$8.75 Additional
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

GOLDSMITH, STEVEN M

**1170 THIRD STREET SOUTH #C201
 NAPLES FL 33940**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After May 1, 2002 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
 Trust Fund Contribution. ☐

\$5.00 May Be
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **PD** ☐ Delete
 NAME **CARUSO JR, GERALD M**
 STREET ADDRESS **1ST BANK PLACE - 46TH FL, 601 2ND AVE. S.**
 CITY-ST-ZIP **MINNEAPOLIS MN**

TITLE ☒ Change ☐ Addition
 NAME **225 South Sixth street, 46th Floor**
 STREET ADDRESS **minneapolis, mn 55402**
 CITY-ST-ZIP

TITLE **CEOD** ☐ Delete
 NAME **HELMS, JACK P**
 STREET ADDRESS **1ST BANK PLACE - 46TH FL, 601 2ND AVE. S.**
 CITY-ST-ZIP **MINNEAPOLIS MN**

TITLE **CFO AND Director** ☒ Change ☐ Addition
 NAME **225 South Sixth street, 46th Floor**
 STREET ADDRESS **minneapolis, mn 55402**
 CITY-ST-ZIP

TITLE **S** ☐ Delete
 NAME **LYNNER, TERRY A**
 STREET ADDRESS **1ST BANK PLACE - 46TH FL, 601 2ND AVE. S.**
 CITY-ST-ZIP **MINNEAPOLIS MN**

TITLE ☒ Change ☐ Addition
 NAME **225 South Sixth street, 46th Floor**
 STREET ADDRESS **minneapolis, mn 55402**
 CITY-ST-ZIP

TITLE **D** ☐ Delete
 NAME **GOLDSMITH, STEVEN M**
 STREET ADDRESS **1170 THIRD STREET SOUTH #C201**
 CITY-ST-ZIP **NAPLES FL**

TITLE ☒ Change ☐ Addition
 NAME **ZIP 34102**
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Delete
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Gerald M. Caruso, Jr.
PRESIDENT

2/18/02

Date

612 339 0500

Daytime Phone #

CR2E034 (9/01)