

**FOR PROFIT CORPORATION
UNIFORM BUSINESS REPORT (UBR)**

FILED
May 14, 2002 8:00 am
Secretary of State

05-14-2002 90157 001 ***900.00

DOCUMENT # F9000002181

1. Entity Name

Lehman Brothers Holdings, Inc.

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

3 World Financial Center

3. Mailing Address

101 Hudson Street

Suite, Apt. #, etc.

Suite, Apt. #, etc.

39th Floor

DO NOT WRITE IN THIS SPACE

City & State
New York, NY

City & State
Jersey City, NJ

4. FEI Number
13-3216325

Applied For
Not Applicable

Zip
10285

Country

Zip
07302

Country

5. Certificate of Status Desired ☐ **\$8.75** Additional Fee Required

**DO NOT WRITE
IN THIS SPACE**

7. Name and Address of Current Registered Agent

Name
The Prentice-Hall Corporation System

Street Address (P.O. Box Number is Not Acceptable)
1201 Hays Street

City Tallahassee FL Zip Code 32301

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☐

January 1 - May 1 Fee is \$150.00

After May 1, Fee is \$550.00

Amended UBR is \$61.25

Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. ☐

\$5.00 May Be Added to Fees

11. OFFICERS AND DIRECTORS

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
PD
Richard S. Fuld Jr.
3 World Financial Center
New York, NY 10285

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
V
Micheal Mazzei
3 World Financial Center
New York, NY 10285

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
S
Jeffrey A Welikson
3 World Financial Center
New York, NY 10285

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
T
Ian T. Lowitt
3 World Financial Center
New York, NY 10285

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
AT
Barry J O'Brien
101 Hudson Street
Jersey City, NJ 07302

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
VP
Theodore P. Janulis
3 World Financial Center
New York, NY 10285

TITLE
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STREET ADDRESS
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13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

BARRY J OBRIEN

4-24-02

Date

201-524-5822

Daytime Phone #

CR2E034B (12/01)