

04-24-2002 90377 041 ***150.00

FOR PROFIT CORPORATION
UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # **F94000000804** ✓
 1. Entity Name
Alternative Risk Transfer Insurance Strategies, Inc.

637210

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business 9300 Arrowpoint Blvd. <small>Suite, Apt. #, etc.</small>		3. Mailing Address P. O. Box 1000 <small>Suite, Apt. #, etc.</small>		4. FEI Number 06-1385513	Applied For
City & State Charlotte, NC		City & State Charlotte, NC			Not Applicable
Zip 28273	Country US	Zip 28273	Country US	5. Certificate of Status Desired <input type="checkbox"/> \$8.75 Additional Fee Required	

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7. Name and Address of Current Registered Agent

Name
Corporation Service Company

Street Address (P.O. Box Number is Not Acceptable)
1201 Hays Street

City
Tallahassee **FL** Zip Code **32301**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida

SIGNATURE _____ (NOTE: Registered Agent signature required when changing)
Signature, typed or printed name of registered agent and title if applicable.

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so (See criteria on back)

January 1 - May 1 Fee is \$150.00
After May 1 Fee is \$550.00
Amended UBR is \$61.25
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. **\$5.00** May Be Added to Fees

11. OFFICERS AND DIRECTORS			
TITLE	NAME	TITLE	NAME
STREET ADDRESS	STREET ADDRESS	STREET ADDRESS	STREET ADDRESS
CITY-ST-ZIP	CITY-ST-ZIP	CITY-ST-ZIP	CITY-ST-ZIP
	see attached list		

DO NOT WRITE IN THIS SPACE

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE: Judy S. Spitzer **Judy S. Spitzer** **04/08/2002** **704/522-2841**
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Listing Phone #

CR2E034B (12/01)

ATTACH # F94000000804/637210

Directors, Officers Report

Alternative Risk Transfer Insurance Strategies, Inc.

Tuesday, April 09, 2002

DIRECTORS

Joseph F. Fisher Director
Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Ernest C. Frohboese Director
Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Stephen M. Mulready Director
Primary Address: 9 Farm Springs Drive
Farmington, CT 06032

Robert D. Schultz Director
Primary Address: 100 Northfield Drive
Windsor, CT 06095

Joyce W. Wheeler Director
Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273-8135

OFFICERS

Robert D. Schultz President
Primary Address: 100 Northfield Drive
Windsor, CT 06095

Joseph F. Fisher Senior Vice President and Chief Financial Officer
Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Ernest C. Frohboese Senior Vice President and Chief Investment Officer
Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Stephen M. Mulready Senior Vice President
Primary Address: 9 Farm Springs Drive
Farmington, CT 06032

Russell J. Renvyle Senior Vice President
Primary Address: 9 Farm Springs Road
Farmington, CT 06032

Joyce W. Wheeler Senior Vice President and Chief Corporate Officer
Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273-8135

Nelson Brown Vice President
Primary Address: 100 Northfield Drive
Windsor, CT 06095

Ronald Mairano Vice President

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Alternative Risk Transfer Insurance Strategies, Inc.

Primary Address: 100 Northfield Drive
Windsor, Ct 06095

Edward O'Brien Vice President

Primary Address: 100 Northfield Drive
Windsor, CT 06095

Peter M. Vinci Vice President and Controller

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273

Gwyn Fuller Treasurer

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273

Judy S. Spitzer Corporate Secretary

Primary Address: 9300 Arrowpoint Boulevard
Charlotte, NC 28273 Mecklenburg

Linda Y. Pettigrew Assistant Corporate Secretary

Primary Address: 9300 Arrowpoint Blvd.
Charlotte, NC 28273-8135