

# 2012 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F94000000770

FILED  
Feb 07, 2012  
Secretary of State

**Entity Name:** ESSEX NATIONAL SECURITIES, INC.

**Current Principal Place of Business:**

550 GATEWAY DRIVE  
SUITE 210  
NAPA, CA 94558

**New Principal Place of Business:**

**Current Mailing Address:**

550 GATEWAY DRIVE  
SUITE 210  
NAPA, CA 94558

**New Mailing Address:**

**FEI Number:** 13-3536697

**FEI Number Applied For ( )**

**FEI Number Not Applicable ( )**

**Certificate of Status Desired ( )**

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 32301 US

**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**OFFICERS AND DIRECTORS:**

Title: CEO  
Name: DAVIS, SCOTT K  
Address: 550 GATEWAY DRIVE, SUITE 210  
City-St-Zip: NAPA, CA 94558

Title: COO  
Name: COONEY, JOHN  
Address: 550 GATEWAY DRIVE, SUITE 210  
City-St-Zip: NAPA, CA 94558

Title: VCFT  
Name: COONEY, JOHN M  
Address: 550 GATEWAY DRIVE, SUITE 210  
City-St-Zip: NAPA, CA 94558

Title: SVP  
Name: KOLL, RICHARD  
Address: 9 GRANDVIEW RD  
City-St-Zip: CENTRAL VALLEY, NY 10917

Title: SVP  
Name: WARREN, GEDDES  
Address: 550 GATEWAY DRIVE, SUITE 210  
City-St-Zip: NAPA, CA 94558

Title: S  
Name: ALTON, KAREN  
Address: 550 GATEWAY DRIVE, SUITE 210  
City-St-Zip: NAPA, CA 94558

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: WARREN GEDDES

SVP

02/07/2012

\_\_\_\_\_  
Electronic Signature of Signing Officer or Director

\_\_\_\_\_  
Date