

2005 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Jul 18, 2005 8:00 am
Secretary of State

07-18-2005 90048 010 ***150.00

DOCUMENT # F94000000770

1. Entity Name
ESSEX NATIONAL SECURITIES, INC.



Principal Place of Business
**825 THIRD AVENUE
NEW YORK, NY 10022**

Mailing Address
**825 THIRD AVENUE
NEW YORK, NY 10022**

50055891



2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

06282005

Chg-P

CR2E034 (10/03)

City & State

City & State

4. FEI Number

13-3536697

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

**CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 32301**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00
Due by September 7, 2005**

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

In accordance with s. 607.193(2)(b), F.S., the
corporation did not receive the prior notice.

10. OFFICERS AND DIRECTORS

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**CEO
NICHOLAS, FREDERICK S
825 3RD AVE
NEW YORK, NY 10022** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**SVP
COONEY, JOHN
215 GATEWAY RD W
NAPA, CA 94558** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**CFO
CIANCARELLI, STEPHEN V
825 THIRD AVENUE
NEW YORK, NY 10022** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**D
WATTS, ROBERT H
200 CLARENDON ST
BOSTON, MA 02117** ☒ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Delete

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☒ Change ☐ Addition

TITLE
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STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

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☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

ATTACHMENT
#F94000000770

50057891

Essex National Securities, Inc.
List of Officers & Directors

<i>Name</i>	<i>Business Address</i>	<i>Title</i>
Frederick S. Nicholas III	825 Third Avenue New York, NY 10022	Chairman / CEO
William N. Wade	101 Bradford Road, Ste#200 Wexford, PA 15090	President/ Senior Vice President- New Business
Curtis R. Eisele	8700 W. Bryn Mawr Suite# 800S Chicago, IL 60631	Senior Vice President / National Sales Manager
John M. Cooney	215 Gateway Road West Napa, CA 94558	Senior Vice President / Chief of Operations/ Chief Compliance Officer
Stephen V. Ciancarelli	825 Third Avenue New York, NY 10022	Senior Vice President / Chief Financial Officer / Assistant Treasurer
Margaret Geddes	215 Gateway Road West Napa, CA 94558	Secretary

Directors:

Frederick S. Nicholas III	825 Third Avenue New York, NY 10022	Director
William N. Wade	101 Bradford Road Suite 200 Wexford, PA 15090	Director
Kevin Hill	680 Washington Blvd. Stamford, CT 06901	Director
John Vrysen	680 Washington Blvd. Stamford, CT 06901	Director