

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Jan 21, 2003 8:00 am
Secretary of State

01-21-2003 90093 015 ***150.00

DOCUMENT # F93000004561
1. Entity Name
FINANCIAL ADMINISTRATIVE SERVICES CORPORATION



Principal Place of Business
**8515 EAST ORCHARD ROAD
GREENWOOD VILLAGE CO 80111**

Mailing Address
**% LEGAL DEPT 2T3
8515 EAST ORCHARD ROAD
GREENWOOD VILLAGE CO 80111
US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

☐ CHECK HERE IF MAKING CHANGES

4. FEI Number **84-1233483**

Applied For

Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
1200 S. PINE ISLAND RD.
PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$150.00

After May 1, 2003 Fee will be \$550.00

Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be Added to Fees

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**P/D
WOODEN, DOUGLAS L
8515 EAST ORCHARD ROAD
GREENWOOD VILLAGE CO 80111** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
(Please see attached list) ☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**V/D
SHAW, ROBERT K
8515 EAST ORCHARD ROAD
GREENWOOD VILLAGE CO 80111** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**S
BYRNE, BEVERLY A
8515 EAST ORCHARD ROAD
GREENWOOD VILLAGE CO 80111** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**T
DERBACK, GLEN R
8515 EAST ORCHARD ROAD
GREENWOOD VILLAGE CO 80111** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**V/D
SELLER, GREGORY E
18101 VON KARMAN AVENUE, SUITE 1460
IRVINE CA 92629** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**D
NELSON, CHARLES P
8515 EAST ORCHARD ROAD
GREENWOOD VILLAGE CO 80111** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Beverly A Byrne
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

1-16-2003

303-737-3877

Date

Daytime Phone #

CR2E034 (10/02)

Attachment #FD3000004561

FINANCIAL ADMINISTRATIVE SERVICES CORPORATION
OFFICERS AND DIRECTORS

Federal ID: 84-1233483

80008836

OFFICERS:

Douglas L. Wooden - President

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 5 Huntwick Lane, Englewood, CO 80110
SSN: 220-62-2231

Robert K. Shaw - Senior Vice President, Individual/Institutional

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 5484 South Nucla Court, Aurora, CO 80015
SSN: 521-29-8940

Bruce A. Burrey - Vice President, Financial Management

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 11179 West Idaho Avenue, Lakewood, CO 80232
SSN: 268-42-4253

Beverly A. Byrne - Vice President, Counsel and Secretary

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 4000 S. Dexter Street, Englewood, Colorado 80110
SSN: 513-62-6836

Perry A. Christie - Vice President, National Accounts

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 9999 E. Yale, D-311, Denver
SSN: 296-44-3425

Glen R. Derback - Vice President and Treasurer

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 7340 Brixham Circle, Castle Rock, CO 80104
SSN: 552-41-8866

Miles R. Edwards - Vice President, Operations

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 5448 Golden Currant Way, Parker, CO 80134
SSN: 542-66-6983

Richard J. Gonzalez - Vice President, Institutional Management

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 683 Sage Circle, Highlands Ranch, CO 80126
SSN: 063-40-6983

David G. McDonald - Vice President, Individual / Institutional

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 10486 Stonewillow Drive, Parker, CO 80134
SSN: 522-35-3079

Attachment # F9800000 7581

Graham R. McDonald - Vice President, Financial and Investment Operations

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 4578 E. Links Parkway, Centennial, CO 80122
SSN: 522-29-0431

Scot A. Miller - Vice President, Financial Services Systems

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 3863 East Long Place, Littleton, CO 80122
SSN: 485-68-4640

Warren J. Benn - Assistant Vice President, Financial Services Systems

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 7823 S. Poplar Way, Englewood, CO 80111
SSN: 501-84-5960

Marilyn R. Collister - Assistant Vice President, Plan Design and Compliance

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 2282 Colorado Boulevard, Denver, CO 80207
SSN: 482-62-6820

John Ferla - Assistant Vice President, Corporate 401(k)

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 2562 West Rowland Ave., Littleton, CO 80120
SSN: 521-29-2419

David T. Jonasson - Assistant Vice President, FASCorp Systems

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 7007 East Hinsdale Avenue, Englewood, CO 80112
SSN: 522-31-9639

Rick L. Kramer - Assistant Vice President, National Accounts

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 800 Pearl Street, #706, Denver, CO 80203
SSN: 483-68-7944

Janice M. Barnes - Manager, Client Operations

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 2850 E. Newcombe Way, Littleton, Colorado 80227
SSN: 485-76-8438

Donna L. Browne - Manager, FASCorp Operations

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 5621 South Rome St., Aurora, CO 80015
SSN: 206-42-1599

Kevin L. Combs - Manager, Financial Control

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 7977 East Kettle Avenue, Englewood, CO 80112
SSN: 506-72-0963

Garry R. Edwards - Manager, Client and Plan Service

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 1422 Fairfax Street, Denver, CO 80220
SSN: 250-06-8089

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Judith G. Gibbs - Manager, Variable Asset Administration

W: 8515 East Orchard Road, Greenwood Village, CO 80111

H: 1422 Fairfax Street, Denver, CO 80220

SSN: 250-06-8089

Nora J. Hedges - Manager, Operations

W: 8515 East Orchard Road, Greenwood Village, CO 80111

H: 9925 W. 106th Place, Broomfield, CO 80021

SSN: 480-74-9958

Karen D. Hriso - Manager, Institutional Marketing

W: 8515 East Orchard Road, Greenwood Village, CO 80111

H: 1115 Gaylord, Denver, Colorado 80206

SSN: 291-38-5726

Gary Longwell - Manager, Institutional Financial Control

W: 8515 East Orchard Road, Greenwood Village, CO 80111

H: 17033 Mostenbocker, Parker, Colorado 80138

SSN: 522-15-7756

Kathy L. Morris - Manager, Financial Services Systems

W: 8515 East Orchard Road, Greenwood Village, CO 80111

H: 7003 South Robb Street, Littleton CO 80127

SSN: 522-76-9914

Peter J. Pennings - Manager, Financial Services Systems

W: 8515 East Orchard Road, Greenwood Village, CO 80111

H: 6662 E. Peakview Place, Englewood, CO 80111

SSN: 396-54-4514

W. Frank Porter - Manager, Compliance

W: 8515 East Orchard Road, Greenwood Village, CO 80111

H: 9181 S. Weeping Willow Court, Highlands Ranch, CO 80130

SSN: 523-41-5949

Linda K. Rooney - Manager, Staff Support and Services

W: 8515 East Orchard Road, Greenwood Village, CO 80111

H: 7365 W. Clifton Avenue, Littleton, CO 80123

SSN: 301-40-3423

Darlene Soderquist - Manager, Fascorp Financial Control

W: 8515 East Orchard Road, Greenwood Village, CO 80111

H: 105 W. Willowick Circle, Highlands Ranch, CO 80129

SSN: 522-27-3095

DIRECTORS:

Douglas L. Wooden

W: 8515 East Orchard Road, Greenwood Village, CO 80111

H: 5 Huntwick Lane, Englewood, CO 80110

SSN: 220-62-2231

Attachment

#793000004561

80008836

Gregory E. Seller

W: 18101 Von Karman Avenue, Suite 1460, Irvine, CA 92715
H: 37 New York Court, Monarch Beach, CA 92629
SSN: 348-96-2938

Robert K. Shaw

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 5484 South Nucla Court, Aurora, CO 80015
SSN: 521-29-8940

Charles P. Nelson

W: 8515 East Orchard Road, Greenwood Village, CO 80111
H: 1187 East Jesse Court, Highlands Ranch, CO 80126
SSN: 532-58-5602

ADDITIONAL INFORMATION:

Date of Incorporation: May 17, 1993
State of Incorporation: Colorado
Authorized Shares: 10,000 common/no par value
Issued Shares: 1,000 common/no par value
Purpose: Provide third party administrative services