

2001 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # F93000004561

1. Entity Name

FINANCIAL ADMINISTRATIVE SERVICES CORPORATION

FILED
Feb 01, 2001 8:00 am
Secretary of State

02-01-2001 90158 042 ***150.00

Principal Place of Business

8515 E. ORCHARD RD.
ENGLEWOOD CO 80111

Mailing Address

% LEGAL DEPT 6T2
8515 E. ORCHARD RD
ENGLEWOOD CO 80111
US

2. Principal Place of Business

3. Mailing Address

c/o Legal Dept. 2T3

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

Greenwood Village, CO

City & State

Greenwood Village, CO

4. FEI Number

84-1233483

Applied For

Not Applicable

Zip

Country

80111

Zip

Country

80111

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

C T CORPORATION SYSTEM
1200 S. PINE ISLAND RD.
PLANTATION FL 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Delete
NAME P
STREET ADDRESS WOODEN, DOUGLAS L
CITY-ST-ZIP 8515 E. ORCHARD RD
ENGLEWOOD CO 80111

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Delete
NAME D
STREET ADDRESS SHAW, R. K.
CITY-ST-ZIP 8515 EAST ORCHARD ROAD
ENGLEWOOD CO

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP
(Please see attached for a complete
list of Officers and Directors)

TITLE ☐ Delete
NAME S
STREET ADDRESS BYRNE, BEVERLY A
CITY-ST-ZIP 8515 E. ORCHARD RD.
ENGLEWOOD CO 80111

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Delete
NAME T
STREET ADDRESS DERBACK, G.R.
CITY-ST-ZIP 8515 E. ORCHARD RD.
ENGLEWOOD CO

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Delete
NAME VD
STREET ADDRESS SELLER, G.E.
CITY-ST-ZIP 3699 WILSHIRE BLVD., STE. 675
LOS ANGELES CA

TITLE ☒ Change ☐ Addition
NAME
STREET ADDRESS 18101 Von Karman Ave., Suite 1460
CITY-ST-ZIP Irvine, CA 92715

TITLE ☐ Delete
NAME CFO
STREET ADDRESS HOLLEN, MARK S
CITY-ST-ZIP 8515 EAST ORCHARD ROAD
ENGLEWOOD CO 80111

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Beverly A. Byrne

Beverly A. Byrne, Secretary

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (10/00)

FINANCIAL ADMINISTRATIVE SERVICES CORPORATION
OFFICERS AND DIRECTORS
AS OF 01-2001

Federal ID: 84-1233483

OFFICERS:

Douglas L. Wooden - President

W: 8515 East Orchard Road, Englewood, CO 80111
H: 5 Huntwick Lane, Englewood, CO 80110
SSN: 220-62-2231

Mark S. Hollen - Chief Operating Officer

W: 8515 East Orchard Road, Englewood, CO 80111
H: 10644 East Maplewood Drive, Englewood, CO 80111
SSN: 522-98-7738

W. Kay Adam - Vice President and Counsel

W: 8515 East Orchard Road, Englewood, CO 80111
H: 8345 East Hinsdale Avenue, Englewood, CO 80112
SSN: 510-46-8937

Perry A. Christie - Vice President, National Accounts

W: 8515 East Orchard Road, Englewood, CO 80111
H: 9999 E. Yale, D-311, Denver, CO 80237
SSN: 296-44-3425

Glen R. Derback - Vice President and Treasurer

W: 8515 East Orchard Road, Englewood, CO 80111
H: 7340 Brixham Circle, Castle Rock, CO 80104
SSN: 552-41-8866

Miles R. Edwards - Vice President, Operations

W: 8515 East Orchard Road, Englewood, CO 80111
H: 8861 S. Tuscany Lane, Highlands Ranch, CO 80126
SSN: 542-66-6983

Richard J. Gonzalez - Vice President, Institutional Management

W: 8515 East Orchard Road, Englewood, CO 80111
H: 683 Sage Circle, Highlands Ranch, CO 80126
SSN: 063-40-6983

David G. McLeod - Vice President, Investment Administration

W: 8515 East Orchard Road, Englewood, CO 80111
H: 10280 Longview Drive, Littleton, CO 80124
SSN: 522-77-2134

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Scot A. Miller - Vice President, Financial Services Systems

W: 8515 East Orchard Road, Englewood, CO 80111
H: 3863 East Long Place, Littleton, CO 80122
SSN: 485-68-4640

Mark R. Hackl - Vice President, Employee Benefits

W: 8515 East Orchard Road, Englewood, CO 80111
H: 3224 S. Espana Circle, Aurora, CO 80013
SSN: 548-96-2938

Warren J. Benn - Assistant Vice President, Financial Services Systems

W: 8515 East Orchard Road, Englewood, CO 80111
H: 7823 S. Poplar Way, Englewood, CO 80111
SSN: 501-84-5960

Bruce A. Burrey - Assistant Vice President, Financial Management

W: 8515 East Orchard Road, Englewood, CO 80111
H: 11179 West Idaho Avenue, Lakewood, CO 80232
SSN: 268-42-4253

Marilyn R. Collister - Assistant Vice President, Plan Design and Compliance

W: 8515 East Orchard Road, Englewood, CO 80111
H: 2282 Colorado Boulevard, Denver, CO 80207
SSN: 482-62-6820

David T. Jonasson - Assistant Vice President, FASCorp Systems

W: 8515 East Orchard Road, Englewood, CO 80111
H: 7007 East Hinsdale Avenue, Englewood, CO 80112
SSN: 522-31-9639

W. Frank Porter - Manager, Compliance

W: 8515 East Orchard Road, Englewood, CO 80111
H: 9181 S. Weeping Willow Court, Highlands Ranch, CO 80130
SSN: 522-35-6117

Beverly A. Byrne - Vice President, Counsel and Associate Secretary

W: 8515 East Orchard Road, Englewood, CO 80111
H: 10425 Lynx Run, Littleton, CO 80124
SSN: 513-62-6836

Karen R. Carter - Manager, Financial Control

W: 8515 East Orchard Road, Englewood, CO 80111
H: 8080 E. Jewel Ave., Denver, CO 80231
SSN: 524-25-4354

Donna L. Browne - Manager, FASCorp Operations

W: 8515 East Orchard Road, Englewood, CO 80111
H: 5621 South Rome St., Aurora, CO 80015
SSN: 206-42-1599

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Kevin L. Combs - Manager, Financial Control

W: 8515 East Orchard Road, Englewood, CO 80111
H: 7977 East Kettle Avenue, Englewood, CO 80112
SSN: 506-72-0963

Garry R. Edwards - Manager, Client and Plan Service

W: 8515 East Orchard Road, Englewood, CO 80111
H: 1422 Fairfax Street, Denver, CO 80220
SSN: 250-06-8089

Rick L. Kramer - Manager, National Accounts

W: 8515 East Orchard Road, Englewood, CO 80111
H: 800 Pearl Street, #706, Denver, CO 80203
SSN: 483-68-7944

Kathy L. Morris - Manager, Financial Services Systems

W: 8515 East Orchard Road, Englewood, CO 80111
H: 7003 South Robb Street, Littleton CO 80127
SSN: 522-76-9914

Peter J. Pennings - Manager, Financial Services Systems

W: 8515 East Orchard Road, Englewood, CO 80111
H: 50054 South Uravan Ct., Aurora CO 80015
SSN: 396-54-4514

Linda K. Rooney - Manager, Staff Support and Services

W: 8515 East Orchard Road, Englewood, CO 80111
H: 7365 W. Clifton Avenue, Littleton, CO 80123
SSN: 301-40-3423

Nora J. Hedges - Manager, Operations

W: 8515 East Orchard Road, Englewood, CO 80111
H: 9925 W. 106th Place, Broomfield, CO 80021
SSN: 480-74-9958

DIRECTORS:

Mark S. Hollen

W: 8515 East Orchard Road, Englewood, CO 80111
H: 10644 East Maplewood Drive, Englewood, CO 80111
SSN: 522-98-7738

James D. Motz

W: 8515 East Orchard Road, Englewood, CO 80111
H: 5037 East Nichols Place, Littleton, CO 80122
SSN: 524-31-7186

Charles P. Nelson

W: 8515 East Orchard Road, Englewood, CO 80111
H: 1187 East Jesse Court, Highlands Ranch, CO 80126
SSN: 532-58-5602

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Gregory E. Seller

W: 18101 Von Karman Avenue, Suite 1460, Irvine, CA 92715
H: 37 New York Court, Monarch Beach, CA 92629
SSN: 348-96-2938

Robert K. Shaw

W: 8515 East Orchard Road, Englewood, CO 80111
H: 5484 South Nucla Court, Aurora, CO 80015
SSN: 521-29-8940

Douglas L. Wooden

W: 8515 East Orchard Road, Englewood, CO 80111
H: 5 Huntwick Lane, Englewood, CO 80110
SSN: 220-62-2231

ADDITIONAL INFORMATION:

Date of Incorporation: May 17, 1993
State of Incorporation: Colorado
Authorized Shares: 10,000 common/no par value
Issued Shares: 1,000 common/no par value
Purpose: Provide third party administrative services