

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT
CORPORATION
ANNUAL REPORT
1999



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State
DIVISION OF CORPORATIONS

FILED
May 04, 1999 8:00 am
Secretary of State

05-04-1999 90019 037 ***150.00

DOCUMENT # F93000004561

1. Corporation Name

FINANCIAL ADMINISTRATIVE SERVICES CORPORATION

Principal Place of Business

8515 E. ORCHARD RD.
ENGLEWOOD CO 80111

Mailing Address

% LEGAL DEPT 672
8515 E. ORCHARD RD
ENGLEWOOD CO 80111
US

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

10/05/1993

4. FEI Number

84-1233483

Applied For
Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution ☐

\$5.00 May Be
Added to Fees

8. This corporation owes the current year Intangible
Personal Property Tax. ☐ Yes ☐ No

2. Principal Place of Business

21 Suite, Apt. #, etc.

23 City & State

24 Zip

25 Country

2a. Mailing Address

26 Suite, Apt. #, etc.

28 City & State

29 Zip

30 Country

9. Name and Address of Current Registered Agent

C T CORPORATION SYSTEM
1200 S. PINE ISLAND RD.
PLANTATION FL 33324

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE D ☒ DELETE
NAME LOW, D.
STREET ADDRESS 8515 E. ORCHARD RD
CITY-ST-ZIP ENGLEWOOD CO

TITLE D ☐ DELETE
NAME SHAW, R. K.
STREET ADDRESS 8515 EAST ORCHARD ROAD
CITY-ST-ZIP ENGLEWOOD CO

TITLE S ☐ DELETE
NAME BYRNE, BEVERLY A
STREET ADDRESS 8515 E. ORCHARD RD.
CITY-ST-ZIP ENGLEWOOD CO 80111

TITLE T ☐ DELETE
NAME DERBACK, G.R.
STREET ADDRESS 8515 E. ORCHARD RD.
CITY-ST-ZIP ENGLEWOOD CO

TITLE VD ☐ DELETE
NAME SELLER, G.E.
STREET ADDRESS 3699 WILSHIRE BLVD., STE. 675
CITY-ST-ZIP LOS ANGELES CA

TITLE ☐ DELETE
NAME
STREET ADDRESS
CITY-ST-ZIP

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE ☐ Change ☐ Addition
1.2 NAME
1.3 STREET ADDRESS
1.4 CITY-ST-ZIP
* Please see attached list
for all officers and directors.

2.1 TITLE ☐ Change ☐ Addition
2.2 NAME
2.3 STREET ADDRESS
2.4 CITY-ST-ZIP

3.1 TITLE ☐ Change ☐ Addition
3.2 NAME
3.3 STREET ADDRESS
3.4 CITY-ST-ZIP

4.1 TITLE ☐ Change ☐ Addition
4.2 NAME
4.3 STREET ADDRESS
4.4 CITY-ST-ZIP

5.1 TITLE ☐ Change ☐ Addition
5.2 NAME
5.3 STREET ADDRESS
5.4 CITY-ST-ZIP

6.1 TITLE ☐ Change ☐ Addition
6.2 NAME
6.3 STREET ADDRESS
6.4 CITY-ST-ZIP

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Beverly A. Byrne* BEVERLY A. BYRNE
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/20/99

(303) 689-3817

Date

Daytime Phone #

CR2E034 (1/98)

0543878

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475724-90019-37

FINANCIAL ADMINISTRATIVE SERVICES CORPORATION
OFFICERS AND DIRECTORS

Federal ID: 84-1233483

OFFICERS:

Douglas L. Wooden - President

W: 8515 East Orchard Road, Englewood, CO 80111
H: 5 Huntwick Lane, Englewood, CO 80110
SSN: 220-62-2231

Mark S. Hollen - Chief Operating Officer

W: 8515 East Orchard Road, Englewood, CO 80111
H: 10644 East Maplewood Drive, Englewood, CO 80111
SSN: 522-98-7738

W. Kay Adam - Vice President and Counsel

W: 8515 East Orchard Road, Englewood, CO 80111
H: 8345 East Hinsdale Avenue, Englewood, CO 80112
SSN: 510-46-8937

Glen R. Derback - Vice President and Treasurer

W: 8515 East Orchard Road, Englewood, CO 80111
H: 7340 Brixham Circle, Castle Rock, CO 80104
SSN: 552-41-8866

Miles R. Edwards - Vice President, Operations

W: 8515 East Orchard Road, Englewood, CO 80111
H: 8861 S. Tuscany Lane, Highlands Ranch, CO 80126
SSN: 542-66-6983

Richard J. Gonzalez - Vice President, Institutional Management

W: 8515 East Orchard Road, Englewood, CO 80111
H: 683 Sage Circle, Highlands Ranch, CO 80126
SSN: 063-40-6983

Mark R. Hackl - Vice President, Employee Benefits Products

W: 8515 East Orchard Road, Englewood, CO 80111
H: 3224 South Espana Circle, Aurora, CO 80013
SSN: 348-54-8000

Dave G. McDonald, Vice President, Institutional

W: 8515 East Orchard Road, Englewood, CO 80111
H: 10486 Stonewillow Drive, Parker, CO 80134
SSN: 522-35-3079

Scot A. Miller - Assistant Vice President, Financial Services Systems

W: 8515 East Orchard Road, Englewood, CO 80111
H: 3863 East Long Place, Littleton, CO 80122
SSN: 485-68-4640

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Gregory E. Seller - Vice President, Sales and National Accounts

W: 18101 Von Karman Avenue, Suite 1460, Irvine, CA 92715
H: 37 New York Court, Monarch Beach, CA 92629
SSN: 548-96-2938

Warren J. Benn - Assistant Vice President, Financial Services Systems

W: 8515 East Orchard Road, Englewood, CO 80111
H: 7823 S. Poplar Way, Englewood, CO 80111
SSN: 501-84-5960

Bruce A. Burrey - Assistant Vice President, Financial Management

W: 8515 East Orchard Road, Englewood, CO 80111
H: 11179 West Idaho Avenue, Lakewood, CO 80232
SSN: 268-42-4253

Perry A. Christie - Assistant Vice President, National Accounts

W: 8515 East Orchard Road, Englewood, CO 80111
H: 9999 E. Yale, D-311, Denver
SSN: 296-44-3425

John T. Ferla - Assistant Vice President, Employee Benefits

W: 8515 East Orchard Road, Englewood, CO 80111
H: 2562 West Rowland Avenue, Littleton, CO 80120
SSN: 521-29-2419

David T. Jonasson - Assistant Vice President, FASCorp Systems

W: 8515 East Orchard Road, Englewood, CO 80111
H: 7007 East Hinsdale Avenue, Englewood, CO 80112
SSN: 522-31-9639

David G. McLeod - Assistant Vice President, Investment Administration

W: 8515 East Orchard Road, Englewood, CO 80111
H: 930 Acoma, #110, Denver, CO
SSN: 522-77-2134

Randy J. McMahon - Assistant Vice President, Investment Systems

W: 8515 East Orchard Road, Englewood, CO 80111
H: 1904 East Chesapeake Lane, Highlands Ranch, CO 80126
SSN: 522-35-6117

Beverly A. Byrne - Assistant Counsel and Secretary

W: 8515 East Orchard Road, Englewood, CO 80111
H: 3173 Soaring Eagle Lane, Castle Rock, CO 80104
SSN: 513-62-6836

Karen R. Carter - Manager, Financial Control

W: 8515 East Orchard Road, Englewood, CO 80111
H: 8080 E. Jewel Ave., Denver, CO 80231
SSN: 524-25-4354

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Marilyn R. Collister - Manager, Plan Design and Compliance

W: 8515 East Orchard Road, Englewood, CO 80111
H: 1515 East 9th Avenue, #304, Denver, CO 80218
SSN: 482-62-6820

Kevin L. Combs - Manager, Financial Control

W: 8515 East Orchard Road, Englewood, CO 80111
H: 7977 East Kettle Avenue, Englewood, CO 80112
SSN: 506-72-0963

Susan M. DeMuth - Manager, Administration

W: 8515 East Orchard Road, Englewood, CO 80111
H: 914 W. Alder Street, Louisville, CO 80027
SSN: 522-04-6888

Garry R. Edwards - Manager, Client and Plan Service

W: 8515 East Orchard Road, Englewood, CO 80111
H: 1422 Fairfax Street, Denver, CO 80220
SSN: 250-06-8089

Robert B. Johnson - Manager, National Accounts

W: 8515 East Orchard Road, Englewood, CO 80111
H: 8156 South Willow Street, Englewood, CO 80112
SSN: 522-29-2617

Rick L. Kramer - Manager, National Accounts

W: 8515 East Orchard Road, Englewood, CO 80111
H: 800 Pearl Street, #706, Denver, CO 80203
SSN: 483-68-7944

Grant Long - Manager Qualified Plan Compliance

W: 8515 East Orchard Road, Englewood, CO 80111
H: 9959 East Peakview Avenue C-201, Englewood, CO 80111
SSN: 171-54-5223

Linda K. Rooney - Manager, Staff Support and Services

W: 8515 East Orchard Road, Englewood, CO 80111
H: 7365 W. Clifton Avenue, Littleton, CO 80123
SSN: 301-40-3423

Jeffrey A. Englesman - Assistant Secretary

W: 8515 East Orchard Road, Englewood, CO 80111
H: 7222 East Appleton Circle, Englewood, CO 80112
SSN: 488-80-0780

DIRECTORS:

Mark S. Hollen

W: 8515 East Orchard Road, Englewood, CO 80111
H: 10644 East Maplewood Drive, Englewood, CO 80111
SSN: 522-98-7738

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James D. Motz:

W: 8515 East Orchard Road, Englewood, CO 80111
H: 5037 East Nichols Place, Littleton, CO 80122
SSN: 524-31-7186

Charles P. Nelson

W: 8515 East Orchard Road, Englewood, CO 80111
H: 1187 East Jesse Court, Highlands Ranch, CO 80126
SSN: 532-58-5602

Gregory E. Seller

W: 18101 Von Karman Avenue, Suite 1460, Irvine, CA 92715
H: 37 New York Court, Monarch Beach, CA 92629
SSN: 348-96-2938

Robert K. Shaw

W: 8515 East Orchard Road, Englewood, CO 80111
H: 5484 South Nucla Court, Aurora, CO 80015
SSN: 521-29-8940

Douglas L. Wooden

W: 8515 East Orchard Road, Englewood, CO 80111
H: 5 Huntwick Lane, Englewood, CO 80110
SSN: 220-62-2231

ADDITIONAL INFORMATION:

Date of Incorporation: May 17, 1993
State of Incorporation: Colorado
Authorized Shares: 10,000 common/no par value
Issued Shares: 1,000 common/no par value
Purpose: Provide third party administrative services