

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Feb 11, 2002 8:00 am
Secretary of State

02-11-2002 90176 010 ***150.00

DOCUMENT # F93000004121

1. Entity Name

LOCUST STREET SECURITIES, INC.

Principal Place of Business

Mailing Address

**909 LOCUST STREET
 20TH FLOOR
 DES MOINES IA 50309
 US**

**P.O. BOX. 9270
 DES MOINES IA 50306
 US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

42-0938487

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75 Additional
 Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
 1200 SOUTH PINE ISLAND ROAD
 PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. ☐
 (See criteria on back)

**FILE NOW!!! FEE IS \$150.00
 After May 1, 2002 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution. ☐

**\$5.00 May Be
 Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
**COBD
 NYGARD, BRIAN
 3424 PEACHTREE RD NE
 ATLANTA GA 30326** ☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
**COB, D
 John Simmers
 2780 Sky Park Drive Ste 300
 Torrance CA 90505** ☒ Change ☐ Addition

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
**PD
 LINDBERG, KARL S
 8204 HAMMON TREE DRIVE** ☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
**SVP
 CONLEY, JACQUELINE
 210 S. PRAIRIE VIEW #537
 WEST DES MOINES IA 50265** ☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
**TVPF
 LARAIA, KEVIN
 1506 NE MICHEAL DRIVE
 ANKENY IA 50021** ☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
**VPBD
 STEPHENS, LYNN
 4708 TAMARA LANE
 WEST DES MOINES IA 50265** ☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
**VPSM
 STYCH, KEVIN
 1906 NE LANCASTER
 ANKENY IA 50021** ☐ Delete

TITLE
 NAME
 STREET ADDRESS
 CITY-ST-ZIP
☐ Change ☐ Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

515-698-7869

CR2E034 (9/01)

Attachment
Doc# F93000004121
731241

LOCUST STREET SECURITIES, INC.
OFFICERS AND DIRECTORS
42-0938487

NAME	OFFICER TITLE	BUSINESS ADDRESS	HOME ADDRESS	SSN
John Simmers	Chairman of Board & Director	2780 Sky Park Drive, Suite 300 Torrance, CA 90505	614 The Strand Manhattan Beach, CA 90266	285-50-6692
Karl S. Lindberg	President & Director	909 Locust Street Des Moines, IA 50309	8204 Hammontree Dr, Urbandale, IA 50322	485-84-4948
Lynn A. Stephens	Vice President, Business Development	909 Locust Street Des Moines, IA 50309	4708 Tamara Lane West Des Moines, IA 50265	483-88-8295
Kevin M. Laraia	Treasurer and Vice President Finance & Operations	909 Locust Street Des Moines, IA 50309	1506 NE Michael Drive, Ankeny, IA 50021	055-56-8147
Jacqueline C. Conley	Secretary and Vice President Compliance & Chief Compliance Officer	909 Locust Street Des Moines, IA 50309	210 S Prairie View Drive, Apt. 537, West Des Moines, IA 50266	288-54-8238
Kevin P. Stych	Vice President, Sales & Marketing	909 Locust Street Des Moines, IA 50309	1906 NE Lancaster, Ankeny, IA 50021	486-76-1779
David E. Frieberg	Vice President, Recruiting	909 Locust Street Des Moines, IA 50309	1604 NW 109 th Street Des Moines, IA 50325	478-66-0493