

2001 UNIFORM BUSINESS REPORT (UBR)

FILED
Jul 16, 2001 8:00 am
Secretary of State

07-16-2001 90002 037 ***550.00

0137988 AB

DOCUMENT # F93000004121

1. Entity Name
LOCUST STREET SECURITIES, INC.

Principal Place of Business
909 LOCUST STREET
20TH FLOOR
DES MOINES IA 50309
US

Mailing Address
P.O. BOX. 9270
DES MOINES IA 50306
US

2. Principal Place of Business 3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

DO NOT WRITE IN THIS SPACE



4. FEI Number **42-0938487**

Applied For
 Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____
 Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE _____

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☐

FILE NOW!!! FEE IS \$550.00
After September 12, 2001 Fee will be \$750.00
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE	NAME	STREET ADDRESS	CITY-ST-ZIP	Delete
P	BERKSON, EDWARD J	3420 BRIAR RIDGE ROAD	WEST DES MOINES IA 50265	<input checked="" type="checkbox"/>
S	LINDBERG, KARL S	8204 HAMMON TREE DRIVE	URBANDALE IA 50322	<input type="checkbox"/>
C	ROTH, L. LAWRENCE	1931 GEORGETOWN RD	HUDSON OH	<input checked="" type="checkbox"/>
T	WHEAT, SUSAN K	909 LOCUST ST	DES MOINES IA	<input checked="" type="checkbox"/>
GCAS	MUMFORD, JAMES R	909 LOCUST ST	DES MOINES IA	<input checked="" type="checkbox"/>
AS	SULLIVAN, G. THOMAS	700 WALNUT ST #1600	DES MOINES IA	<input checked="" type="checkbox"/>

TITLE	NAME	STREET ADDRESS	CITY-ST-ZIP	Change	Addition
COB & Director	Brian Nygard	3424 Peachtree Rd NE	Atlanta GA 30326	<input checked="" type="checkbox"/>	<input type="checkbox"/>
President & Director	Karl Lindberg	8204 Hammond Tree Drive	Urbandale IA 50322	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Secretary & VP Compliance	Jacqueline Conley	2100 S. Prairie View #537	West Des Moines IA 50265	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Treasurer & VP Finance	Kevin Larcia	1506 NE Michael Drive	Ankeny IA 50021	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Vice President Business Development	Lynn Stephens	4700 Tamara Lane	West Des Moines IA 50265	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Vice President Sales & Mktg	Kevin Stych	1906 NE Lancaster	Ankeny IA 50021	<input checked="" type="checkbox"/>	<input type="checkbox"/>

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 19.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other lines empowered.

SIGNATURE: *[Signature]* **REQUIRED**
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

7-2-01 515-698-7069
 Date Daytime Phone #

CR2E034 (5/01)

Attachment
D# F9300004121
ADD 7/14/1

LOCUST STREET SECURITIES, INC.
OFFICERS AND DIRECTORS
42-0938487

NAME	OFFICER TITLE	BUSINESS ADDRESS	HOME ADDRESS	SSN
Brian Nygaard	Chairman of Board & Director	3424 Peachtree Road NE, Suite 1900, Atlanta, GA 30326	2274 Edgemere Lake Circle, Marietta GA 30062	476-82-8812
Karl S. Lindberg	President & Director	909 Locust Street Des Moines, IA 50309	8204 Hammontree Dr, Urbandale, IA 50322	485-84-4948
Lynn A. Stephens	Vice President, Business Development	909 Locust Street Des Moines, IA 50309	4708 Tamara Lane West Des Moines, IA 50265	483-88-8295
Kevin M. Laraia	Treasurer and Vice President Finance & Operations	909 Locust Street Des Moines, IA 50309	1506 NE Michael Drive, Ankeny, IA 50021	055-56-8147
Jacqueline C. Conley	Secretary and Vice President Compliance & Chief Compliance Officer	909 Locust Street Des Moines, IA 50309	210 S Prairie View Drive, Apt. 537, West Des Moines, IA 50266	288-54-8238
Kevin P. Stych	Vice President, Sales & Marketing	909 Locust Street Des Moines, IA 50309	1906 NE Lancaster, Ankeny, IA 50021	486-76-1779
David E. Frieberg	Vice President, Recruiting	909 Locust Street Des Moines, IA 50309	1604 NW 109 th Street Des Moines, IA 50325	478-66-0493