

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT
CORPORATION
ANNUAL REPORT
1999



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State
DIVISION OF CORPORATIONS

FILED
Mar 16, 1999 8:00 am
Secretary of State

03-16-1999 90075 018 ***150.00

DOCUMENT # F93000004121

1. Corporation Name

LOCUST STREET SECURITIES, INC.



Principal Place of Business

909 LOCUST STREET
20TH FLOOR
DES MOINES IA 50309
US

Mailing Address

P.O. BOX. 9270
DES MOINES IA 50306
US

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

09/10/1993

4. FEI Number

42-0938487

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing ☐

Trust Fund Contribution

\$5.00 May Be
Added to Fees

8. This corporation owes the current year Intangible
Personal Property Tax. ☐ Yes ☐ No

2. Principal Place of Business

2a. Mailing Address

21 Suite, Apt. #, etc.

26 Suite, Apt. #, etc.

23 City & State

27 City & State

24 Zip

Country

29 Zip

Country

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE P ☐ DELETE
NAME BERKSON, EDWARD J
STREET ADDRESS 3420 BRIAR RIDGE ROAD
CITY-ST-ZIP WEST DES MOINES IA 50265

1.1 TITLE ☐ Change ☐ Addition
1.2 NAME Please see attached list.
1.3 STREET ADDRESS
1.4 CITY-ST-ZIP

TITLE S ☐ DELETE
NAME LINDBERG, KARL S
STREET ADDRESS 8204 HAMMON TREE DRIVE
CITY-ST-ZIP URBANDALE IA 50322

2.1 TITLE ☐ Change ☐ Addition
2.2 NAME
2.3 STREET ADDRESS
2.4 CITY-ST-ZIP

TITLE D ☒ DELETE
NAME HUBBELL, FRED S.
STREET ADDRESS 2804 RIDGE ROAD
CITY-ST-ZIP DES MOINES IA

3.1 TITLE ☐ Change ☐ Addition
3.2 NAME
3.3 STREET ADDRESS
3.4 CITY-ST-ZIP

TITLE D ☒ DELETE
NAME SCHLACK, PAUL R
STREET ADDRESS 532 VALLEY WEST COURT
CITY-ST-ZIP WEST DES MOINES IA

4.1 TITLE ☐ Change ☐ Addition
4.2 NAME
4.3 STREET ADDRESS
4.4 CITY-ST-ZIP

TITLE ☐ DELETE
NAME
STREET ADDRESS
CITY-ST-ZIP

5.1 TITLE ☐ Change ☐ Addition
5.2 NAME
5.3 STREET ADDRESS
5.4 CITY-ST-ZIP

TITLE ☐ DELETE
NAME
STREET ADDRESS
CITY-ST-ZIP

6.1 TITLE ☐ Change ☐ Addition
6.2 NAME
6.3 STREET ADDRESS
6.4 CITY-ST-ZIP

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Karl Lindberg
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

2-10-99

Date

515-698-7869

Daytime Phone #

CR2E034 (11/98)

232984-90075-18
F93 000004121

LOCUST STREET SECURITIES, INC. OFFICERS AND DIRECTORS

| NAME | OFFICER TITLE | BUSINESS ADDRESS | HOME ADDRESS |
|---------------------|--------------------------------------|--|---|
| R. Lawrence Roth | Chairman of Board | 1931 Georgetown Road Hudson, OH 44236 | 2425 Deer Hollow, Hudson, OH 44236 |
| Edward J. Berkson | President & CEO | 909 Locust Street Des Moines, IA 50309 | 3420 Briar Ridge Road, West Des Moines, IA 50265 |
| Karl S. Lindberg | VP & Secretary | 909 Locust Street Des Moines, IA 50309 | 8204 Hammondtree Drive, Urbandale, IA 50322 |
| Susan K. Wheat | Treasurer | 909 Locust Street Des Moines, IA 50309 | 2330 EP True Parkway, #20, West Des Moines, IA 50265 |
| James R. Mumford | General Counsel & Asst. Secretary | 909 Locust Street Des Moines, IA 50309 | 2294 152nd Street, Winterset, IA 50273 |
| G. Thomas Sullivan | Asst. Secretary | Nyemaster Law Firm 700 Walnut Street, #1600, Des Moines, 50309 | 730 55th Street, Des Moines, IA 50312 |
| Christopher R. Welp | VP, New Business & Support Svcs. | 909 Locust Street Des Moines, IA 50309 | 4806 76th Street, Urbandale, IA 50322 |
| Todd E. Nevenhoven | Asst. VP - Tax | 909 Locust Street Des Moines, IA 50309 | 7157 Pommel Place, West Des Moines, IA 50266 |
| Dennis D. Hargens | Asst. Treasurer | 909 Locust Street Des Moines, IA 50309 | 8037 Cobblestone Ct., Des Moines, IA 50322 |
| Kent A. Christensen | Asst. Treasurer | 909 Locust Street Des Moines, IA 50309 | 4904 Wistful Vista Drive, West Des Moines, IA 50265 |
| R. Lawrence Roth | Director | 1931 Georgetown Road Hudson, OH 44236 | 2425 Deer Hollow, Hudson, OH 44236 |
| Edward J. Berkson | Director | 909 Locust Street Des Moines, IA 50309 | 3420 Briar Ridge Road, West Des Moines, IA 50265 |
| James R. Mumford | Director | 909 Locust Street Des Moines, IA 50309 | 2294 152nd Street, Winterset, IA 50273 |
| Beth R. Neppi | Director | 909 Locust Street Des Moines, IA 50309 | 13110 Clark Street, Clive, IA 50325 |