

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 21, 2003 8:00 am
Secretary of State

04-21-2003 90534 050 ***150.00

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1. Entity Name

WALNUT STREET ADVISERS, INC.



Principal Place of Business

**400 S 4TH ST
SUITE 1000
ST. LOUIS MO 63102
US**

Mailing Address

**400 S 4TH ST
SUITE 1000
ST. LOUIS MO 63102
US**



2. Principal Place of Business

**700 MARKET ST
Suite, Apt. #, etc.
ATTN: COMPLIANCE**

3. Mailing Address

**700 MARKET ST
Suite, Apt. #, etc.
ATTN: COMPLIANCE**

☒ CHECK HERE IF MAKING CHANGES

City & State

ST. LOUIS, MO.

City & State

ST. LOUIS, MO 63101

4. FEI Number

43-1618558

Applied For

Not Applicable

Zip

63101

Country

USA

Zip

63101

Country

USA

5. Certificate of Status Desired ☐

**\$8.75 Additional
Fee Required**

6. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00
After May 1, 2003 Fee will be \$550.00
Make Check Payable to Florida Department of State**

9. Election Campaign Financing
Trust Fund Contribution. ☐

**\$5.00 May Be
Added to Fees**

10. OFFICERS AND DIRECTORS

TITLE **D** ☒ Delete
NAME **EICHNER, KEVIN C**
STREET ADDRESS **61 FAIR OAKS**
CITY-ST-ZIP **SAINT LOUIS MO 63124**

TITLE **P** ☐ Delete
NAME **RICHARD J MILLER**
STREET ADDRESS **14049 FOREST CREST**
CITY-ST-ZIP **CHESTERFIELD MO**

TITLE **VPGS** ☒ Delete
NAME **MCCAULEY, MATTHEW P**
STREET ADDRESS **6309 PERSHING AVE**
CITY-ST-ZIP **SAINT LOUIS MO 63130**

TITLE **V** ☒ Delete
NAME **WULLER, DON P**
STREET ADDRESS **1729 SHILOH RIDGE**
CITY-ST-ZIP **CHESTERFIELD MO 63005**

TITLE **AT** ☐ Delete
NAME **KOEGER, JAMES**
STREET ADDRESS **9217 WEMBLEY WOODS**
CITY-ST-ZIP **ST LOUIS MO 63126**

TITLE **P** ☐ Delete
NAME **WULLER, DON P**
STREET ADDRESS **1729 SHILOH RIDGE**
CITY-ST-ZIP **CHESTERFIELD MO 63005**

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **NORMAN R. LAZARUS** ☐ Change ☒ Addition
NAME **VICE PRESIDENT - COMPLIANCE**
STREET ADDRESS **523 COPPER LANE BLVD**
CITY-ST-ZIP **WILLOWOOD, MO 63040**

TITLE **CRAIG W. MARKHAM** ☐ Change ☐ Addition
NAME **VICE PRES. MARKHAM**
STREET ADDRESS **1607 STADLER PINES COURT**
CITY-ST-ZIP **WILLOWOOD, MO 63011**

TITLE **OBNNIS CAPRIGIONE** ☐ Change ☒ Addition
NAME **VICE PRESIDENT, GENERAL COUNSEL**
STREET ADDRESS **320 PARKWOOD**
CITY-ST-ZIP **KIRKWOOD, MO 63122**

TITLE **CHIEF FINANCIAL OFFICER** ☐ Change ☒ Addition
NAME **ROBERT POTTERSON**
STREET ADDRESS **485-E US HIGHWAY 1 SOUTH**
CITY-ST-ZIP **ISAPLIN, NJ. 08830**

TITLE ☐ Change ☐ Addition
NAME ☐ Change ☐ Addition
STREET ADDRESS ☐ Change ☐ Addition
CITY-ST-ZIP ☐ Change ☐ Addition

TITLE **VICE PRESIDENT** ☒ Change ☐ Addition
NAME ☐ Change ☐ Addition
STREET ADDRESS ☐ Change ☐ Addition
CITY-ST-ZIP ☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/16/2003

Date

314-444-0614

Daytime Phone #

CR2E034 (10/02)