

2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F93000003456

FILED
Apr 18, 2011
Secretary of State

Entity Name: GLEACHER & COMPANY SECURITIES, INC.

Current Principal Place of Business:

1209 AVENUE OF THE AMERICAS
4TH FLOOR
NEW YORK, NY 10104 US

New Principal Place of Business:

Current Mailing Address:

677 BROADWAY
ALBANY, NY 12207 US

New Mailing Address:

FEI Number: 14-1391446

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND RD.
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: _____

Electronic Signature of Registered Agent

_____ Date

OFFICERS AND DIRECTORS:

Title: P
Name: MCNIERNEY, PETER
Address: 1209 AVENUE OF THE AMERICAS 4TH FLOOR NEW
City-St-Zip: NEW YORK, NY 10104 US

Title: CFO
Name: KUGLER, JEFFERY
Address: 1209 AVENUE OF THE AMERICAS 4TH FLOOR NEW
City-St-Zip: NEW YORK, NY 10104 US

Title: D
Name: GLEACHER, ERIC
Address: 1209 AVENUE OF THE AMERICAS 4TH FLOOR NEW
City-St-Zip: NEW YORK, NY 10104 US

Title: S
Name: ARCIERO-CRAIG, PATRICIA
Address: 1209 AVENUE OF THE AMERICAS 4TH FLOOR NEW
City-St-Zip: NEW YORK, NY 10104 US

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: PETER MCNIERNEY

P

04/18/2011

_____ Electronic Signature of Signing Officer or Director

_____ Date