

2010 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F93000003456

FILED
Jun 17, 2010
Secretary of State

Entity Name: GLEACHER & COMPANY SECURITIES, INC.

Current Principal Place of Business:

12 EAST 49TH STREET, 31ST FLOOR
NEW YORK, NY 10017 US

New Principal Place of Business:

1209 AVENUE OF THE AMERICAS
4TH FLOOR
NEW YORK, NY 10104 US

Current Mailing Address:

677 BROADWAY
ALBANY, NY 12207 US

New Mailing Address:

FEI Number: 14-1391446 FEI Number Applied For () FEI Number Not Applicable () Certificate of Status Desired (X)

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND RD.
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: CEO
Name: MCNIERNEY, PETER
Address: 1209 AVENUE OF THE AMERICAS 4TH FLOOR
City-St-Zip: NEW YORK, NY 10104

Title: D
Name: COBURN, SCOTT
Address: 1209 AVENUE OF THE AMERICAS 4TH FLOOR
City-St-Zip: NEW YORK, NY 10104

Title: CFO
Name: KUGLER, JEFFERY
Address: 1209 AVENUE OF THE AMERICAS 4TH FLOOR
City-St-Zip: NEW YORK, NY 10104

Title: D
Name: FINE, ROBERT
Address: 1209 AVENUE OF THE AMERICAS 4TH FLOOR
City-St-Zip: NEW YORK, NY 10104

Title: D
Name: GLECHER, ERIC
Address: 1209 AVENUE OF THE AMERICAS 4TH FLOOR
City-St-Zip: NEW YORK, NY 10104

Title: S
Name: ARCIERO-CRAIG, PATRICIA
Address: 1209 AVENUE OF THE AMERICAS 4TH FLOOR
City-St-Zip: NEW YORK, NY 10104

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JEFFERNY KUGLER

CFO

06/17/2010

Electronic Signature of Signing Officer or Director

Date