

# 2009 FOR PROFIT CORPORATION REINSTATEMENT

DOCUMENT# F93000003456

FILED  
Oct 29, 2009  
Secretary of State

Entity Name: BROADPOINT CAPITAL, INC.

## Current Principal Place of Business:

ONE PENN PLAZA  
42ND FLOOR  
NEW YORK, NY 10119 US

## New Principal Place of Business:

12 EAST 49TH STREET, 31ST FLOOR  
NEW YORK, NY 10017 US

## Current Mailing Address:

677 BROADWAY  
ALBANY, NY 12207 US

## New Mailing Address:

FEI Number: 14-1391446      FEI Number Applied For ( )      FEI Number Not Applicable ( )      Certificate of Status Desired (X)

## Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND RD.  
PLANTATION, FL 33324 US

## Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE: FLORENCE MERCERON

Electronic Signature of Registered Agent

Date

In accordance with s. 607.193(2)(b), F.S., the corporation did not receive the prior notice.

Election Campaign Financing Trust Fund Contribution ( ).

## OFFICERS AND DIRECTORS:

Title: PD ( ) Delete  
Name: MCNIERNEY, PETER  
Address: ONE PENN PLAZA  
City-St-Zip: NEW YORK, NY 10119

Title: EMD ( ) Delete  
Name: COBURN, SCOTT  
Address: ONE PENN PLAZA  
City-St-Zip: NEW YORK, NY 10119

Title: CCEO ( ) Delete  
Name: FENSTERSTOCK, LEE  
Address: ONE PENN PLAZA  
City-St-Zip: NEW YORK, NY 10119

Title: GMD ( ) Delete  
Name: FINE, ROBERT  
Address: ONE PENN PLAZA  
City-St-Zip: NEW YORK, NY 10119

Title: CFO ( ) Delete  
Name: TURNER, ROBERT  
Address: ONE PENN PLAZA  
City-St-Zip: NEW YORK, NY 10119

Title: AS ( ) Delete  
Name: ARCIERO-CRAIG, PATRICIA  
Address: 677 BROADWAY  
City-St-Zip: ALBANY, NY 12204

## ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: PD (X) Change ( ) Addition  
Name: MCNIERNEY, PETER  
Address: 12 EAST 49TH STREET, 31ST FLOOR  
City-St-Zip: NEW YORK, NY 10017

Title: EMD (X) Change ( ) Addition  
Name: COBURN, SCOTT  
Address: 12 EAST 49TH STREET, 31ST FLOOR  
City-St-Zip: NEW YORK, NY 10017

Title: CCEO (X) Change ( ) Addition  
Name: FENSTERSTOCK, LEE  
Address: 12 EAST 49TH STREET, 31ST FLOOR  
City-St-Zip: NEW YORK, NY 10017

Title: GMD (X) Change ( ) Addition  
Name: FINE, ROBERT  
Address: 12 EAST 49TH STREET, 31ST FLOOR  
City-St-Zip: NEW YORK, NY 10017

Title: CFO (X) Change ( ) Addition  
Name: TURNER, ROBERT  
Address: 12 EAST 49TH STREET, 31ST FLOOR  
City-St-Zip: NEW YORK, NY 10017

Title: AS (X) Change ( ) Addition  
Name: ARCIERO-CRAIG, PATRICIA  
Address: 12 EAST 49TH STREET, 31ST FLOOR  
City-St-Zip: NEW YORK, NY 10017

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: ROBERT TURNER

Electronic Signature of Signing Officer or Director

CFO

10/29/2009

Date