

6081960-90014-024-\$150.00-\$150.00

1

PROFIT CORPORATION ANNUAL REPORT 1999

FLORIDA DEPARTMENT OF STATE
Katherine Harris
 Secretary of State
 DIVISION OF CORPORATIONS

50 JUN 99 PM 3:01

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

DOCUMENT # F93000003456 (1)
 1. Corporation Name
FIRST ALBANY CORPORATION

Principal Place of Business Mailing Address
30 S. PEARL STREET P.O. BOX 52
ALBANY, N.Y. 12207 ALBANY, N.Y. 12201
 US

DO NOT WRITE IN THIS SPACE
 3. Date Incorporated or Qualified
7/28/1993

2. Principal Place of Business 2a. Mailing Address
 21 Suite, Apt. #, etc. 26 Suite, Apt. #, etc.
 22 City & State 27 City & State
 23 Zip Country 28 Zip Country
 24 25 29 30

4. FEI Number Applied For
14-1391466 Not Applicable
 5. Certificate of Status Desired \$8.75 Additional Fee Required
 6. Election Campaign Financing Trust Fund Contribution \$5.00 May Be Added to Fees
 8. This corporation owes the current year Intangible Personal Property Tax. Yes No

9. Name and Address of Current Registered Agent
RUTLEDGE, ECENIA, UNDERWOOD & PURNELL
215 SOUTH MONROE STREET, SUITE 420
TALLAHASSEE, FL 32301

10. Name and Address of New Registered Agent
 81 Name **Corporation Service Company**
 82 Street Address (P.O. Box Number is Not Acceptable) **1001 Hays Street**
 83
 84 City **Tallahassee** FL 85 Zip Code **32301**

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.
 SIGNATURE **Laura P. Wink** DATE **6/29/99**
Signature, typed or printed name of registered agent and fee, if applicable (NOTE: Registered Agent signature required when re-registering)

12. OFFICERS AND DIRECTORS

TITLE	PD	<input type="checkbox"/> DELETE
NAME	GOLDBERG, ALAN P	
STREET ADDRESS	30 SOUTH PEARL STREET	
CITY-ST-ZIP	ALBANY, NY	
TITLE	S	<input type="checkbox"/> DELETE
NAME	WINK, STEPHEN P	
STREET ADDRESS	30 SOUTH PEARL STREET	
CITY-ST-ZIP	ALBANY, NY	
TITLE	CFO	<input type="checkbox"/> DELETE
NAME	WELLES, TIMOTHY R	
STREET ADDRESS	30 SOUTH PEARL STREET	
CITY-ST-ZIP	ALBANY, NY	
TITLE	VD	<input type="checkbox"/> DELETE
NAME	JOHNSON, HUGH A	
STREET ADDRESS	30 SOUTH PEARL STREET ALBANY, NY	
CITY-ST-ZIP		
TITLE	D	<input type="checkbox"/> DELETE
NAME	CAMPBELL, ROBERT F	
STREET ADDRESS	30 SOUTH PEARL STREET ALBANY, NY	
CITY-ST-ZIP		
TITLE	CCDT	<input type="checkbox"/> DELETE
NAME	MCNAMEE, GEORGE C	
STREET ADDRESS	30 SOUTH PEARL STREET ALBANY, NY	
CITY-ST-ZIP		

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
1.2 NAME	
1.3 STREET ADDRESS	
1.4 CITY-ST-ZIP	
2.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
2.2 NAME	
2.3 STREET ADDRESS	
2.4 CITY-ST-ZIP	
3.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
3.2 NAME	
3.3 STREET ADDRESS	
3.4 CITY-ST-ZIP	
4.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
4.2 NAME	
4.3 STREET ADDRESS	
4.4 CITY-ST-ZIP	
5.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
5.2 NAME	
5.3 STREET ADDRESS	
5.4 CITY-ST-ZIP	
6.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
6.2 NAME	
6.3 STREET ADDRESS	
6.4 CITY-ST-ZIP	

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: **Laura P. Wink**
SIGNATURE AND TYPED OR PRINTED NAME OF REGISTERING OFFICER OR DIRECTOR

Date **5/7/99** (518) 447-8500
Date Daytime Phone #

CR2E034 (1/98)

2



30 S. PEARL STREET, PO BOX 52, ALBANY

OFFICERS & DIRECTORS

4
F-1500000406

<u>Name & Position</u>	<u>Business Address</u>	<u>Home Address</u>
George Cooley McNamee Director, Chairman of the Board, CEO, Treasurer SSN: 065-38-1780	30 S Pearl Street Albany, NY 12207	312 State Street Albany, NY 12210
Alan Paul Goldberg President, Director SSN: 012-34-9348	30 S Pearl Street Albany, NY 12207	40 Hills Road Loudonville, NY 12211
Arthur Thomas Murphy, Jr. Senior VP, Director SSN: 030-44-6363	One Penn Plaza New York, NY 10165	10 Northern Avenue Bronxville, NY 10708
Richard David Armstrong VP, Director SSN: 150-30-1664	363 North Comrie Avenue Johnstown, NY 12095	457 Guy Park Avenue Amsterdam, NY 12019
George Thomas Tranter VP, Director SSN: 273-28-0194	100 Baldwin Street Elmira, NY 14901	Mt. Zoar Hill Pine City, NY 14871
Hugh Albert Johnson, Jr. Senior VP, Director SSN: 097-30-8876	30 S Pearl Street Albany, NY 12207	9 Birchwood Court Albany, NY 12211
Kenneth William Leichman Senior VP, Director SSN: 110-50-7694	30 S Pearl Street Albany, NY 12207	2185 Lynwood Drive Schenectady, NY 12309
Robert F. Campbell Managing Director, Director SSN: 057-36-6535	30 S Pearl Street Albany, NY 12207	113 Newell Court Menands, NY 12204

3

Brian R. Fernandez
Managing Director, Director
SSN: 156-28-0258

30 S Pearl Street
Albany, NY 12207

Michael Raymond Lindburg
Senior VP, Director
SSN: 527-80-1918

30 S Pearl Street
Albany, NY 12207

J DEVER TOWN ROAD
Loudonville, NY 12211

Timothy R. Welles
Senior VP
Chief Financial Officer
SSN: 070-44-5680

30 S Pearl Street
Albany, NY 12207

51 Lenox Avenue
Albany, NY 12203

Stephen P. Wink
General-Counsel, Secretary
Senior VP
SSN: 126-50-5244

30 S Pearl Street
Albany, NY 12207

167 Woodlawn Avenue
Saratoga Springs, NY 12866

Last update: February 1999