

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

FILED
May 13 1998 8:00am
Secretary of State

PROFIT CORPORATION ANNUAL REPORT 1998		FLORIDA DEPARTMENT OF STATE Sandra B. Mortham Secretary of State DIVISION OF CORPORATIONS
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DOCUMENT # F93000003456 (1)

1. Corporation Name
FIRST ALBANY CORPORATION

Principal Place of Business 30 S PEARL STREET ALBANY NY 12207 US	Mailing Address P.O. BOX 52 ALBANY NY 12201
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DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified
07/28/1993

2. Principal Place of Business 21 Suite, Apt. #, etc. 22 City & State 23 Zip 24 Country	2a. Mailing Address 26 Suite, Apt. #, etc. 27 City & State 28 Zip 29 Country	4. FEI Number 14-1391446 Applied For Not Applicable	5. Certificate of Status Desired \$8.75 Additional Fee Required	6. Election Campaign Financing Trust Fund Contribution \$5.00 May Be Added to Fees	8. This corporation owes or has paid the current year Intangible Personal Property Tax due June 30. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
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9. Name and Address of Current Registered Agent

RUTLEDGE, ECENIA, UNDERWOOD & PURNELL
215 SOUTH MONROE STREET, SUITE 420
TALLAHASSEE FL 32301

10. Name and Address of New Registered Agent

81 Name
82 Street Address (P.O. Box Number is Not Acceptable)
83
84 City
85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE	PD	1.1 TITLE	
NAME	GOLDBERG, ALAN P	1.2 NAME	
STREET ADDRESS	30 S. PEARL STREET	1.3 STREET ADDRESS	
CITY - ST - ZIP	ALBANY NY	1.4 CITY - ST - ZIP	
TITLE	S	2.1 TITLE	
NAME	WINK, STEPHEN P	2.2 NAME	
STREET ADDRESS	30 S. PEARL STREET	2.3 STREET ADDRESS	
CITY - ST - ZIP	ALBANY NY	2.4 CITY - ST - ZIP	
TITLE	CFO	3.1 TITLE	
NAME	CUNNINGHAM, DAVID J	3.2 NAME	
STREET ADDRESS	30 S. PEARLE STREET	3.3 STREET ADDRESS	
CITY - ST - ZIP	ALBANY NY	3.4 CITY - ST - ZIP	
TITLE	VO	4.1 TITLE	
NAME	JOHNSON, HUGH A	4.2 NAME	
STREET ADDRESS	30 S. PEARLE ST	4.3 STREET ADDRESS	
CITY - ST - ZIP	ALBANY NY	4.4 CITY - ST - ZIP	
TITLE	D	5.1 TITLE	
NAME	CAMPBELL, ROBERT F	5.2 NAME	
STREET ADDRESS	30 S. PEARLE STREET	5.3 STREET ADDRESS	
CITY - ST - ZIP	ALBANY NY	5.4 CITY - ST - ZIP	
TITLE	CCDT	6.1 TITLE	
NAME	GEORGE C. MCNAMEE	6.2 NAME	
STREET ADDRESS	30 S PEARLE STREET	6.3 STREET ADDRESS	
CITY - ST - ZIP	ALBANY NY	6.4 CITY - ST - ZIP	

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:



4/28/98

RSR/447-8500

CR2E034 (10/97)



30 S. PEARL STREET, PO BOX 52, ALBANY, NEW YORK 12201-0052 • (518) 447-8500

OFFICERS & DIRECTORS

<u><i>Name & Position</i></u>	<u><i>Business Address</i></u>	<u><i>Home Address</i></u>
George Cooley McNamee Director, Chairman of the Board, CEO, Treasurer SSN: 065-38-1780	30 S Pearl Street Albany, NY 12207	312 State Street Albany, NY 12210
Alan Paul Goldberg President, Director SSN: 012-34-9348	30 S Pearl Street Albany, NY 12207	40 Hills Road Loudonville, NY 12211
Arthur Thomas Murphy, Jr. Senior VP, Director SSN: 030-44-6363	One Penn Plaza New York, NY 10165	10 Northern Avenue Bronxville, NY 10708
Seymour Theodore Pearlman Senior VP, Director SSN: 107-16-5328	30 S Pearl Street Albany, NY 12207	42 Holmesdale Drive Albany, NY 12203
Richard David Armstrong VP, Director SSN: 150-30-1664	363 North Comrie Avenue Johnstown, NY 12095	457 Guy Park Avenue Amsterdam, NY 12019
George Thomas Tranter VP, Director SSN: 273-28-0194	100 Baldwin Street Elmira, NY 14901	Mt. Zoar Hill Pine City, NY 14871
Hugh Albert Johnson, Jr. Senior VP, Director SSN: 097-30-8876	30 S Pearl Street Albany, NY 12207	9 Birchwood Court Albany, NY 12211
Kenneth William Leichman Senior VP, Director SSN: 110-50-7694	30 S Pearl Street Albany, NY 12207	2185 Lynwood Drive Schenectady, NY 12309

Robert F. Campbell
Managing Director, Director
SSN: 057-36-6535

30 S Pearl Street
Albany, NY 12207

113 Newell Court
Menands, NY 12204

Brian R. Fernandez
Managing Director, Director
SSN: 156-28-0258

30 S Pearl Street
Albany, NY 12207

145 Sabbaday Lane
Washington, CT 06794

Michael Raymond Lindburg
Senior VP, Director
SSN: 527-80-1918

30 S Pearl Street
Albany, NY 12207

5 Beaver Pond Road
Loudonville, NY 12211

Timothy R. Welles
VP, Chief Financial Officer
SSN: 070-44-5680

30 S Pearl Street
Albany, NY 12207

51 Lenox Avenue
Albany, NY 12203

Stephen P. Wink
General Counsel, Secretary
Senior VP
SSN: 126-50-5244

30 S Pearl Street
Albany, NY 12207

152 Regent Street
Saratoga Springs, NY 12866

Last update: February 1998