

**FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00**

**FILED**  
**May 13 1998 8:00am**  
**Secretary of State**

<b>PROFIT CORPORATION ANNUAL REPORT 1998</b>		FLORIDA DEPARTMENT OF STATE <b>Sandra B. Mortham</b> Secretary of State DIVISION OF CORPORATIONS
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**DOCUMENT # F93000003456 (1)**  
 1. Corporation Name  
**FIRST ALBANY CORPORATION**



Principal Place of Business <b>30 S PEARL STREET ALBANY NY 12207 US</b>	Mailing Address <b>P.O. BOX 52 ALBANY NY 12201</b>
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DO NOT WRITE IN THIS SPACE

2. Principal Place of Business		2a. Mailing Address		3. Date Incorporated or Qualified <b>07/28/1993</b>	
21 Suite, Apt. #, etc.	22 City & State	26 Suite, Apt. #, etc.	27 City & State	4. FEI Number <b>14-1391446</b>	Applied For <input type="checkbox"/> Not Applicable
23 Zip	25 Country	29 Zip	30 Country	5. Certificate of Status Desired <input type="checkbox"/>	<b>\$8.75 Additional Fee Required</b>
24		28		6. Election Campaign Financing Trust Fund Contribution <input type="checkbox"/>	<b>\$5.00 May Be Added to Fees</b>
24				8. This corporation owes or has paid the current year Intangible Personal Property Tax due June 30. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

9. Name and Address of Current Registered Agent <b>RUTLEDGE, ECENIA, UNDERWOOD &amp; PURNELL 215 SOUTH MONROE STREET, SUITE 420 TALLAHASSEE FL 32301</b>				10. Name and Address of New Registered Agent	
				81 Name	
				82 Street Address (P.O. Box Number is Not Acceptable)	
				83	
				84 City	85 Zip Code <b>FL</b>

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE \_\_\_\_\_ (NOTE: Registered Agent signature required when reinstating) DATE \_\_\_\_\_

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE	<b>PD</b> <input type="checkbox"/> DELETE	1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>GOLDBERG, ALAN P</b>	1.2 NAME	
STREET ADDRESS	<b>30 S. PEARL STREET</b>	1.3 STREET ADDRESS	
CITY - ST - ZIP	<b>ALBANY NY</b>	1.4 CITY - ST - ZIP	
TITLE	<b>S</b> <input type="checkbox"/> DELETE	2.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>WINK, STEPHEN P</b>	2.2 NAME	
STREET ADDRESS	<b>30 S. PEARL STREET</b>	2.3 STREET ADDRESS	
CITY - ST - ZIP	<b>ALBANY NY</b>	2.4 CITY - ST - ZIP	
TITLE	<b>CFO</b> <input checked="" type="checkbox"/> DELETE	3.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>CUNNINGHAM, DAVID J</b>	3.2 NAME	<b>CFO</b>
STREET ADDRESS	<b>30 S. PEARLE STREET</b>	3.3 STREET ADDRESS	<b>Welles, Timothy R.</b>
CITY - ST - ZIP	<b>ALBANY NY</b>	3.4 CITY - ST - ZIP	<b>30 S. Pearl Street</b>
TITLE	<b>VD</b> <input type="checkbox"/> DELETE	4.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>JOHNSON, HUGH A</b>	4.2 NAME	
STREET ADDRESS	<b>30 S. PEARLE ST</b>	4.3 STREET ADDRESS	
CITY - ST - ZIP	<b>ALBANY NY</b>	4.4 CITY - ST - ZIP	
TITLE	<b>D</b> <input type="checkbox"/> DELETE	5.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>CAMPBELL, ROBERT F</b>	5.2 NAME	
STREET ADDRESS	<b>30 S. PEARLE STREET</b>	5.3 STREET ADDRESS	
CITY - ST - ZIP	<b>ALBANY NY</b>	5.4 CITY - ST - ZIP	
TITLE	<b>CCDT</b> <input type="checkbox"/> DELETE	6.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>GEORGE C. MCNAMEE</b>	6.2 NAME	
STREET ADDRESS	<b>30 S PEARLE STREET</b>	6.3 STREET ADDRESS	
CITY - ST - ZIP	<b>ALBANY NY</b>	6.4 CITY - ST - ZIP	

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: \_\_\_\_\_ **4/28/98** **(518) 447-8500**

CR2E034 (10/97)



30 S. PEARL STREET, PO BOX 52, ALBANY, NEW YORK 12201-0052 • (518) 447-8500

**OFFICERS & DIRECTORS**

<u>Name &amp; Position</u>	<u>Business Address</u>	<u>Home Address</u>
George Cooley McNamee Director, Chairman of the Board, CEO, Treasurer SSN: 065-38-1780	30 S Pearl Street Albany, NY 12207	312 State Street Albany, NY 12210
Alan Paul Goldberg President, Director SSN: 012-34-9348	30 S Pearl Street Albany, NY 12207	40 Hills Road Loudonville, NY 12211
Arthur Thomas Murphy, Jr. Senior VP, Director SSN: 030-44-6363	One Penn Plaza New York, NY 10165	10 Northern Avenue Bronxville, NY 10708
Seymour Theodore Pearlman Senior VP, Director SSN: 107-16-5328	30 S Pearl Street Albany, NY 12207	42 Holmesdale Drive Albany, NY 12203
Richard David Armstrong VP, Director SSN: 150-30-1664	363 North Comrie Avenue Johnstown, NY 12095	457 Guy Park Avenue Amsterdam, NY 12019
George Thomas Tranter VP, Director SSN: 273-28-0194	100 Baldwin Street Elmira, NY 14901	Mt. Zoar Hill Pine City, NY 14871
Hugh Albert Johnson, Jr. Senior VP, Director SSN: 097-30-8876	30 S Pearl Street Albany, NY 12207	9 Birchwood Court Albany, NY 12211
Kenneth William Leichman Senior VP, Director SSN: 110-50-7694	30 S Pearl Street Albany, NY 12207	2185 Lynwood Drive Schenectady, NY 12309

**Robert F. Campbell**  
Managing Director, Director  
SSN: 057-36-6535

30 S Pearl Street  
Albany, NY 12207

113 Newell Court  
Menands, NY 12204

**Brian R. Fernandez**  
Managing Director, Director  
SSN: 156-28-0258

30 S Pearl Street  
Albany, NY 12207

145 Sabbaday Lane  
Washington, CT 06794

**Michael Raymond Lindburg**  
Senior VP, Director  
SSN: 527-80-1918

30 S Pearl Street  
Albany, NY 12207

5 Beaver Pond Road  
Loudonville, NY 12211

**Timothy R. Welles**  
VP, Chief Financial Officer  
SSN: 070-44-5680

30 S Pearl Street  
Albany, NY 12207

51 Lenox Avenue  
Albany, NY 12203

**Stephen P. Wink**  
General Counsel, Secretary  
Senior VP  
SSN: 126-50-5244

30 S Pearl Street  
Albany, NY 12207

152 Regent Street  
Saratoga Springs, NY 12866

**Last update: February 1998**