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FILED  
May 23 1997 8:00am  
Secretary of State

PROFIT  
CORPORATION  
ANNUAL REPORT  
1997



FLORIDA DEPARTMENT OF STATE  
Sandra B. Mortham  
Secretary of State  
DIVISION OF CORPORATIONS

DOCUMENT # F93000003456 (1)

1. Corporation Name

FIRST ALBANY CORPORATION

Principal Place of Business

30 S PEARL STREET  
ALBANY NY 12207  
US

Mailing Address

P.O. BOX 52  
ALBANY NY 12201-0052



3. Date Incorporated or Qualified

07/28/1993

3a. Date of Last Report

05/01/1996

2. Principal Place of Business

21 Suite, Apt. #, etc.

22 City & State

23 Zip

25 Country

2a. Mailing Address

26 Suite, Apt. #, etc.

27 City & State

28 Zip

30 Country

4. FEI Number

14-1391446

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional  
Fee Required

6. Election Campaign Financing

☐

\$5.00 May Be  
Added to Fees

8. This corporation has liability for intangible tax under s. 199.032,  
Florida Statutes

☐ Yes

☒ No

9. Name and Address of Current Registered Agent

RUTLEDGE, ECENIA, UNDERWOOD & PURNELL  
215 SOUTH MONROE STREET, SUITE 420  
TALLAHASSEE FL 32301

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE	NAME	STREET ADDRESS	CITY - ST - ZIP	<input type="checkbox"/> DELETE
PD	GOLDBERG, ALAN P	30 S. PEARL STREET	ALBANY NY	<input type="checkbox"/>
S	LINDBURG, MICHAEL R	30 S. PEARL STREET	ALBANY NY	<input checked="" type="checkbox"/>
CFO	CUNNINGHAM, DAVID J	30 S. PEARLE STREET	ALBANY NY	<input type="checkbox"/>
VD	JOHNSON, HUGH A	30 S. PEARLE ST	ALBANY NY	<input type="checkbox"/>
D	CAMPBELL, ROBERT F	30 S. PEARLE STREET	ALBANY NY	<input type="checkbox"/>
CCDT	GEORGE C. MCNAMEE	30 S PEARLE STREET	ALBANY NY	<input type="checkbox"/>

1.1 TITLE	1.2 NAME	1.3 STREET ADDRESS	1.4 CITY - ST - ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
2.1 TITLE	2.2 NAME	2.3 STREET ADDRESS	2.4 CITY - ST - ZIP	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
3.1 TITLE	3.2 NAME	3.3 STREET ADDRESS	3.4 CITY - ST - ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
4.1 TITLE	4.2 NAME	4.3 STREET ADDRESS	4.4 CITY - ST - ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
5.1 TITLE	5.2 NAME	5.3 STREET ADDRESS	5.4 CITY - ST - ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
6.1 TITLE	6.2 NAME	6.3 STREET ADDRESS	6.4 CITY - ST - ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

*[Signature]*  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

(518) 447-8500

Daytime Phone #

0498084

CR2E034 (9/96)



30 S. PEARL STREET, PO BOX 52, ALBANY, NEW YORK 12201-0052 • (518) 447-8500

### ***OFFICERS & DIRECTORS***

<b><u>Name &amp; Position</u></b>	<b><u>Business Address</u></b>	<b><u>Home Address</u></b>
George Cooley McNamee Director, Chairman of the Board, CEO, Treasurer SSN: 065-38-1780	30 S Pearl Street Albany, NY 12207	312 State Street Albany, NY 12210
Alan Paul Goldberg President, Director SSN: 012-34-9348	30 S Pearl Street Albany, NY 12207	85 India Wharf Boston, MA 02110
Arthur Thomas Murphy, Jr. Senior VP, Director SSN: 030-44-6363	One Penn Plaza New York, NY 10165	10 Northern Avenue Bronxville, NY 10708
Seymour Theodore Pearlman Senior VP, Director SSN: 107-16-5328	30 S Pearl Street Albany, NY 12207	42 Holmesdale Drive Albany, NY 12203
Richard David Armstrong VP, Director SSN: 150-30-1664	363 North Comrie Avenue Johnstown, NY 12095	457 Guy Park Avenue Amsterdam, NY 12019
George Thomas Tranter VP, Director SSN: 273-28-0194	100 Baldwin Street Elmira, NY 14901	Mt. Zoar Hill Pine City, NY 14871
Hugh Albert Johnson, Jr. Senior VP, Director SSN: 097-30-8876	30 S Pearl Street Albany, NY 12207	313 Loudon Road Loudonville, NY 12211
Kenneth William Leichman Senior VP, Director SSN: 110-50-7694	30 S Pearl Street Albany, NY 12207	2185 Lynwood Drive Schenectady, NY 12309

**Robert F. Campbell**  
Managing Director, Director  
SSN: 057-36-6535

**30 S Pearl Street**  
Albany, NY 12207

**113 Newell Court**  
Menands, NY 12204

**Brian R. Fernandez**  
Managing Director, Director  
SSN: 156-28-0258

**30 S Pearl Street**  
Albany, NY 12207

**145 Sabbaday Lane**  
Washington, CT 06794

**Michael Raymond Lindburg**  
Senior VP, Director  
SSN: 527-80-1918

**30 S Pearl Street**  
Albany, NY 12207

**5 Beaver Pond Road**  
Loudonville, NY 12211

**Edwin T. Brondo**  
Chief Administrative Officer  
Senior VP  
SSN: 056-38-6243

**30 S Pearl Street**  
Albany, NY 12207

**17 Relyea Road**  
Voorheesville, NY 12186

**David John Cunningham**  
Chief Financial Officer  
Senior VP  
SSN: 195-36-9076

**30 S Pearl Street**  
Albany, NY 12207

**94 Lenox Avenue**  
Albany, NY 12203

**Stephen P. Wink**  
General Counsel, Secretary  
VP  
SSN: 126-50-5244

**30 S Pearl Street**  
Albany, NY 12207

**409 Madison Avenue**  
Albany, NY 12210

**Last update: November 1996**