Applied For

NONPROFIT CORPORATION ANNUAL REPORT

1999



FLORIDA DEPARTMENT OF STATE

#### Katherine Harris

Secretary of State **DIVISION OF CORPORATIONS** 

# DOCUMENT # F93000002873

1. Corporation Name

THE AMYOTROPHIC LATERAL SCLEROSIS ASSOCIATION, I

Principal Place of Business 21021 VENTURA BLVD. SUITE 321 WOODLAND HILLS CA 91364

2. Principal Place of Business

Suite, Apt. #, etc.

21 27001 Agoura Road

Mailing Address

21021 VENTURA BLVD.

2a. Mailing Address

Suite, Apt. #, etc.

SUITE 321 WOODLAND HILLS CA 91364

26 27001 Agoura Road

# **FILED** May 10, 1999 8:00 am § Secretary of State

05-10-1999 90194 040 \*\*\*\*70.00



3. Date Incorporated or Qualifed

06/22/1993

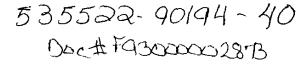
13-3271855...

4. FEI Number

| 2 Suite                                      | 150   | 27 Suite 150                       |               |   |                           | 13-3271855   |              | Not                                | Applicable             |
|--|---|------------------------------------|---------------|---|---------------------------|--|--------------|------------------------------------|------------------------|
| City & State                                 |   | City & State                       |               |   |                           |  | [XIX         | \$8.75 A                           | dditional              |
| Calabasas Hills, CA 28 Calabasas Hills       |   |                                    | ls, CA        | s, CA   |                           | 5. Certifcate of Status Desired  |              | Fee Required                       |                        |
| Zip  | Country Zip Co  |                                    |               |   | - 6                       | 6. Election Campaign Financing   |              | \$5.00                             | May Be                 |
| 91301  | 25 USA 29 91301 30 I  |                                    |               | USA   |                           | Trust Fund Contribution  | ' 🗆          | Added to                           | Fees                   |
| Name and Address of Current Registered Agent |   |                                    |               | 10. Name and Address of New Registered Agent          |                           |  |              |                                    |                        |
|  | 81 Name N/A   |                                    |               |   |                           |  |              |                                    |                        |
| MOSKOWITZ, LILLIAN                           |   |                                    |               | 82 Street Address (P.O. Box Number is Not Acceptable) |                           |  |              |                                    |                        |
| THE ALS ASSOCIATION - SOUTHERN FLORIDA       |   |                                    |               | ••  |                           |  |              |                                    |                        |
| 1020 COUNTRY CLUB DR., #P-107                |   |                                    |               |   |                           |  |              |                                    |                        |
| MARGATE FL 33063                             |   |                                    |               | City  |                           | <del></del>  |              | 85 Zip C                           | ode                    |
| /// U 104 11 L                               |   |                                    | 84            | City  |                           |  | FI           | _   05   2.5 0                     |                        |
| office or re<br>agent. I ar                  | to the provisions of Sections 617.0502 agistered agent, or both, in the State of a familiar with, and accept the obligation   | i Florida. Such change was auth    | onzed by      | the corp  | d corporati<br>poration's | on submits this statement for the board of directors. I hereby acceptant | e purpose o  | f changing its i<br>intment as reg | registered<br>pistered |
| SIGNATURE                                    | N/A Signature, typed or printed name of registered agent a  | and title if applicable. (NOTE: Re | gistered Agen | t signature   | required when             |  | DATE         |                                    |                        |
| 12.  | OFFICERS AND  |                                    | 13.           |   |                           | ADDITIONS/CHANGES TO O   | FFICERS A    |                                    |                        |
| TITLE  | PCEO  | ☐ DELETE                           | 1.1 TITLE     |   |                           |  |              | Change                             | Addition               |
| NAME   | HAVLICEK, MICHAEL W   |                                    | 1.2 NAME      |   |                           |  |              |                                    |                        |
| STREET ADDRESS                               |   |                                    |               | ADDRESS   |                           | l Agoura Road, Su  |              | 0                                  |                        |
| CITY-ST-ZIP                                  | WOODLAND HILLS CA   |                                    | 1.4 CITY-ST   | -ZIP  | Calal                     | oasas Hi <u>lls, CA C</u>  | 1301         |                                    |                        |
| TITLE  | VPF   | ☐ DELETE                           | 2.1 TITLE     |   |                           |  |              | XX Change                          | ☐ Addition             |
| NAME   | GAJ, CRAIG D  |                                    | 2.2 NAME      |   |                           |  |              |                                    | į                      |
| STREET ADDRESS                               | 21021 VENTURA BLVD, SUITE 3   | 21                                 | 2.3 STREET    | ADORESS   | s 27001                   | l Agoura Roád, Su  | tie 15       | 0                                  |                        |
| CITY-ST-ZIP                                  | WOODLAND HILLS CA   |                                    | 2.4 CITY-S    | T-ZIP   | Calal                     | oasas Hills, CA 9  | 1301         |                                    |                        |
| TITLE  | TCF0  | ☐ DELETE                           | 3.1 TITLE     |   | Ì                         |  |              | Change                             | Addition               |
| NAME   | BENJAMIN S OHRENSTEIN ESC   | CPA                                | 3.2 NAME      |   |                           |  |              |                                    |                        |
| STREET ADDRESS                               | 354 W LANCASTER AVE, SUITE  | 212                                | 3.3 STREET    | ADDRESS   | s                         |  |              |                                    |                        |
| CITY-ST-ZIP                                  | HAVERFORD PA  |                                    | 3,4, CITY-S   | T-ZIP   |                           |  |              |                                    |                        |
| TITLE  | VPCS  | ☐ DELETE                           | 4.1 TTTLE     |   |                           |  |              | XX Change                          | ☐ Addition             |
| NAME   | LOWLAND, DEE DEE  |                                    | 4.2 NAME      |   |                           |  |              |                                    | ĺ                      |
| STREET ADDRESS                               | 21021 VENTURA BLVD, SUITE 3   | 21                                 | 4.3 STREET    | ADDRESS   |                           | l Agoura Road, Su  |              | 0                                  |                        |
| CITY-ST-ZIP                                  | ·   |                                    | 4.4 CITY-ST   | r-ZIP   | Ca1al                     | oasas Hills, CA 9  | 1301         |                                    |                        |
| TITLE  | S   | ☐ DELETE                           | 5.1 TITLE     |   |                           |  |              | Change                             | ☐ Addition             |
| NAME   | ALEXANDER, DARA   |                                    | 5.2 NAME      |   |                           |  |              |                                    |                        |
| STREET ADDRESS                               | 1405 VENTATA DRIVE  |                                    | 5.3 STREET    | ADDRESS   | s                         |  |              |                                    |                        |
| CITY-ST-ZIP                                  | RUSKIN FL 33573   |                                    | 5.4 CITY-ST   | -ZIP  |                           |  |              |                                    |                        |
| TITLE  | C DELETE 6  |                                    |               |   |                           |  |              | Change                             | ☐ Addition             |
| NAME   | ROSS, STEVEN L  |                                    | 6.2 NAME      |   |                           |  |              |                                    | !                      |
| STREET ADDRESS                               | 601 SECOND AVENUE SOUTH,  | 4200 1ST BANK PL                   | 6.3 STREET    | ADDRESS   | s                         |  |              |                                    |                        |
| CITY-ST-ZIP                                  | MINNEAPOLIS MN  |                                    | 6.4 CITY-ST   |   |                           |  |              |                                    |                        |
| 14. I hereby o                               | pertify that the information supplied with<br>on this annual report or supplemental<br>director of the corporation or the receiv<br>or Block 13 if changed, or on an attach | annual report is thus and accurat  | o and that    | mv cinr   | inatura Shi               | all have the same lenal effect as  | : If made un | ner oatn: Inat i                   | am an                  |

(818)880-9007

SIGNATURE:





State of Florida
Division of Corporations
Attachement #12 & #13

### The Amyotrophic Lateral Sclerosis Association 27001 Agorua Road, Suite 150 Calabasas Hills, CA 91301 (818) 880-9007

List of Officers

Chairman

: Steven L. Ross, Esq.

Felhaber, Larson, Fenlon, Vogt

601 Second Avenue South, Suite 4200

Minneapolis, MN 55402

(612) 339-6321

Vice Chairman

(Vacant as of 04-25-97)

Treasurer & CFO

Benjamin S. Ohrenstein, Esq., CPA

354 W. Lancaster Avenue, Suite #212

Haverford, PA 19041 (610) 649-1268

Secretary

Dara Alexander

1405 Ventana Drive Ruskin, FL 33573 (813) 633-0758

NOTE:

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State of Florida Division of Corporations Attachement #12 & #13

President and CEO

: Michael W. Havlicek\*\*

27001 Agoura Road, Suite 150 Calabasas Hills, CA 91301

(818) 880-9007

Vice President, Finance

Craig D. Gaj\*\*

27001 Agoura Road, Suite 150 Calabasas Hills, CA 91301

(818) 880-9007

(Custody of financial records, contributions

and distributions responsibilities)

Vice President, Community Services:

Dee Dee Lowland\*\*

27001 Agoura Road, suite 150 Calabasas Hills, CA 91301

(818) 880-9007

Vice President,

**Corporate Communications** 

Nancy C. Nelson\*\*

27001 Agoura Road, Suite 150 Calabasas Hills, CA 91301

(818) 880-9007

Vice President, Public Affairs

& Government Relations

Stevan W. Gibson\*\*

601 Pennsylvania Avenue, NW

#900 South Building Washington, DC 20004

(202) 638-6997

Vice President, Patient Services

Mary A. Lyon\*\*

27001 Agoura Road, Suite 150 Calabasas Hills, CA 91301

(818) 880-9007

Vice President, Marketing

Carol B. Levey\*\*

27001 Agoura Road, Suite 150 Calabasas Hills, CA 91301

(818) 880-9007



# 535522-90194-40 Oc. #F9300002873

State of Florida
Division of Corporations
Attachment #12 & #13

### The Amyotrophic Lateral Sclerosis Association 21021 Ventura Blvd., Suite #321 Woodland Hills, CA 91364 (818) 340-7500

### **Board of Trustees List (Volunteers)**

Robert V. Abendroth, Esq.

Whyte Hirschboeck Dudek S.C. 111 E. Wisconsin Avenue, #2100 Milwaukee, WI 53202 (414) 273-2100 Development Committee Executive Committee Research Committee, Chair

Dara Alexander

1405 Ventana Drive Ruskin, FL 33573 (813) 633-0758 ALSA Secretary Advocacy Committee Community Services Committee Executive Committee

Crystal Marcus August

5204 Andover Road Chevy Chase, MD 20815 (301) 951-0408 Community Services Committee Patient Services Committee

D. Michael Ballard

President Barksdale, Ballard & Co. 1951 Kidwell Drive, Suite 205 Vienna, VA 22182 (703) 827-8771 Advocacy Committee Communications Committee Development Committee

Lawrence R. Barnett

The ALS Association 27001 Agoura Road, Suite 150 Calabasas Hills, CA 91301 (818) 880-9007 Development Committee Nominating Committee Research Committee

Robert A. Biggs, CAE

Executive Vice President
Phi Delta Theta International Fraternity
General Headquarters
2 South Campus Avenue
Oxford, OH 45056-1872
(513) 523-6345

Communications Committee Community Services Committee Development Committee

Robert J. Bjorseth

President Tech Inc. 10601 Lackman Road Lenexa, KS 66219 (913) 492-6440 Community Services Committee, Chair Development Committee Executive Committee Finance Committee Investment Committee



## The Amyotrophic Lateral Sclerosis Association **Board of Trustees List**

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State of Florida **Division of Corporations** Attachment #12 & #13

Lisa Buonarosa, Secretary

The ALS Association Western Pennsylvania Chapter 1323 Forbes Avenue, #200 Pittsburgh, PA 15219 (412) 261-5940

Advocacy Committee Communications Committee

The Honorable Jay Daugherty

12214 Washington Court Kansas City, MO 64145 (816) 881-3613

Audit Committee, Chair Communications Committee Development Committee Research Committee

Richard P. Essey

Chairman and CEO **TemPositions** 140 Geary Street, 4th Floor San Francisco, CA 94108 (415) 392-5858

Development Committee Patient Services Committee Research Committee

Allen L. Finkelstein, Esq.

Pressman Finkelstein 125 Maiden Lane, 17th Floor New York, NY 10038 (212) 480-3322

ALSA General Counsel Development Committee **Executive Committee** Investment Committee Personnel and Policy Committee, Chair

**Dorine Gordon** 

The ALS Association Greater New York Chapter 116 John Street, Suite 1304 New York, NY 10038 (212) 619-1400

Communications Committee Community Services Committee Development Committee

Marjorie Grant

Vice President, Community Relations American Multi-Cinema, Inc. 106 W. 14th Street, #1700 P.O. Box 419615 Kansas City, MO 64141 (816) 221-4000

Communications Committee **Executive Committee** Investment Committee Research Committee

Alan R. Griffith

Vice Chairman The Bank of New York One Wall Street New York, NY 10286 (212) 635-1030

Audit Committee

Development Committee, Co-Chair **Executive Committee** Investment Committee

Ted Heine

1804 5th Avenue, NW, Apt. 300 Waverly, IA 50677-1941 (319) 352-3899

**Advocacy Committee** Community Services Committee **Executive Committee** Patient Services Committee, Chair

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The Amyotrophic Lateral Sclerosis Association Board of Trustees List Page - 3 - State of Florida Division of Corporations Attachment #12 & #13

Joshua M. Javits, Esq.

Ford & Harrison 1920 N. St., N.W., #200 Washington, DC 20036 (202) 463-6633 Advocacy Committee
Development Committee
Nominating Committee, Co-Chair

**Daniel Kelley** 

The ALS Association Georgia Chapter 3795 Manor House Drive Marietta, GA 30062 (770) 928-3135 Advocacy Committee
Audit Committee
Community Services Committee

W. Robert Lotz

CECCO Trading Inc. 2400 W. Cornell Street Milwaukee, WI 53209 (414) 445-8989 Executive Committee
Finance Committee
Patient Services Committee
Personnel and Policy Committee

Tim McBride

Washington Affairs Director Chrysler Corporation 1401 H Street, N.W., Suite 700 Washington, DC 20005 (202) 414-6756 Advocacy Committee Development Committee

Kenneth McGunagle, Jr., Esq.

McGunagle & Reidy 2088 Broad Street Cranston, RI 02905 (401) 941-2088 Advocacy Committee Community Services Committee Nominating Committee

Lillian Moskowitz

President The ALS Association Southern Florida Chapter 1020 Country club Drive, #P-107 Margate, FL 33063 (954) 971-6427 Community Services Committee Nominating Committee Patient Services Committee

Benjamin S. Ohrenstein, Esq., CPA

354 W. Lancaster Avenue, Suite 212 Haverford, PA 19041 (610) 649-1268 ALSA Treasurer & CFO
Audit Committee
Community Services Committee
Executive Committee
Finance Committee, Chair
Investment Committee
Personnel and Policy Committee

Warner A. Peck

Vice President
Huber, Hunt & Nichols, Inc.
P.O. Box 128
Indianapolis, IN 46206
(317) 241-6301

Communications Committee
Development Committee
Executive Committee
Nominating, Co-Chair



### The Amyotrophic Lateral Sclerosis Association **Board of Trustees List**

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State of Florida **Division of Corporations** Attachment #12 & #13

Ellyn C. Phillips

President

The ALS Association Greater Philadelphia Chapter 980 Harvest Drive, Suite 105 Blue Bell, PA 19422 (215) 643-5434

Advocacy Committee, Chair Community Services Committee **Executive Committee** 

Eric Phillips. M.D.

Nebraska Spine Surgeons, P.C. 11819 Miracle Hills Dr., Suite 102 Omaha, NE 68154-4438 (402) 496-0404

Patient Services Committee Research Committee

Research Committee

Lawrence A. Rand

Senior Vice President Kekst and Company 437 Madison Avenue, 19th Floor New York, NY 10022 (212) 521-4834

Advocacy Committee Communications Committee Development Committee Finance Committee

Steven L. Ross, Esq.

Felhaber, Larson, Fenlon, Vogt 601 Second Avenue South, Suite 4200 Minneapolis, MN 55402 (612) 339-6321

ALSA Chairman Executive, Chair (Ex-Officio Member of all Committees)

Joel K. Rubenstein Oracle One Partners, Inc. 1071 Camelback Street, Suite 111

Newport Beach, CA 92660 (949) 720-9646

Communications Committee, Chair Development Committee Finance Committee

Richard M. Schwartz, Esq.

Schwartz Levin & Milano LLP 275 Madison Avenue, 36th floor New York, NY 10016 (212) 922-0700

Audit Committee Community Services Committee

Nominating Committee

Andrew J. Soffel

Retired Chairman of the Board of Directors Crowley, Milner and Company 27086 Shell Ridge Circle Bonita Springs, FL 34134 (941) 947-3737

Audit Committee

Development Committee, Co-Chair Investment Committee Research Committee

Diane K. Winokur

Winokur Associates 645 Stockton St., #1100 San Francisco, CA 94108 (415) 421-6486

Patient Services Committee Research Committee

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The Amyotrophic Lateral Sclerosis Association Board of Trustees List Page - 5 - State of Florida Division of Corporations Attachment #12 & #13

 $\equiv :::$ 

**Laural Winston**37473 Sky Light Road
Palm Desert, CA 92211-1337
(760) 360-3324

Community Services Committee Executive Committee Finance Committee Investment Committee, Chair Patient Services Committee

Note:

The aforementioned trustees are all volunteers and do not receive compensation, compensation to employee benefit plans or expense accounts.