

# 2000 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # F92000000997

1. Entity Name

MANNING & NAPIER ADVISORS, INC.

APPROVED AND FILED  
03-02-2000 90032 022 \*\*\*150.00  
F92000000997

Principal Place of Business

1100 CHASE SQUARE  
ROCHESTER NY 14604

Mailing Address

1100 CHASE SQUARE  
ROCHESTER NY 14604-1905

00 MAR 20 AM 9:39

SECRETARY OF STATE



DO NOT WRITE IN THIS SPACE

2. Principal Place of Business

Suite, Apt. #, etc.

City & State

Zip

Country

3. Mailing Address

Suite, Apt. #, etc.

City & State

Zip

Country

4. FEI Number

16-0995736

Applied For

Not Applicable

5. Certificate of Status Desired

☐ \$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent

MOORE, COLLEEN  
SOUTH TRUST PLAZA  
1800 SECOND STREET, SUITE 852  
SARASOTA FL 34236

7. Name and Address of New Registered Agent

Name

Gretchen Adrian\*

Street Address (P.O. Box Number is Not Acceptable)

South Trust Plaza

1800 Second Street, Suite 852

City

Sarasota,

FL

Zip Code 34236

\*Change of agent for submitted, accepted on

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when re-registering)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so.  
(See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00**  
**After MAY 1, 2000 Fee will be \$550.00**  
**Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution. ☐

\$5.00 May Be Added to Fees

11. OFFICERS AND DIRECTORS

|                |                          |  |
|----------------|--------------------------|--|
| TITLE          | P                        | <input type="checkbox"/> Delete            |
| NAME           | MANNING, WILLIAM         |  |
| STREET ADDRESS | 1100 CHASE SQUARE        |  |
| CITY-ST-ZIP    | ROCHESTER NY 14604       |  |
| TITLE          | S                        | <input type="checkbox"/> Delete            |
| NAME           | HERRICK, FONDA L         |  |
| STREET ADDRESS | 1100 CHASE SQUARE        |  |
| CITY-ST-ZIP    | ROCHESTER NY 14604       |  |
| TITLE          | EVP                      | <input type="checkbox"/> Delete            |
| NAME           | AUSPITZ, B. REUBEN       |  |
| STREET ADDRESS | 1100 CHASE SQUARE        |  |
| CITY-ST-ZIP    | ROCHESTER NY 14604       |  |
| TITLE          | EVP                      | <input checked="" type="checkbox"/> Delete |
| NAME           | EDWARD, GEORGE R         |  |
| STREET ADDRESS | 1100 CHASE SQUARE        |  |
| CITY-ST-ZIP    | ROCHESTER NY 14604       |  |
| TITLE          | TCFO                     | <input type="checkbox"/> Delete            |
| NAME           | HENDERSHOT GALUSHA, BETH |  |
| STREET ADDRESS | 1100 CHASE SQUARE        |  |
| CITY-ST-ZIP    | ROCHESTER NY 14604       |  |
| TITLE          | D                        | <input type="checkbox"/> Delete            |
| NAME           | HERMANN, JEFFREY A       |  |
| STREET ADDRESS | 1100 CHASE SQUARE        |  |
| CITY-ST-ZIP    | ROCHESTER NY 14604       |  |

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

|                |                           |  |
|----------------|---------------------------|--|
| TITLE          | P and D                   | <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition |
| NAME           | Manning, William          |  |
| STREET ADDRESS | 1100 Chase Square         |  |
| CITY-ST-ZIP    | Rochester, New York 14604 |  |
| TITLE          |                           | <input type="checkbox"/> Change <input type="checkbox"/> Addition            |
| NAME           |                           |  |
| STREET ADDRESS |                           |  |
| CITY-ST-ZIP    |                           |  |
| TITLE          | EVP and D                 | <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition |
| NAME           | Auspitz, B. Reuben        |  |
| STREET ADDRESS | 1100 Chase Square         |  |
| CITY-ST-ZIP    | Rochester, New York 14604 |  |
| TITLE          |                           | <input type="checkbox"/> Change <input type="checkbox"/> Addition            |
| NAME           |                           |  |
| STREET ADDRESS |                           |  |
| CITY-ST-ZIP    |                           |  |
| TITLE          |                           | <input type="checkbox"/> Change <input type="checkbox"/> Addition            |
| NAME           |                           |  |
| STREET ADDRESS |                           |  |
| CITY-ST-ZIP    |                           |  |

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 807, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

*Fonda L. Herrick*

Fonda L. Herrick February 24, 2000

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Corporate Secretary

Date

(716) 325-6880

CR2E034 (999)