

2001 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 23, 2001 8:00 am
Secretary of State

0000310

DOCUMENT # F92000000104

1. Entity Name

BMO NESBITT BURNS CORP.

04-23-2001 90203 040 ***150.00

Principal Place of Business

**430 PARK AVE
ATTN: LEGAL DEPARTMENT
NEW YORK NY 10022-3505
US**

Mailing Address

**430 PARK AVE
ATTN: LEGAL DEPARTMENT
NEW YORK NY 10022-3505
US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country



DO NOT WRITE IN THIS SPACE

4. FEI Number **13-2620737**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State**

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **COB** ☐ Delete
NAME **ORR, R J**
STREET ADDRESS **1 FIRST CANADIAN PLACE**
CITY-ST-ZIP **TORONTO, ONTARIO, CANADA M5X- 1H3**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **PD** ☐ Delete
NAME **HARTLEY, DAVID**
STREET ADDRESS **430 PARK AVE FLOOR 15**
CITY-ST-ZIP **NEW YORK NY 10022-3505**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **S** ☐ Delete
NAME **ZEISS, MICHAEL G**
STREET ADDRESS **430 PARK AVENUE FLOOR 15**
CITY-ST-ZIP **NEW YORK NY 10022-3505**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **AS** ☐ Delete
NAME **EPLETT, SUSAN**
STREET ADDRESS **53 HILLHURST RD**
CITY-ST-ZIP **TORONTO, ONTARIO, CANADA M5N- 1N5**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **CFO** ☒ Delete
NAME **HUDSON, MARK**
STREET ADDRESS **430 PARK AVENUE FLOOR 15**
CITY-ST-ZIP **NEW YORK NY 10022**

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE **C** ☐ Delete
NAME **VORSTER, SUSANNE H**
STREET ADDRESS **111-09 76TH RD APT E-1**
CITY-ST-ZIP **FOREST HILLS NY 11375**

TITLE ☒ Change ☐ Addition
NAME **CFO**
STREET ADDRESS **Vorster, Susanne H.**
CITY-ST-ZIP **111-09 76th Rd Apt. E-1
Forest Hills, NY 11375**

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Therese R. Umba Assistant Secretary
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/19/01 (212) 605-1613
Date Daytime Phone #

CR2E034 (10/00)

BMO NESBITT BURNS CORP.
(as of April 3, 2001)

Attachment
#F92 00000104
745761

<u>Directors</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
ORR, Robert Jeffrey	90 Highbourne Road Toronto, Ontario M5P 2J6 Canada	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Chairman of the Board
LYMAN, Edward W.	1510 Tower Road Winnetka, IL USA 60093	111 West Monroe, 4W Chicago, Illinois USA 60603	Vice Chair
BOURDEAU, Yvan Joseph Pierre	393 Glencairn Avenue Toronto, ON M5N 1V2 Canada	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Director only
WRIGHT, Thomas Charles	780 Sheridan Road Glencoe, Illinois USA, 60022	111 West Monroe St. 20th Floor East Chicago, Illinois USA 60604	Executive Managing Director
HARTLEY, David	1077 River Road Apartment 511N Edgewater, NJ USA 12764	430 Park Avenue New York, New York USA 10022	Executive Managing Director and Chief Operating Officer
COSTELLO, Ellen	247 East 71st Street New York, NY USA 10021	430 Park Avenue New York, NY USA 10022	Director only
HYMA, David R.	1657 Covington Terrace Mississauga, Ontario Canada L5M 3S4	1 First Canadian Place Toronto, Ontario M5X 1A1	Director only
TANDON, Sanjiv	531 Main Street Suite 1515 New York, NY USA 10044	430 Park Avenue New York, New York USA 10022	Director only
TRIPP, Eric Clifton	42 Balsam Avenue Toronto, ON M4E 3B4	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Director only

BMO NESBITT BURNS CORP.
(as at April 3, 2001)

*Attachment
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<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
ZEISS, Michael George	368 Carter St. New Canaan, CT USA 06840	430 Park Avenue New York, NY USA 10022-3505	Managing Director and Secretary
EPLETT, Susan Elizabeth	53 Hillhurst Road Toronto, Ontario M5N 1N5 Canada	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Assistant Secretary
HORRA, Yasmin	901 Duncannon Drive Pickering, Ontario L1X 2M3 Canada	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Assistant Secretary
MCGRANN, Michael Sheehan	12 Treeline Court Etobicoke, Ontario M9C 1K8 Canada	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Assistant Secretary
UMBS, Therese R.	519 Bloomfield Avenue Apt. 5C Caldwell, NJ USA 07006	430 Park Avenue New York, NY USA 10022-3505	Assistant Secretary
ADAIR, Charles Louis	103 E. George Street Wheaton, IL USA 60187	115 South LaSalle 20th Floor West Chicago, IL USA 60603	Managing Director
ALLEN, James B.	147 West 49 th St. Apt. 7A New York, NY USA 10024	430 Park Avenue New York, NY USA 10022-3505	Managing Director
BADE, Robert A.	101 South Summit Unit 210 Park Ridge, IL USA 60068	115 South LaSalle Street 20th Floor West Chicago, IL USA 60603	Vice-President
BARBINO, William J.	1212 Cromwell Lane Naperville, IL USA 60564	115 S. LaSalle Street 13 W Chicago, IL USA 60603	Vice-President

BMO NESBITT BURNS CORP.
(as at April 3, 2001)

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<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
BROWN, Timothy	60 East First Street Apt. 4B New York, NY USA 10003	430 Park Avenue New York, NY USA 10022-3505	Vice-President
BUSA, Joseph	8 The High Road Bronxville, NY USA 10708	430 Park Avenue New York, NY USA 10022-3505	Vice-President
CASPER, David Robert	2234 Crabtree Lane Northbrook, IL USA 60521	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Managing Director
CERRONE, Maria	160 Olmsted Road Riverside, IL USA 60546	115 South LaSalle St. 19W Chicago, IL USA 60603	Vice President
CHEEVERS, Owen James	90 Old Road Westport, CT USA 06880	430 Park Avenue 7th Floor New York, NY USA 10022-3505	Managing Director
CHIN, Roger Ignatius	245 East 54th Street New York, NY USA 10022	430 Park Avenue New York, NY USA 10022-3505	Managing Director
COLITTO, Alessandro	345 W. Fullerton Pkwy Apt. 2608 Chicago, IL USA 60614	115 South LaSalle St. 20W Chicago, IL USA 60603	Vice-President
COLLTON, Edward T.	104 Drury Lane Schwenksville, PA USA 19473	430 Park Avenue 7th Floor New York, NY USA 10022-3505	Vice-President
DAVIDSON, Karen	600 Columbus Avenue Apt. 6R New York, NY USA 10024	430 Park Avenue New York, NY USA 10022-3505	Vice-President

BMO NESBITT BURNS CORP.
(as at April 3, 2001)

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<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
DeCAMP, Alfred	360 Peterbush Drive Monroe, NY USA 10950	430 Park Avenue New York, NY USA 10022-3505	Vice-President
DOMENICO, Michael Leo	19 Hidden Pine Avenue Colts Neck, NJ USA 07722	430 Park Avenue New York, NY USA 10022-3505	Managing Director
DONDERO, Stephen Joseph	8 Lynridge Lane Huntington, NY USA 11743	430 Park Avenue 7th Floor New York, NY USA 10022-3505	Vice-President
EATROFF, Bruce A.	33 Westview Road Short Hills, NJ USA 07078	430 Park Avenue 16th Floor New York, NY USA 10022-3505	Executive Managing Director
EMOND, Gary	205 Seeley St. Brooklyn, NY USA 11218	430 Park Avenue New York, NY USA 10022-3505	Managing Director
FOLEY, Denis	6155 W. Marshall Unit 3E Chicago Ridge, IL USA 60415	111 West Monroe St. 20 W Chicago, IL USA 60603	Vice-President
FRANKLIN, Scott Michael	1109 Longford Road Bartlett, IL USA 60103	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Vice-President
FRASER (McNally Smith), Harvey	1310 North Green Bay Rd. Lake Forest, IL USA 60045	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Managing Director
GIBBONS, Kevin Patrick	2650 North Orchard Chicago, IL USA 60614	115 South LaSalle Street 20th Floor West Chicago, IL USA 60603	Vice-President

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GOLDSMITH, Mathew	321 East 90 th Street New York, NY USA 10128	430 Park Avenue New York, NY USA 10022-3505	Managing Director
GREEN, David Baillie	414 South Bodin Street Hinsdale, IL USA 60521	115 South LaSalle St. 20th Floor West Chicago, IL USA 60603	Managing Director
GROVA, Robert	381 Guyon Avenue Staten Island, NY USA 10306	430 Park Avenue New York, NY USA 10022-3505	Vice-President
GUPTA, Deepak	43 West 61st Street Apt. 25Z New York, NY USA 10023	430 Park Avenue New York, NY USA 10022-3505	Vice-President
HINDENACH, James L.	111 Hemlock Hills North Fairfield, CT USA 06430	430 Park Avenue New York, NY USA 10022-3505	Vice-President
HURWITZ, Richard S.	25 Central Park West-17J New York, NY USA 10023	430 Park Avenue New York, NY USA 10022-3505	Executive Managing Director
JOHNSON, Paul	1108 Linden Ave. Deerfield, IL USA 60015	111 West Monroe St. 20E Chicago, IL USA 60603	Vice-President
KAISAND, Craig	148 Watchung Ave. Chatham, NJ USA 07928	430 Park Avenue New York, NY USA 10022-3505	Vice-President
KENT, James P.	5861 West 126 th Street Alsip, IL USA 60803	115 South LaSalle 19W Chicago, IL USA 60603	Vice-President
KIJEWSKI, Richard M.	66 - 17th Charleston Oak Forest, IL USA 60452	115 South LaSalle St. 20th Floor West Chicago, IL USA 60603	Managing Director

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<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
KNOOP, Steven E.	Apt. 102, 641 W. Willow Chicago, IL USA 60614	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Managing Director
KRIS, Douglas A.	4 Hemlock Lane Morristown, NJ USA 07960	430 Park Avenue New York, NY USA 10022-3505	Vice-President
KUCERA, David John	1800 West Wabansia Chicago, IL USA 60622	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Managing Director
LAVITT, Jed	44 Jane Street New York, NY USA 10014	430 Park Avenue New York, NY USA 10022-3505	Vice-President
LAZAROVICI, Victor	100 Green Acres Ave. Scarsdale, NY USA 10583-7109	430 Park Avenue New York, NY USA 10022-3505	Managing Director
LYNCH, Owen Thomas	68 Oakland Place Summit, NJ USA 07901	430 Park Avenue New York, NY USA 10022-3505	Executive Managing Director
MAJERUS, Paul Gregory	Apt. 3, 1016 West Wolfran Chicago, IL USA 60657	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Vice-President
MARK, Ronald L.	2524 Woodlawn Road Northbrook, IL USA 60062	115 South LaSalle 19W Chicago, IL USA 60603	Vice-President
McGRATH, Christopher C. III	56 East 11th Street, Apt. Y New York, NY USA 10003	430 Park Avenue 8th Floor New York, NY USA 10022-3505	Managing Director
McNITT, Peter W.	258 Ridge Winnetka, IL USA 60093	111 West Monroe, 10W Chicago, IL USA 60603	Executive Managing Director

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<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
McVETTY, Robert J.	2221 Palmer Ave. Apt. 1C New Rockelle, NY USA 10801	430 Park Avenue New York, NY USA 10022-3505	Vice-President
McVEY, James Joseph	132 Lawrence Ave. Staten Island, NY USA 10310	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Managing Director
MOGLIA, James J.	825 West Shore Drive Kinnelon, NJ USA 07405	430 Park Avenue New York, NY USA 10022-3505	Executive Managing Director
MORGAN, Headley Lloyd	1565 East 37 th St. Brooklyn, NY USA 11234	430 Park Avenue New York, NY USA 10022-3505	Vice-President
MORRIS, Gregory Paul	1372 Crabapple Ct. Batoria, IL USA 60510	115 South LaSalle Street 20th Floor West Chicago, IL USA 60603	Vice-President
MOSER, William John	1120 Glencrest Dr. Inverness, IL USA 60010	115 South LaSalle Street 20th Floor West Chicago, IL USA 60603	Vice-President
MURPHY, Patrick J.	1223 Park Avenue River Forest, IL USA 60305	200 West Monroe 18th Floor Chicago, IL USA 60606	Vice-President Tax
NOLAN, Christian T.	760 West End Avenue Apt. 6E New York, NY USA 10025	430 Park Avenue New York, NY USA 10022-3505	Vice-President
NOLAN, Robert B.	602 North Street Greenwich, CT USA 06830	430 Park Avenue 16th Floor New York, NY USA, 10022-3505	Executive Managing Director

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(as at April 3, 2001)

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<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
OLSON, Julie Strodel	2014 Beechwood Avenue Wilmette, IL USA 60091	115 South LaSalle Street 20th Floor West Chicago, IL USA 60603	Vice-President
PAPPANO, John Robert	11900 N. Elma Avenue Elgin, IL USA 60120	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Vice-President
PHILLIPS, Jeffrey James	28533 Heritage Oak Road Barrington, IL USA 60010	115 South LaSalle St. 20th Floor West Chicago, IL USA 60603	Managing Director
PRIOLEAU, Charlie	3514 Albans Houston, TX USA 77005	700 Louisiana Street Suite 4400 Houston, TX USA 77002	Executive Managing Director
RAMSDEN, Dan	83 Fitch Avenue Darien, CT USA 06820	430 Park Avenue New York, NY USA 10022-3505	Managing Director
RIFFE, Kristi S.	33 Bright Ridge Drive Schaumburg, IL USA 60194	115 S. LaSalle Street Floor 13W Chicago, IL USA 60603	Vice-President
ROCHE, Mark	2732 Cheyenne Drive Naberville, IL USA 60565	115 South LaSalle St. 20th Floor West Chicago, IL USA 60603	Managing Director
RODMAN, Benjamin	427 9th St. Wilmette, IL USA 60091	111 West Monroe St. 20th Floor West Chicago, IL USA 60604	Vice-President
ROSE, Derek	428 South Wesley Ave. Oak Park, IL USA 60301	111 West Monroe St. 13W Chicago, IL USA 60603	Vice-President

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<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
ROTH, Elisabeth "Betsy"	643 West Fullerton Pkwy Unit 2 Chicago, IL USA 60614	111 West Monroe St. Floor 20E Chicago, IL USA 60603	Vice-President
RUTH, Christopher H.	955 Lexington Avenue New York, NY USA 10021	430 Park Avenue 16th Floor New York, NY USA 10022-3505	Managing Director
RYAN, Christopher J.	50 Columbus Avenue Tuckahoe, NY USA 10707	430 Park Avenue New York, NY USA 10022-3505	Vice-President
SCHNEIDER, William	451 Strey Lane Houston, TX USA 07078	700 Louisiana Street Suite 4400 Houston, TX USA 77002	Vice-President
SELPH, Diane	435 West Erie Street Suite 1106 Chicago, IL USA 60610	111 West Monroe St/ 20E Chicago, IL USA 60603	Vice-President
SHAFFER, Norman Stuart	110 Highland Avenue Short Hills, NJ USA 07078	430 Park Avenue New York, NY USA 10022-3505	Vice-President
SILVA, Benjamin Jr.	365 Cedar Swamp Road Jackson, NJ USA 08527	430 Park Avenue New York, NY USA 10022-3505	Vice-President
SMITH, Marshall (Mark) D.	12323 Mossycup Drive Houston, TX USA 77024	700 Louisiana Suite 4425 Houston, TX USA 77002	Managing Director
STANLEY, Geoffrey Douglas	396 Sound Beach Ave. Old Greenwich, CT USA 06870	430 Park Avenue New York, NY USA 10022-3505	Managing Director
STODART, Eugene Robert	1111 Reddington Dr. Aurora, IL USA 60604	700 Louisiana St. Suite 4425 Houston, TX USA 77002	Managing Director

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<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
SYLVAN, Ian L.	375 Castlewood Lane Deerfield, IL USA 60015	111 West Monroe Floor 20E Chicago, IL USA 60603	Managing Director
TAYLOR, Jeffrey	49 North Bridgeview St. Palatine, IL USA 60067	111 West Monroe Floor 20E Chicago, IL USA 60603	Vice-President
THOMSON, William Arthur Ian	2626 N. Lakeview Ave. Apt. 702 Chicago, IL USA 60614	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Managing Director
VITTON, Charles W.	266 Oak Ridge Ave. Summit, NJ USA 07901	430 Park Avenue New York, NY USA 10022-3505	Managing Director
VITTON, Michael Stephen	16 Otter Rock Drive Greenwich, CT USA 06830	430 Park Avenue New York, NY USA 10022-3505	Executive Managing Director
VON KREUTER, James	625 Washington Ave. Glencoe, IL USA 60022	115 South LaSalle St. 20 West Chicago, IL USA 60603	Vice-President
VORSTER, Susanne H.	111-09 76th Road Apt. E-1 Forest Hills, NY USA 11375	430 Park Avenue 15th Floor New York, NY USA 10022-3505	Vice-President and Chief Financial Officer
WALSH, James	1716 Delogier Glenview, IL USA 60025	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Managing Director
WALSH, Peter Edward	161 Copperwood Dr. Buffalo Grove, IL USA 60089	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Managing Director

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<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
WHITE, John M.	2914 Chiswell Houston, TX USA 77025	700 Louisiana St. Suite 4425 Houston, TX USA 77002	Managing Director
WISSING, Shawn W.	1110 West Waltann Lane Phoenix, Arizona USA 85023	115 S. LaSalle Street 13 W Chicago, IL USA 60603	Vice-President
WITTSCHEN, Thomas	20 Monroe Plc. Brooklyn, NY USA 11201	430 Park Avenue New York, NY USA 10022-3505	Managing Director