

2000 UNIFORM BUSINESS REPORT (UBR)**FILED****Apr 25, 2000 8:00 am**
Secretary of State

04-25-2000 90019 039 ***150.00

DOCUMENT # F92000000104

1. Entity Name

NESBITT BURNS SECURITIES INC.

Principal Place of Business

Mailing Address

115 S LASALLE STREET
FLOOR 20 W
CHICAGO IL 60603-4003
US115 S LASALLE STREET
FLOOR 20 W
CHICAGO IL 60603-3801
US

2. Principal Place of Business

430 Park Avenue

3. Mailing Address

430 Park Avenue

Suite, Apt. #, etc.

Suite, Apt. #, etc.

Attention: Legal Department**Attention: Legal Department**

City & State

City & State

New York, New York**New York, New York**

Zip

Country

10022-3505**USA**

Zip

Country

10022-3505**USA**

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☒**FILE NOW!!! FEE IS \$150.00**
After MAY 1, 2000 Fee will be \$550.00
Make Check Payable to Department of State10. Election Campaign Financing
Trust Fund Contribution. ☐**\$5.00 May Be**
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE	NAME	STREET ADDRESS	CITY-ST-ZIP	TITLE	NAME	STREET ADDRESS	CITY-ST-ZIP
	CD				Chairman of the Board		
	STECK, BRIAN J	28 COSMIC DRIVE	DON MILLS, ONTARIO, CANADA		Orr, R. Jeffrey	1 First Canadian Place	Toronto, Ontario, Canada M5X 1H3
	PD				PD		
	BAILLIE, AUBREY W	17 MILDENHALL ROAD	TORONTO, ONTARIO, CANADA		Hartley, David	430 Park Avenue, Floor 15	New York, New York 10022-3505
	SVP				Secretary		
	HARTLEY, DAVID	430 PARK AVENUE FLOOR 15	NEW YORK NY 10022		Zeiss, Michael G.	430 Park Avenue, Floor 15	New York, New York 10022-3505
	V				SEE ATTACHED LIST OF OFFICERS		
	IOZZI, ALBERT L	430 PARK AVENUE FLOOR 15	NEW YORK NY 10022		and DIRECTORS		
	CFD						
	HUDSON, MARK	430 PARK AVENUE FLOOR 15	NEW YORK NY 10022				

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: Michael G. Zeiss **Michael G. Zeiss, Secretary**4/18/00

Date

212-605-1658

Daytime Phone #

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

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BMO NESBITT BURNS CORP.
(as at February 25, 2000)

<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
BADE, Robert A.	101 South Summit Unit 210 Park Ridge, IL USA 60068	115 South LaSalle Street 20th Floor West Chicago, IL USA 60603	Vice-President
BARNES, Sylvia Kathleen	4853 Post Oak Timber Houston TX USA 77056	700 Louisiana Suite 4425 Houston, TX USA 77002	Managing Director
BUSA, Joseph	8 The High Road Bronxville, NY USA 10708	430 Park Avenue New York, NY USA 10022	Vice-President
CASPER, David Robert	2234 Crabtree Lane Northbrook, IL USA 60521	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Managing Director
CERRONE, Maria	160 Olmsted Road Riverside, IL USA 60546	115 South LaSalle St. 19W Chicago, IL USA 60603	Vice President
CHEEVERS, Owen James.	90 Old Road Westport, CT USA 06880	430 Park Avenue 7th Floor New York, NY USA 10022	Managing Director
CHIN, Roger Ignatius	245 East 54th Street New York, NY USA 10022	430 Park Avenue New York, NY USA 10022	Managing Director
COLITTO, Alessandro	345 W. Fullerton Pkwy Apt. 2608 Chicago, IL USA 60614	115 South LaSalle St. 20W Chicago, IL USA 60603	Vice-President
CORSTINE, Charles	225 East 6 th Street New York, NY USA 10003	430 Park Avenue New York, NY USA 10022	Vice-President

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BMO NESBITT BURNS CORP.
(as at February 25, 2000)

<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
DAVIDSON, Karen	600 Columbus Avenue Apt. 6R New York, NY USA 10024	430 Park Avenue New York, NY USA 10022	Vice-President
DeCAMP, Alfred	360 Peterbush Drive Monroe, NY USA 10950	430 Park Avenue New York, NY USA 10022	Vice-President
DELANEY, Brian Peter	2 Coley Dr. Westen, CT USA 60883	430 Park Avenue 7th Floor New York, NY USA 10022	Vice-President
DOMENICO, Michael Leo	19 Hidden Pine Avenue Colts Neck, NJ USA 07722	430 Park Avenue New York, NY USA 10022	Managing Director
DONDERO, Stephen Joseph	8 Lynridge Lane Huntington, NY USA 11743	430 Park Avenue 7th Floor New York, NY USA 10022	Vice-President
EDMOND, Gary	205 Seeley St. Brooklyn, NY USA 11218	430 Park Avenue New York, NY USA 10022	Managing Director
FINKEL, Howard F.	322 West 57th St. Apt. 390 New York, NY USA 10079	430 Park Avenue 7th Floor New York, NY USA 10022	Vice-President
FOLEY, Denis	6155 W. Marshall Unit 3E Chicago Ridge, IL USA 60415	111 West Monroe St. 20 W Chicago, IL USA 60603	Vice-President
FRANKLIN, Scott Michael	1109 Longford Road Bartlett, IL USA 60103	111 West Monroe St. 20th Floor East Chicago, Illinois USA 60604	Vice-President

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BMO NESBITT BURNS CORP.
(as at February 25, 2000)

<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
MAJERUS, Paul Gregory	Apt. 3, 1016 West Wolfran Chicago, IL USA 60657	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Vice-President
MARK, Ronald L.	2524 Woodlawn Road Northbrook, IL USA 60062	115 South LaSalle 19W Chicago, IL USA 60603	Vice-President
McNITT, Peter W.	258 Ridge Winnetka, IL USA 60093	111 West Monroe, 10W Chicago, IL USA 60603	Executive Managing Director
McVETTY, Robert J.	2221 Palmer Ave. Apt. 1C New Rockelle, NY USA 10801	430 Park Avenue New York, NY USA 10022	Vice-President
McVEY, James Joseph	132 Lawrence Ave. Staten Island, NY USA 10310	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Managing Director
MELLO, Robert Louis	28 Signal Hill Road Wilton, CT USA 06897	430 Park Avenue New York, NY USA 10022	Managing Director
MORGAN, Headley Lloyd	1565 East 37 th St. Brooklyn, NY USA 11234	430 Park Avenue New York, NY USA 10022	Vice-President
MORRA, Thomas Rocco	103 Garden St. Garden City, NY USA 11530	430 Park Avenue 7th Floor New York, NY USA 10022	Vice-President
MORRIS, Gregory Paul	1372 Crabapple Ct. Batoria, IL USA 60510	115 South LaSalle Street 20th Floor West Chicago, IL USA 60603	Vice-President

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BMO NESBITT BURNS CORP.
(as at February 25, 2000)

<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
MOSER, William John	1120 Glencrest Dr. Inverness, IL USA 60010	115 South LaSalle Street 20th Floor West Chicago, IL USA 60603	Vice-President
MURPHY, Patrick J.	1223 Park Avenue River Forest, IL USA 60305	200 West Monroe 18th Floor Chicago, IL USA 60606	Vice-President Tax
NOLAN, Christian T.	760 West End Avenue Apt. 6E New York, NY USA 10025	430 Park Avenue New York, NY USA 10022	Vice-President
OLSON, Julie Strodel	2014 Beechwood Avenue Wilmette, IL USA 60091	115 South LaSalle Street 20th Floor West Chicago, IL USA 60603	Vice-President
PAPPANO, John Robert	11900 N. Elma Avenue Elgin, IL USA 60120	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Vice-President
PHILLIPS, Jeffrey James	28533 Heritage Oak Road Barrington, IL USA 60010	115 South LaSalle St. 20th Floor West Chicago, IL USA 60603	Managing Director
PICACHE, Miguel Rogel P.	33 Fair View Ave. Darien, CT USA 06820	430 Park Avenue New York, NY USA 10022	Managing Director
PUJOLS, Peter J.	218 Claypitts Road East North Port, NY USA 11731	430 Park Avenue New York, NY USA 10022	Vice-President
RAMSDEN, Dan	83 Fitch Avenue Darien, CT USA 06820	430 Park Avenue New York, NY USA 10022	Managing Director

BMO NESBITT BURNS CORP.
(as of February 25, 2000)

<u>Directors</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
ORR, Robert Jeffrey	90 Highbourne Road Toronto, Ontario M5P 2J6 Canada	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Chairman of the Board
LYMAN, Edward W.	1510 Tower Road Winnetka, IL USA 60093	111 West Monroe, 4W Chicago, Illinois USA 60603	Vice Chair
BOURDEAU, Yvan Joseph Pierre	393 Glencairn Avenue Toronto, ON M5N 1V2 Canada	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Director only
WRIGHT, Thomas Charles	780 Sheridan Road Glencoe, Illinois USA, 60022	111 West Monroe St. 20th Floor East Chicago, Illinois USA 60604	Executive Managing Director
TREMAINE, Christopher Arthur	235 West 56th Street Apartment 28M New York, NY USA 10019	430 Park Avenue New York, New York USA 10022	Executive Managing Director
HARTLEY, David	1077 River Road Apartment 511N Edgewater, NJ USA 12764	430 Park Avenue New York, New York USA 10022	Executive Managing Director and Chief Operating Officer
TANDON, Sanjiv	531 Main Street Suite 1515 New York, NY USA 10044	430 Park Avenue New York, New York USA 10022	Director only
TRIPP, Eric Clifton	42 Balsam Avenue Toronto, ON M4E 3B4	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Director only

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BMO NESBITT BURNS CORP.
(as at February 25, 2000)

<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
HUDSON, Mark John	12 Fallswood Lane Manalapan, NJ USA 07726	430 Park Avenue New York, NY USA 10022	Managing Director and Chief Financial Officer
ZEISS, Michael George	368 Carter St. New Canaan, CT USA 06840	430 Park Avenue New York, NY USA 10022	Managing Director and Secretary
EPLETT, Susan Elizabeth	53 Hillhurst Road Toronto, Ontario M5N 1N5 Canada	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Assistant Secretary
HORRA, Yasmin	901 Duncannon Drive Pickering, Ontario L1X 2M3 Canada	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Assistant Secretary
MCGRANN, Michael Sheehan	12 Treeline Court Etobicoke, Ontario M9C 1K8 Canada	1 First Canadian Place Toronto, Ontario M5X 1H3 Canada	Assistant Secretary
ADAIR, Charles Louis	103 E. George Street Wheaton, IL USA 60187	115 South LaSalle 20th Floor West Chicago, IL USA 60603	Managing Director
ADAM, Zoltan	Apt. 9C, 45 West 67th St. New York, NY USA 10023	430 Park Avenue New York, NY New York, NY USA 10022	Vice-President
ALCHEH, Jeffrey Darryl	6 Debra Crescent New City, NJ USA 10956	430 Park Avenue New York, NY USA 10022	Executive Managing Director
ALLEN, James B.	147 West 49 th St. Apt. 7A New York, NY USA 10024	430 Park Avenue New York, NY USA 10022	Managing Director

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BMO NESBITT BURNS CORP.
(as at February 25, 2000)

<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
FRASER (McNally Smith), Harvey	1310 North Green Bay Rd. Lake Forest, Illinois USA 60045	111 West Monroe St. 20th Floor East Chicago, Illinois USA 60604	Managing Director
GIBBONS, Kevin Patrick	2650 North Orchard Chicago, Illinois USA 60614	115 South LaSalle Street 20th Floor West Chicago, Illinois USA 60603	Vice-President
GLASSER, Jay	8 Briarfield Drive Great Neck, NY USA 11020	430 Park Avenue New York, NY USA 10022	Vice-President
GOLDSMITH, Mathew	321 East 90 th Street New York, NY USA 10128	430 Park Avenue New York, NY USA 10022	Vice-President
GREEN, David Baillie	414 South Bodin Street Hinsdale, Illinois USA 60521	115 South LaSalle St. 20th Floor West Chicago, Illinois USA 60603	Managing Director
GROVA, Robert	381 Guyon Avenue Staten Island, NY USA 10306	430 Park Avenue New York, NY USA 10022	Vice-President
HINDENACH, James L.	111 Hemlock Hills North Fairfield, CT USA 06430	430 Park Avenue New York, NY USA 10022	Vice-President
HURWITZ, Richard S.	25 Central Park West-17J New York, NY USA 10023	430 Park Avenue New York, New York USA 10022	Executive Managing Director
KENNEDY, James R.	20 Helen Street Fan Wood, NJ USA 07023	430 Park Avenue New York, NY USA 10022	Vice-President
KENT, James P.	5861 West 126 th Street Alsip, Illinois USA 60803	115 South LaSalle 19W Chicago, Illinois USA 60603	Vice-President

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BMO NESBITT BURNS CORP.
(as at February 25, 2000)

<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
KIJEWSKI, Richard M.	66 - 17th Charleston Oak Forest, Illinois USA 60452	115 South LaSalle St. 20th Floor West Chicago, Illinois USA 60603	Managing Director
KNOOP, Steven E.	Apt. 102, 641 W. Willow Chicago, Illinois USA 60614	111 West Monroe St. 20th Floor East Chicago, Illinois USA 60604	Managing Director
KRIS, Douglas A.	4 Hemlock Lane Morristown, NJ USA 07960	430 Park Avenue New York, NY USA 10022	Vice-President
KUCERA, David John	1800 West Wabansia Chicago, Illinois USA 60622	111 West Monroe St. 20th Floor East Chicago, Illinois USA 60604	Managing Director
LANDAU, Mark Moe	11 Deer Run Rye Brook, New York USA 10573	430 Park Avenue New York, New York USA 10022	Managing Director
LAVITT, Jed	44 Jane Street New York, NY USA 10014	430 Park Avenue New York, New York USA 10022	Vice-President
LAWSON, Katherine Margaret	410 N. Taylor, Apt. #3D Oak Park, Illinois USA 60302	115 South LaSalle Street 20th Floor West Chicago, Illinois USA 60603	Vice-President
LAZAROVICI, Victor	100 Green Acres Ave. Scarsdale, New York USA 10583-7109	430 Park Avenue New York, New York USA 10022	Managing Director
LEBLANC, John Anthony	446 East, 86th Street #2E, New York, New York USA 10583-1108	430 Park Avenue 7th Floor New York, New York USA 10022	Vice-President
LYNCH, Owen Thomas	68 Oakland Place Summit, NJ USA 07901	430 Park Avenue New York, NY USA 10022	Executive Managing Director

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BMO NESBITT BURNS CORP.
(as at February 25, 2000)

<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
ROCHE, Mark	2732 Cheyenne Drive Naberville, IL USA 60565	115 South LaSalle St. 20th Floor West Chicago, IL USA 60603	Managing Director
RODMAN, Benjamin	427 9th St. Wilmette, IL USA 60091	111 West Monroe St. 20th Floor West Chicago, IL USA 60604	Vice-President
ROSE, Derek	428 South Wesley Ave. Oak Park, IL USA 60301	111 West Monroe 13W Chicago, IL USA 60603	Vice President
RYAN, Christopher J.	50 Columbus Avenue Tuckahoe, NY USA 10707	430 Park Avenue New York, NY USA 10022	Vice-President
SELPH, Diane	435 West Erie Street Suite 1106 Chicago, IL USA 60610	111 West Monroe St/ 20E Chicago, IL USA 60603	Vice-President
SHAFFER, Norman Stuart	110 Highland Avenue Short Hills, NJ USA 07078	430 Park Avenue New York, NY USA 10022	Vice-President
SHINSATO, Neal Masaki	2250 Broadway Ave. Apt. 6C New York, NY USA 10024	430 Park Avenue New York, NY USA 10022	Vice-President
SILVA, Benjamin Jr.	365 Cedar Swamp Road Jackson, NJ USA 08527	430 Park Avenue New York, NY USA 10022	Vice-President
SMITH, Marshall (Mark) D.	12323 Mossycup Drive Houston, TX USA 77024	700 Louisiana Suite 4425 Houston, TX USA 77002	Managing Director
STANLEY, Geoffrey Douglas	396 Sound Beach Ave. Old Greenwich, CT USA 06870	430 Park Avenue New York, NY USA 10022	Managing Director

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BMO NESBITT BURNS CORP.
(as at February 25, 2000)

<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
STODART, Eugene Robert	1111 Reddington Dr. Aurora, IL USA 605604	700 Louisiana St. Suite 4425 Houston, TX USA 77002	Managing Director
THOMSON, William Arthur Ian	2626 N. Lakeview Ave. Apt. 702 Chicago, IL USA 60614	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Managing Director
VITTON, Charles W.	266 Oak Ridge Ave. Summit, NJ USA 07901	430 Park Avenue New York, NY USA 10022	Managing Director
VITTON, Michael Stephen	16 Otter Rock Drive Greenwich, CT USA 06830	430 Park Avenue New York, NY USA 10022	Executive Managing Director
VON KREUTER, James	625 Washington Ave. Glencoe, IL USA 60022	115 South LaSalle St. 20 West Chicago, IL USA 60603	Vice-President
VORSTER, Susanne H.	111-09 76th Road Apt. E-1 Forest Hills, NY USA 11375	430 Park Avenue 15th Floor New York, NY USA 10022	Controller
WALSH, James	1716 Delogier Glenview, IL USA 60025	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Managing Director
WALSH, Peter Edward	161 Copperwood Dr. Buffalo Grove, IL USA 60089	111 West Monroe St. 20th Floor East Chicago, IL USA 60604	Vice-President
WHITE, John M.	2914 Chiswell Houston, TX USA 77025	700 Louisiana St. Suite 4425 Houston, TX USA 77002	Managing Director

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BMO NESBITT BURNS CORP.
(as at February 25, 2000)

<u>Officers (who are not Directors)</u>	<u>Residential Address</u>	<u>Business Address</u>	<u>Position Held</u>
WITTSCHEEN, Thomas	20 Monroe Plc. Brooklyn, NY USA 11201	430 Park Avenue New York, NY USA 10022	Managing Director

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**APPLICATION BY FOREIGN CORPORATION TO FILE AMENDMENT TO
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN
FLORIDA**

SECTION I (1-3 must be completed)

1. Nesbitt Burns Securities Inc.

Name of corporation as it appears within the records of the Department of State.

2. Incorporated under laws of: Delaware

3. Date authorized to do business in Florida: November 4, 1992

SECTION II (4-7 complete only the applicable changes)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation?

February 15, 2000

5. Name of corporation after the amendment, adding suffix "corporation," "company," "incorporated," or appropriate abbreviation, if not contained in new name of the corporation:

BMO Nesbitt Burns Corp.

6. If the amendment changes the period of duration, indicate new period of duration.

No Change

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.



Michael G. Zeiss, Secretary

April 12, 2000

Signature
Name and Title

Date