

F33083

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

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(Business Entity Name)

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16 JAN 15 PM 9:00

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Amel

JAN 19 2016

K. WHITE



EFG Capital Advisors, Inc.
701 Brickell Avenue, Suite 1350
Miami, Florida 33131 USA

Telephone: 305.381.8340
Facsimile: 305.381.8334
www.efgcapitaladvisors.com

January 14, 2016

FLORIDA DEPARTMENT OF STATE
Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Re: Articles of Amendment for EFG Investment Services, Inc.

Dear Sirs:

Enclosed please find the Articles of Amendment to Articles of Incorporation for EFG Investment Services Inc.:

Gonzalo Rodriguez-Fraile to be removed as Chairman of the Board and Director

We are including a check in the amount of \$43.75 pay for the filing fee.

Please send us the Ceretificate of Status of the Amended Articles.

Should you require anything further for the Amendment, please contact me at (305) 459-5352.

Thank you very much for your prompt attention to this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "Rosa Rivaflecha".

Rosa Rivaflecha

Corporate and Clients Department Assistant

COVER LETTER

TO: Amendment Section
Division of Corporations

NAME OF CORPORATION: EFG INVESTMENT SERVICES, INC.

DOCUMENT NUMBER: F33083

The enclosed *Articles of Amendment* and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Rosa Rivafllecha

Name of Contact Person

EFG Capital Advisors, Inc.

Firm/ Company

701 Brickell Avenue, Suite 1350

Address

Miami, FL 33131

City/ State and Zip Code

rosa.rivafllecha@efgcapitaladvisors.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Rosa Rivafllecha

at (305) 459-5352

Name of Contact Person

Area Code & Daytime Telephone Number

Enclosed is a check for the following amount made payable to the Florida Department of State:

☐ \$35 Filing Fee

☒ \$43.75 Filing Fee &
Certificate of Status

☐ \$43.75 Filing Fee &
Certified Copy
(Additional copy is
enclosed)

☐ \$52.50 Filing Fee
Certificate of Status
Certified Copy
(Additional Copy
is enclosed)

Mailing Address

Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address

Amendment Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

FILED

16 JAN 15 PM 9:00

Articles of Amendment
to
Articles of Incorporation
of

SECRETARY OF STATE
TALLAHASSEE FLORIDA
EFG INVESTMENT SERVICES, INC.

(Name of Corporation as currently filed with the Florida Dept. of State)

F33083

(Document Number of Corporation (if known))

Pursuant to the provisions of section 607.1006, Florida Statutes, this *Florida Profit Corporation* adopts the following amendment(s) to its Articles of Incorporation:

A. If amending name, enter the new name of the corporation:

N/A

The new name must be distinguishable and contain the word "corporation," "company," or "incorporated" or the abbreviation "Corp.," "Inc.," or Co.," or the designation "Corp.," "Inc.," or "Co". A professional corporation name must contain the word "chartered," "professional association," or the abbreviation "P.A."

B. Enter new principal office address, if applicable:

(Principal office address MUST BE A STREET ADDRESS)

N/A

C. Enter new mailing address, if applicable:

(Mailing address MAY BE A POST OFFICE BOX)

N/A

D. If amending the registered agent and/or registered office address in Florida, enter the name of the new registered agent and/or the new registered office address:

Name of New Registered Agent N/A

(Florida street address)

New Registered Office Address: _____, Florida _____
(City) (Zip Code)

New Registered Agent's Signature, if changing Registered Agent:

I hereby accept the appointment as registered agent. I am familiar with and accept the obligations of the position.

Signature of New Registered Agent, if changing

E. If amending or adding additional Articles, enter change(s) here:

(Attach additional sheets, if necessary). (Be specific)

N/A

F. If an amendment provides for an exchange, reclassification, or cancellation of issued shares, provisions for implementing the amendment if not contained in the amendment itself:

(if not applicable, indicate N/A)

N/A

The date of each amendment(s) adoption: December 31, 2015, if other than the date this document was signed.

Effective date if applicable: January 13, 2016
(no more than 90 days after amendment file date)

Note: If the date inserted in this block does not meet the applicable statutory filing requirements, this date will not be listed as the document's effective date on the Department of State's records.

Adoption of Amendment(s) (CHECK ONE)

☒ The amendment(s) was/were adopted by the shareholders. The number of votes cast for the amendment(s) by the shareholders was/were sufficient for approval.

☐ The amendment(s) was/were approved by the shareholders through voting groups. *The following statement must be separately provided for each voting group entitled to vote separately on the amendment(s):*

"The number of votes cast for the amendment(s) was/were sufficient for approval

by _____."
(voting group)

☐ The amendment(s) was/were adopted by the board of directors without shareholder action and shareholder action was not required.

☐ The amendment(s) was/were adopted by the incorporators without shareholder action and shareholder action was not required.

Dated 1/13/2016

Signature _____

(By a director, president or other officer – if directors or officers have not been selected, by an incorporator – if in the hands of a receiver, trustee, or other court appointed fiduciary by that fiduciary)

Sixto Campano

(Typed or printed name of person signing)

Director

(Title of person signing)