

F24000006146

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐

PICK-UP

☐

WAIT

☐

MAIL

(Business Entity Name)

(Document Number)

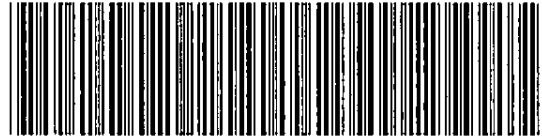
Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Rec'd
11/5/24

WE4 000143323

Office Use Only



900437459019

10/07/24--01031--005 **87.50

CLERK OF STATE
TALLAHASSEE, FL

2024 NOV 15 4 9:19

FILED

M. SOLOMON

DEC - 5 2024

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: COLLECTIVE LIQUIDITY, INC.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Lindsey Abarca-Jones

Name of Person

COLLECTIVE LIQUIDITY, INC.

Firm/Company

3797 Highland Rd

Address

Lafayette, CA 94549

City/State and Zip code

erika@collectiveliquidity.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Lindsey Abarca-Jones

at (925) 413-2004

Name of Person

Area Code

Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

Please make check payable to: **FLORIDA DEPARTMENT OF STATE**

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☐ \$78.75 Filing Fee &
Certified Copy

☒ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

2024 NOV 15 2:49:19
SEC. OF STATE
TALLAHASSEE, FL

FILED

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. COLLECTIVE LIQUIDITY, INC.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. DELAWARE 3. 85-2953833
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 8/13/20 5. _____
(Date of incorporation) (Date of duration, if other than perpetual)

6. 7/9/24
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 150 S. Pine Island Road, Ste. 300, Plantation, FL 33324
(Principal office street address)

3940 Broad St., Ste. 7421, San Luis Obispo, CA, 93401
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Registered Agents Inc

Office Address: 7901 4th St N, STE 300

St. Petersburg, Florida 33702
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

David Roberts

(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. For initial indexing purposes, list names, titles and addresses of the primary officers and/or directors [up to six (6) total]:

SECRET
STATE
TALLAHASSEE, FL

2024 NOV 15 4H 9:19

FILED

A. DIRECTORS

☐ Chairman Name: Greg Brogger
☐ Vice Chairman Address: 3940 Broad St.
☒ Director Ste. 7PMB421
☐ President San Luis Obispo, CA
☐ Vice President 93401
☐ Secretary ☐ Treasurer
☐ Other ☐ Other

☐ Chairman Name: Lawrence Butler
☐ Vice Chairman Address: 3940 Broad St.
☒ Director Ste. 7PMB421
☐ President San Luis Obispo, CA
☐ Vice President 93401
☐ Secretary ☐ Treasurer
☐ Other ☐ Other

☐ Chairman Name: Philip Trahanas
☐ Vice Chairman Address: 3940 Broad St.
☒ Director Ste. 7PMB421
☐ President San Luis Obispo, CA
☐ Vice President 93401
☐ Secretary ☐ Treasurer
☐ Other ☐ Other

☐ Chairman Name: Ross Kestin
☐ Vice Chairman Address: 3940 Broad St.
☒ Director Ste. 7PMB421
☐ President San Luis Obispo, CA
☐ Vice President 93401
☐ Secretary ☐ Treasurer
☐ Other ☐ Other

☐ Chairman Name: Scott Painter
☐ Vice Chairman Address: 3940 Broad St.
☒ Director Ste. 7PMB421
☐ President San Luis Obispo, CA
☐ Vice President 93401
☐ Secretary ☐ Treasurer
☐ Other ☐ Other

☐ Chairman Name: 1.) Erika McKiernan
☐ Vice Chairman Address: 3940 Broad St., Ste. 7PMB421
☐ Director San Luis Obispo, CA, 93401
☐ President 2.) Ankit Misra
☐ Vice President 3940 Broad St., Ste. 7PMB421
☐ Secretary San Luis Obispo, CA, 93401
☐ Treasurer
☒ Other Chief Financial Officer ☒ Other Chief Investment

Important Notice: Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed individuals may be added to the index when filing your Florida Department of State Annual Report form.

12. Erika McKiernan
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Erika McKiernan, Chief Financial Officer and Co-founder
(Typed or printed name and capacity of person signing application)

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "COLLECTIVE LIQUIDITY, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE EIGHTEENTH DAY OF SEPTEMBER, A.D. 2024.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "COLLECTIVE LIQUIDITY, INC." WAS INCORPORATED ON THE THIRTEENTH DAY OF AUGUST, A.D. 2020.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



3443091 8300

SR# 20243682606

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Authentication: 204428208

Date: 09-18-24

October 21, 2024

LINDSEY ABARCA-JONES
3797 HIGHLAND RD
LAFAYETTE, CA 94549 US

SUBJECT: COLLECTIVE LIQUIDITY , INC.
Ref. Number: W24000143323

We have received your document for COLLECTIVE LIQUIDITY , INC.
and your check(s) totaling \$87.50. However, the enclosed
document has not been filed and is being returned for the
following correction(s):

The registered agent must sign accepting the designation.

Please return your document, along with a copy of this letter,
within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your
document, please call (850) 245-6051.

Corey Pettway
Regulatory Specialist II Letter Number: 024A00023191

www.sunbiz.org
Division of Corporations - P.O. BOX 6327 -Tallahassee, Florida
32314