

11/30/22, 2:45 PM

Division of Corporations

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Florida Department of State  
Division of Corporations  
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To:

Division of Corporations  
Fax Number : (850)617-6383

From:

Account Name : C T CORPORATION SYSTEM  
Account Number : FCA000000023  
Phone : (954)208-0845  
Fax Number : (614)573-3996

\*\*Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.\*\*

Email Address: \_\_\_\_\_

## FOREIGN PROFIT/NONPROFIT CORPORATION

Risk Management Solutions, Inc.

|                       |         |
|-----------------------|---------|
| Certificate of Status | 0       |
| Certified Copy        | 1       |
| Page Count            | 05      |
| Estimated Charge      | \$78.75 |

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Corporate Filing Menu

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## APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

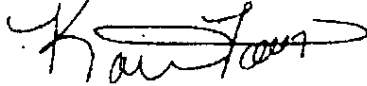
1. Risk Management Solutions, Inc.  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")  
  
RMS Risk Management Solutions, Inc.  
(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. CA 3. 77-0223411  
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 6/15/1989 5. \_\_\_\_\_  
(Date of incorporation) (Date of duration, if other than perpetual)
6. \_\_\_\_\_  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 7 WTC @ 250 Greenwich Street, NY, NY 10007  
(Principal office street address)  
  
\_\_\_\_\_  
(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System  
Office Address: 1200 South Pine Island Road  
Plantation FL 33324  
(City) (Zip code)

**9. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

C T Corporation System  
By: Kaity Toon, Asst Sec   
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. For initial indexing purposes, list names, titles and addresses of the primary officers and/or directors [up to six (6) total]:

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## A. DIRECTORS

☐ Chairman Name: Andy Frepp

☐ Vice Chairman Address: Moody's Analytics

☐ Director 7 World Trade Center

☐ President 250 Greenwich Street

☐ Vice President New York, NY 10007

☐ Secretary ☐ Treasurer

☒ Other Executive Director ☐ Other \_\_\_\_\_

☐ Chairman Name: David Hogan

☐ Vice Chairman Address: Moody's Corporation

☐ Director 7 World Trade Center

☐ President 250 Greenwich Street

☐ Vice President New York, NY 10007

☐ Secretary ☐ Treasurer

☐ Other \_\_\_\_\_ ☐ Other \_\_\_\_\_

☐ Chairman Name: Michael Steel

☐ Vice Chairman Address: Risk Management Solutions, Inc.

☐ Director The Minster Building

☐ President 21 Mincing Lane

☐ Vice President London EC3R 7AG, United Kingdom

☐ Secretary ☐ Treasurer

☒ Other General Manager ☐ Other \_\_\_\_\_

☐ Chairman Name: Elizabeth McCarroll

☐ Vice Chairman Address: Moody's Corporation

☐ Director 7 World Trade Center

☐ President 250 Greenwich Street

☐ Vice President New York, NY 10007

☒ Secretary ☐ Treasurer

☐ Other \_\_\_\_\_ ☐ Other \_\_\_\_\_

☐ Chairman Name: Reed Birnbaum

☐ Vice Chairman Address: Risk Management Solutions, Inc.

☐ Director 7575 Gateway Blvd., Suite 300

☐ President Newark, CA 94560

☐ Vice President \_\_\_\_\_

☐ Secretary ☐ Treasurer

☒ Other CFO ☐ Other \_\_\_\_\_

☐ Chairman Name: Jason Phillips

☐ Vice Chairman Address: Moody's Corporation

☐ Director 7 World Trade Center

☐ President 250 Greenwich Street

☐ Vice President New York, NY 10007

☐ Secretary ☐ Treasurer

☒ Other Managing Director ☐ Other \_\_\_\_\_

**Important Notice:** Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed individuals may be added to the index when filing your Florida Department of State Annual Report form.

12. DocuSigned by:  
Bobbi Jones

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in § 817.155, F.S.

13. Bobbi Lynn Jones, Assistant Secretary

(Typed or printed name and capacity of person signing application)

## A. DIRECTORS (continued)

Amit Bhatt  
Assistant Vice President – Treasury  
Moody's  
7 World Trade Center  
250 Greenwich Street  
New York, NY 10007

Caroline Sullivan  
Senior Vice President – Corporate Controller  
Moody's Corporation  
7 World Trade Center  
250 Greenwich Street  
New York, NY 10007

Richard Steele  
General Counsel & Assistant Secretary  
Moody's Analytics, Inc.  
405 Howard Street  
Suite 300  
San Francisco, CA 94105

Bobbi Lynn Jones  
Senior Assistant General Counsel & Assistant Secretary  
Moody's Analytics, Inc.  
405 Howard Street  
Suite 300  
San Francisco, CA 94105

Evelyn Kim  
Assistant General Counsel & Assistant Secretary  
Moody's Analytics, Inc.  
7 World Trade Center  
250 Greenwich Street  
New York, NY 10007

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## Secretary of State Certificate of Status

I, SHIRLEY N. WEBER, PH.D., California Secretary of State, hereby certify:

**Entity Name:** RISK MANAGEMENT SOLUTIONS, INC.  
**Entity No.:** 1643648  
**Registration Date:** 06/15/1989  
**Entity Type:** Stock Corporation - CA - General  
**Formed In:** CALIFORNIA  
**Status:** Active

The above referenced entity is active on the Secretary of State's records and is authorized to exercise all its powers, rights and privileges in California.

This certificate relates to the status of the entity on the Secretary of State's records as of the date of this certificate and does not reflect documents that are pending review or other events that may impact status.

No information is available from this office regarding the financial condition, status of licenses, if any, business activities or practices of the entity.



IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of November 15, 2022.

A handwritten signature in black ink, appearing to read "Shirley N. Weber".

SHIRLEY N. WEBER, PH.D.  
Secretary of State

Certificate No.: 059866531

To verify the issuance of this Certificate, use the Certificate No. above with the Secretary of State Certification Verification Search available at [bizfileOnline.sos.ca.gov](https://bizfileOnline.sos.ca.gov).