

Florida Department of State

Division of Corporations
Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

(((H22000177312 3)))



H220001773123ABCZ

Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To:

Division of Corporations
Fax Number : (850)617-6380

From:

Account Name : GERALD WEINBERG, P.C.
Account Number : I20030000043
Phone : (800)342-9856
Fax Number : (800)354-3381

Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.

Email Address: _____

COR AMND/RESTATE/CORRECT OR O/D RESIGN
CLARKSONS PLATOU SECURITIES, INC.

Certificate of Status	0
Certified Copy	0
Page Count	06
Estimated Charge	\$35.00

2022 MAY 19 PM 4:52
SECRETARY OF STATE
TALLAHASSEE, FL

FILED

keep original submission date 5/18/22

Electronic Filing Menu

Corporate Filing Menu

Help

APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO APPLICATION FOR
AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA
(Pursuant to s. 607.1504, F.S.)

FILED

SECTION I
(1-3 MUST BE COMPLETED)

2022 MAY 19 PM 4:52

SECRETARY OF STATE
TALLAHASSEE, FL

F22000002423

(Document number of corporation (if known))

1. CLARKSON'S PLATOU SECURITIES, INC.

(Name of corporation as it appears on the records of the Department of State)

2. NEW YORK

(Incorporated under laws of)

3. 04/19/2022

(Date authorized to do business in Florida)

SECTION II
(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? 05/18/2022

5. CLARKSON'S SECURITIES INC.

(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

(New jurisdiction)

8. If amending the registered agent and/or registered office address in Florida, enter the name of the new registered agent and/or the new registered office address:

Name of New Registered Agent:

(Florida street address)

New Registered Office Address:

(City)

Florida

(Zip Code)

New Registered Agent's Signature, if changing Registered Agent:

I hereby accept the appointment as registered agent. I am familiar with and accept the obligations of the position.

Signature of New Registered Agent, if changing

(H2200001773123)

May. 24. 2022 2:31PM

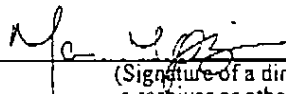
(GEALD WEINBERG 1122001773123)

No. 2265 P. 4

9. If the amendment changes person, title or capacity in accordance with 607.1504 (4), indicate that change:

<u>Title/ Capacity</u>	<u>Name</u>	<u>Address</u>	<u>Type of Action</u>
_____	_____	_____	<input type="checkbox"/> Add
_____	_____	_____	<input type="checkbox"/> Remove
_____	_____	_____	<input type="checkbox"/> Add
_____	_____	_____	<input type="checkbox"/> Remove
_____	_____	_____	<input type="checkbox"/> Add
_____	_____	_____	<input type="checkbox"/> Remove
_____	_____	_____	<input type="checkbox"/> Add
_____	_____	_____	<input type="checkbox"/> Remove
_____	_____	_____	<input type="checkbox"/> Add
_____	_____	_____	<input type="checkbox"/> Remove

10. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.



(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Maureen O'Brien

(Typed or printed name of person signing)

CFO/Chief Compliance Officer

(Title of person signing)

FILING FEE \$35.00

(1122001773123)

STATE OF NEW YORK

DEPARTMENT OF STATE

Certificate of Status

I, ROBERT J. RODRIGUEZ, Secretary of State of the State of New York and custodian of the records required by law to be filed in my office, do hereby certify that upon a diligent examination of the records of the Department of State, as of the date and time of this certificate, the following entity information is reflected:

Entity Name: CLARKSONS SECURITIES INC.
DOS ID Number: 1666085
Entity Type: DOMESTIC BUSINESS CORPORATION
Entity Status: EXISTING
Date of Initial Filing with DOS: 09/15/1992
Statement Status: CURRENT
Statement Due Date: 09/30/2022

I certify that the following is a list of documents on file in the Department of State for said entity:

Document Type: CERTIFICATE OF INCORPORATION
Date of Filing: 09/15/1992
Entity Name: EAGLE CAPITAL HOLDINGS LTD.

Document Type: CERTIFICATE OF AMENDMENT
Date of Filing: 09/06/1996
Name Changed To: DJF DISCOUNT BROKERS, INC.

Document Type: BIENNIAL STATEMENT
Date of Filing: 09/27/1996
Effective Date: 09/01/1996

Document Type: BIENNIAL STATEMENT
Date of Filing: 08/31/1998
Effective Date: 09/01/1998

Document Type: CERTIFICATE OF AMENDMENT
Date of Filing: 05/14/1999
Name Changed To: R&R PLANNING GROUP LTD.

Document Type: BIENNIAL STATEMENT
Date of Filing: 09/06/2000
Effective Date: 09/01/2000

Document Type: BIENNIAL STATEMENT
Date of Filing: 08/29/2002
Effective Date: 09/01/2002

Document Type: BIENNIAL STATEMENT
Date of Filing: 01/30/2008
Effective Date: 09/01/2006

Document Type: BIENNIAL STATEMENT
Date of Filing: 08/27/2008
Effective Date: 09/01/2008

Document Type: BIENNIAL STATEMENT
Date of Filing: 09/27/2010
Effective Date: 09/01/2010

Document Type: CERTIFICATE OF AMENDMENT
Date of Filing: 08/29/2011
Name Changed To: RS PLATOU MARKETS, INC.

Document Type: AMENDMENT TO BIENNIAL STATEMENT
Date of Filing: 03/26/2012
Effective Date: 09/01/2010

Document Type: CERTIFICATE OF AMENDMENT
Date of Filing: 03/28/2012

Document Type: BIENNIAL STATEMENT
Date of Filing: 10/03/2012
Effective Date: 09/01/2012

Document Type: BIENNIAL STATEMENT
Date of Filing: 09/02/2014
Effective Date: 09/01/2014

Document Type: CERTIFICATE OF AMENDMENT
Date of Filing: 04/15/2015
Name Changed To: CLARKSONS PLATOU SECURITIES, INC.

Document Type: AMENDMENT TO BIENNIAL STATEMENT
Date of Filing: 06/01/2015
Effective Date: 09/01/2014

Document Type: BIENNIAL STATEMENT
Date of Filing: 04/19/2022
Effective Date: 09/01/2020

Document Type: CERTIFICATE OF AMENDMENT
Date of Filing: 05/18/2022
Name Changed To: CLARKSONS SECURITIES INC.

Amended name

(H22000177312 3

Above space is left blank intentionally.

No information is available from this office regarding the financial condition, business activity or practices of this entity.

WITNESS my hand and official seal of the Department
of State, at the City of Albany, on May 18, 2022 at
01:36 P.M.

ROBERT J. RODRIGUEZ, Secretary of State



Brendan C. Hughes

By Brendan C. Hughes
Executive Deputy Secretary of State

Authentication Number: 100001585206 To Verify the authenticity of this document you may access the
Division of Corporation's Document Authentication Website at <http://ecorp.dos.ny.gov>

(H22000177312 3)