

12/7/21 10:00 AM

Division of Corporations

Florida Department of State
Division of Corporations
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To:

Division of Corporations
Fax Number : (850)617-6383

From:

Account Name : LEGALINC CORPORATE SERVICES INC.
Account Number : I20180000011
Phone : (844)386-0178
Fax Number : (214)317-4754

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TALLAHASSEE, FL

****Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.****

Email Address: _____

FOREIGN PROFIT/NONPROFIT CORPORATION

AssetMark Financial Holdings, Inc.

Certificate of Status	0
Certified Copy	0
Page Count	01
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S. FRANKLIN

DEC 10 2021

Electronic Filing Menu

Corporate Filing Menu

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APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. ASSETMARK FINANCIAL HOLDINGS, INC.

(Enter name of corporation. must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware 3. (State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 3/25/2013 5. (Date of incorporation) (Date of duration, if other than perpetual)

6. (Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 1655 Grant Street, 10th FL, Concord, CA 94520
(Principal office street address)

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: LEGALINC CORPORATE SERVICES INC.

Office Address: 5237 Summerlin Commons Blvd, Ste 400

Fort Myers, Florida 33907
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application. I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

11. For initial indexing purposes, list names, titles and addresses of the primary officers and/or directors [up to six (6) total].

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A. DIRECTORS

☐ Chairman Name Natalie G. Wolfson
☐ Vice Chairman Address _____
☒ Director 1655 Grant Street, 10th FL
☐ President Concord, CA 94520
☐ Vice President _____
☐ Secretary ☐ Treasurer
☒ Other CEO ☐ Other _____

☐ Chairman Name Gary G. Zyla
☐ Vice Chairman Address _____
☐ Director 1655 Grant Street, 10th FL
☐ President Concord, CA 94520
☐ Vice President _____
☐ Secretary ☒ Treasurer
☐ Other _____ ☐ Other _____

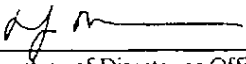
☐ Chairman Name Naomi J. McKean
☐ Vice Chairman Address: _____
☐ Director 1655 Grant Street, 10th FL
☐ President Concord, CA 94520
☐ Vice President _____
☒ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

☐ Chairman Name Michael Kim
☐ Vice Chairman Address _____
☐ Director 1655 Grant Street, 10th FL
☒ President Concord, CA 94520
☐ Vice President _____
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

☐ Chairman Name: Yi Zhou
☐ Vice Chairman Address _____
☒ Director 10 F, Bldg 1,
☐ President No. 228 Middle Jiandong Rd,
☐ Vice President Nanjing, Jiangsu, CN, 210019
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

☐ Chairman Name: Lei Wang
☐ Vice Chairman Address: _____
☒ Director 62/F, The Center, 99 Queen's Rd Central,
☐ President Hong Kong, . HK. 999077
☐ Vice President _____
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

Important Notice: Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed individuals may be added to the index when filing your Florida Department of State Annual Report form

12 
 Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.317.155, F.S.

13. Naomi J. McKean, Secretary
 (Typed or printed name and capacity of person signing application)

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Attachment to
**APPLICATION BY FOREIGN CORPORATION FOR
AUTHORIZATION TO TRANSACT BUSINESS IN
FLORIDA
for
ASSETMARK FINANCIAL HOLDINGS, INC.**

11. For initial indexing purposes, list names, titles and addresses of the primary officers

and/or directors (up to six (6) total):

(Directors Continued)

Xiaoning Jiao, Director
10 F, Building 1, No. 228 Middle Jiandong Rd
Nanjing, Jiangsu, CN, 210019

Ying Sun, Director
6th Floor, Tower A, No. 22 Fengsheng Hutong
Beijing, Xicheng District, CN, 100032

Bryan Lin, Director
10 Hudson Yards, 41st Floor
New York, NY, US, 10001

Rohit Bhagat, Director
1655 Grant Street, 10th FL
Concord, CA, US, 94520

Patricia Guinn, Director
1655 Grant Street, 10th FL
Concord, CA, US, 94520

Joseph Velli, Director
1655 Grant Street, 10th FL
Concord, CA, US, 94520

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Delaware

Page 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "ASSETMARK FINANCIAL HOLDINGS, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE NINETEENTH DAY OF NOVEMBER, A.D. 2021.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.


AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "ASSETMARK FINANCIAL HOLDINGS, INC." WAS INCORPORATED ON THE TWENTY-FIFTH DAY OF MARCH, A.D. 2013.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

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Jeffrey W. Bullock, Secretary of State

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