

F21000007043

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

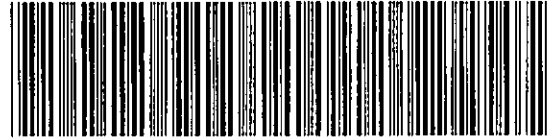
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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2021 DEC -8 PM 4:53
SECRETARY OF STATE
TALLAHASSEE, FL

S. ROBERTS

DEC 08 2021

SP

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: EarlyBirdCapital, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Amy Kaufmann

Name of Person

EarlyBirdCapital

Firm/Company

One Huntington Quadrangle 4c18

Address

Melville

City/State and Zip code

akaufmann@ebcap.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Amy Kaufmann

at (631) 770-0603

Name of Person

Area Code

Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

Please make check payable to: **FLORIDA DEPARTMENT OF STATE**

- ☐ \$70.00 Filing Fee ☒ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1501, FLORIDA STATUTES, THE FOLLOWING IS EARLYBIRD CAPITAL, INC.,
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. EarlyBirdCapital, Inc.

2. Enter name of corporation, must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Ltd.," "Co.," or "Corp.,"

3. Early Bird Capital

4. If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida

5. DE

6. 65-0379410

7. (State or country under the law of which it is incorporated)

8. (FEI number, if applicable)

9. May 9, 1981

10. (Date of incorporation)

11. (Date of duration, if other than perpetual)

12. Early Bird Capital

13. (Date first transacted business in Florida, if prior to registration.
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

14. One Town Center Road Boca Raton, FL 33486

15. (Principal office street address)

16. One Huntington Quadrangle 4C18 Melville, NY 11747

17. (Current mailing address, if different)

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TALLAHASSEE, FL

18. Name and street address of Florida registered agent (P.O. Box NOT acceptable)

Name

EarlyBirdCapital, Inc.

Office Address

One Town Center Road

Boca Raton

(City)

Florida

(Zip code)

4100 Avenue

Greenberg

333 St

Ind Ave

Miami FL

33131

19. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Tommy Kaufman

(Registered agent's signature)

20. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

21. Attached, for purposes, list names, titles and addresses of the principal officers and directors (up to six (6) total).

A. DIRECTORS

☒ Chairman Name: David Nussbaum
☐ Vice Chairman Address: One Town Center
Boca Raton, FL 33486
☒ Director _____
☐ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer _____
☐ Other _____ ☐ Other _____

☐ Chairman Name: Steven Levine
☒ Vice Chairman Address: 366 Madison Ave
New York NY 10017
☒ Director _____
☒ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer _____
☒ Other CEO ☐ Other _____

☐ Chairman Name: Amy Kaufmann
☐ Vice Chairman Address: One Huntington Quad 4C18
Melville NY 11747
☐ Director _____
☐ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer _____
☒ Other EVP ☐ Other _____

☐ Chairman Name: Michelle Pendergast
☐ Vice Chairman Address: One Huntington Quad 4C18
Melville, NY 11747
☐ Director _____
☐ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer _____
☒ Other CFO ☐ Other _____

☐ Chairman Name: _____
☐ Vice Chairman Address: _____
☐ Director _____
☐ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer _____
☐ Other _____ ☐ Other _____

☐ Chairman Name: _____
☐ Vice Chairman Address: _____
☐ Director _____
☐ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer _____
☐ Other _____ ☐ Other _____

Important Notice: Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed individuals may be added to the index when filing your Florida Department of State Annual Report form.

Amy Kaufmann
 Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s. 817.155, F.S.

Amy Kaufmann - Executive Vice President
 Typed or printed name and capacity of person signing application.

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT "EARLYBIRDCAPITAL. INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE NOT HAVING BEEN CANCELLED OR DISSOLVED SO FAR AS THE RECORDS OF THIS OFFICE SHOW AND IS DULY AUTHORIZED TO TRANSACT BUSINESS.

THE FOLLOWING DOCUMENTS HAVE BEEN FILED:

CERTIFICATE OF INCORPORATION, FILED THE NINTH DAY OF MAY, A.D. 1991, AT 9 O'CLOCK A.M.

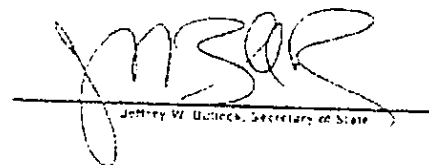
CERTIFICATE OF MERGER, CHANGING ITS NAME FROM "CORNELL SECURITIES CONSULTANTS, INC." TO "SOUTHEAST RESEARCH PARTNERS, INC.", FILED THE TWENTY-NINTH DAY OF MARCH, A.D. 1993, AT 9 O'CLOCK A.M.

CERTIFICATE OF AMENDMENT, FILED THE SIXTH DAY OF MARCH, A.D. 1997, AT 9 O'CLOCK A.M.

CERTIFICATE OF MERGER, FILED THE THIRTEENTH DAY OF MARCH, A.D. 1997, AT 9 O'CLOCK A.M.

RESTATED CERTIFICATE, FILED THE TWENTY-NINTH DAY OF APRIL, A.D. 1997, AT 9 O'CLOCK A.M.




Jeffrey W. Bullock, Secretary of State

2262600 8310

SR# 20213702252

You may verify this certificate online at <http://corp.delaware.gov/authver/print>.

Authentication: 204596480

Date: 11-04-21