

Florida Department of State
 Division of Corporations
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To:

Division of Corporations
 Fax Number : (850)617-6383

From:

Account Name : C T CORPORATION SYSTEM
 Account Number : FCA000000023
 Phone : (614)280-3338
 Fax Number : (954)208-0845

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SECRETARY OF STATE
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2021 OCT 29 AM 11:29

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 AND
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FOREIGN PROFIT/NONPROFIT CORPORATION

Fieldpoint Private Bank & Trust.

Certificate of Status	0
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NOV 03 2021

K. Brumbley

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

Fieldpoint Private Bank & Trust

1. _____
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Ltd.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. _____ 3. _____
Connecticut 262436838
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. _____ 5. _____
(Date of incorporation) (Date of duration, if other than perpetual)

6. _____
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

100 Field Point Road, Greenwich, CT, 06830
7. _____
(Principal office address)

(Current mailing address, if different)

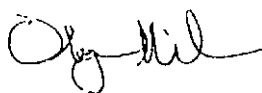
8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System
Office Address: 1200 South Pine Island Road
Plantation, _____, Florida 33324
(City) (Zip code)

APPROVED
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SECRETARY OF STATE
TALLAHASSEE, FL 32399

9. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place
designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I
further agree to comply with the provisions of all statutes relative to the proper and complete performance of my
duties, and I am familiar with and accept the obligations of my position as registered agent.*

By:  Olga Hinkel
Associate Director
(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to
the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction
under the law of which it is incorporated.

11. Names and business addresses of officers and/or directors:

A. DIRECTORS

Please see attached.

Chairman: _____

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

Please see attached.

President: _____

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOT: DocuSigned by: Attach an addendum to the application listing additional officers and/or directors.

12. DocuSigned by: H. Russell Holland III
0879972+331A4D7 _____
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Harry Russell Holland III, President and Chief Executive Officer

(Typed or printed name and capacity of person signing application)

**FIELDPOINT PRIVATE BANK & TRUST
ATTACHMENT TO FOREIGN REGISTRATION
DIRECTORS AND OFFICERS**

DIRECTORS:

Debra Huddleston
100 Field Point Road
Greenwich, CT 06830

Rudrabhishek Sahay
100 Field Point Road
Greenwich, CT 06830

James F. Deutsch
100 Field Point Road
Greenwich, CT 06830

David M. Lukach
100 Field Point Road
Greenwich, CT 06830

Timothy J. Tully
100 Field Point Road
Greenwich, CT 06830

Mark J. Casella
100 Field Point Road
Greenwich, CT 06830

Mark A. Ricca
100 Field Point Road
Greenwich, CT 06830

Donald M. Ullmann
100 Field Point Road
Greenwich, CT 06830

OFFICERS

H. Russell Holland III
President and CEO
100 Field Point Road
Greenwich, CT 06830

Ian McMahon
CFO
100 Field Point Road
Greenwich, CT 06830

Timothy J. Tully
Executive Chairman
100 Field Point Road
Greenwich, CT 06830

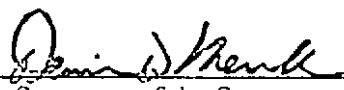
Michael P. White
Corporate Secretary
100 Field Point Road
Greenwich, CT 06830

Office of the Secretary of the State of Connecticut

I, the Connecticut Secretary of the State, and keeper of the seal thereof,
DO HEREBY CERTIFY, that the certificate of conversion of

FIELDPOINT PRIVATE BANK & TRUST

converting from a federal capital stock savings bank to a capital stock Connecticut bank , was
filed in this office on March 31, 2017. A certificate of dissolution has not been filed. Insofar as
indicated by the records of this office, such corporation is in existence.


Secretary of the State

Date Issued: October 25, 2021

ac1



Commissioner Russell C. Weigel, III

July 1, 2021

Mr. Barry Hester
Bryan Cave Leighton Paisner LLP
1201 Peachtree Street, NW, 14th FL
Atlanta, Georgia 30309

Re: Fieldpoint Private Bank & Trust

Dear Mr. Hester:

Reference is made to your recent letter requesting approval to register the above-referenced name with the Florida Secretary of State by Fieldpoint Private Bank & Trust. The bank is a Connecticut state-chartered bank, headquartered in Greenwich, Connecticut, and regulated by the Connecticut State Banking Department.

Section 655.922, Florida Statutes, exempts a financial institution, holding company or its subsidiaries from the prohibition of using the word "bank," "banco," "banque," "banker," "banking," "trust company," "savings and loan association," "savings bank," or "credit union," or words of similar import, in any context or in any manner in its corporate name. Therefore, this Office will not object to the use of the above referenced name being registered to transact business in the state of Florida. However, this correspondence is not intended to grant the authority to act in any licensed capacity until all licensing requirements have been met within this state.

Sincerely,

Russell C. Weigel, III
Commissioner
Office of Financial Regulation

RCW:trd

cc: Gina McLeod, Chief, Bureau of Commercial Recordings, Division of Corporations,
Department of State