

F21000005828

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐

PICK-UP

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MAIL

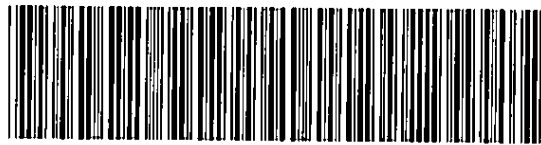
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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2021 OCT 13 AM 9:16

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

RECEIVED

2021 OCT 13 PM 3:38

CLERK OF SUPERIOR COURT
TALLAHASSEE, FLORIDA

OCT 14 2021
K. Brumbley

CORPORATION SERVICE COMPANY
1201 Hays Street
Tallahassee, FL 32301
Phone: 850-558-1500

ACCOUNT NO. : I20000000195

REFERENCE : 101517 8065503

AUTHORIZATION : 

COST LIMIT : \$78.75

ORDER DATE : October 12, 2021

ORDER TIME : 2:08 PM

ORDER NO. : 101517-020

CUSTOMER NO: 8065503

FOREIGN FILINGS

NAME: FIRST FOUNDATION BANK

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

_____ CERTIFIED COPY
XX _____ PLAIN STAMPED COPY
XX _____ CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Eyliena Baker -- EXT# 61594

EXAMINER: _____

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: First Foundation Bank

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

David Gershon

Name of Person

Sheppard, Mullin, Richter & Hampton LLP

Firm/Company

Four Embarcadero Center, 17th Floor

Address

San Francisco, CA 94111

City/State and Zip code

DGershon@sheppardmullin.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

David Gershon

at (415) 774-3120

Name of Person

Area Code

Daytime Telephone Number

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
The Centre of Tallahassee
2415 N. Monroe Street, Suite 810
Tallahassee, FL 32303

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

Please make check payable to: **FLORIDA DEPARTMENT OF STATE**

☐ \$70.00 Filing Fee

☒ \$78.75 Filing Fee &
Certificate of Status

☐ \$78.75 Filing Fee &
Certified Copy

☐ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy



Commissioner Russell C. Weigel, III

September 22, 2021

Kevin Thompson
18101 Von Karman Avenue
Suite 750
Irvine, California 92612

Re: First Foundation Bank

Dear Mr. Thompson:

Reference is made to your recent letter requesting approval to register the above-referenced name with the Florida Secretary of State by First Foundation Bank. The bank is a California state-chartered bank, headquartered in Irvine, California, and regulated by the California Department of Business Oversight.

Section 655.922, Florida Statutes, exempts a financial institution, holding company or its subsidiaries from the prohibition of using the word "bank," "banco," "banque," "banker," "banking," "trust company," "savings and loan association," "savings bank," or "credit union," or words of similar import, in any context or in any manner in its corporate name. Therefore, this Office will not object to the use of the above referenced name being registered to transact business in the state of Florida. However, this correspondence is not intended to grant the authority to act in any licensed capacity until all licensing requirements have been met within this state.

Sincerely,

Russell C. Weigel, III
Commissioner
Office of Financial Regulation

RCW:jrr

cc: Gina McLeod, Chief, Bureau of Commercial Recordings, Division of Corporations,
Department of State

APPROVED
AND
FILED

2021 OCT 13 AM 9:16
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. First Foundation Bank
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. California 3. _____
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. May 3, 2012 5. _____
(Date of incorporation) (Date of duration, if other than perpetual)

6. _____
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 18101 Von Karman Avenue, 7th Floor, Irvine, California 92612
(Principal office street address)

(Current mailing address, if different)

8. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee, Florida 32301
(City) (Zip code)

9. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Jerome L. Suarez

Corporation Service Company
by Jerome L. Suarez, Asst. Secretary

(Registered agent's signature)

10. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

APPROVED
AND
FILED
2021 OCT 13 AM 9:16
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

A. DIRECTORS

☒ Chairman Name: Scott F. Kavanaugh
☐ Vice Chairman Address: 18101 Von Karman Avenue
☒ Director 7th Floor
☐ President Irvine, CA 92612
☐ Vice President 949-202-4100
☐ Secretary ☐ Treasurer
☒ Other CEO ☐ Other _____

☐ Chairman Name: Max Briggs
☐ Vice Chairman Address: 18101 Von Karman Avenue
☒ Director 7th Floor
☐ President Irvine, CA 92612
☐ Vice President 949-202-4100
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

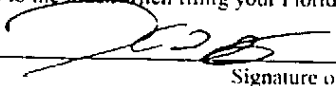
☐ Chairman Name: Ulrich E. Keller
☐ Vice Chairman Address: 18101 Von Karman Avenue
☒ Director 7th Floor
☐ President Irvine, CA 92612
☐ Vice President 949-202-4100
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

☐ Chairman Name: John Hakopian
☐ Vice Chairman Address: 18101 Von Karman Avenue
☒ Director 7th Floor
☐ President Irvine, CA 92612
☐ Vice President 949-202-4100
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

☐ Chairman Name: Kevin L. Thompson
☐ Vice Chairman Address: 18101 Von Karman Avenue
☐ Director 7th Floor
☐ President Irvine, CA 92612
☒ Vice President 949-202-4100
☐ Secretary ☐ Treasurer
☒ Other CFO ☐ Other _____

☐ Chairman Name: David Lake
☐ Vice Chairman Address: 18101 Von Karman Avenue
☒ Director 7th Floor
☐ President Irvine, CA 92612
☐ Vice President 949-202-4100
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

Important Notice: Use an attachment to report more than six (6). The attachment will be imaged for reporting purposes only. Non-indexed individuals may be added to the index when filing your Florida Department of State Annual Report form.

12. 
Signature of Director or Officer

The officer or director signing this document (and who is listed in number 11 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

13. Kevin L. Thompson
(Typed or printed name and capacity of person signing application)

A. DIRECTORS

☐ Chairman Name: Elizabeth Pagliarini
☐ Vice Chairman Address: 18101 Von Karman Avenue
☒ Director 7th Floor
☐ President Irvine, CA 92612
☐ Vice President 949-202-4100
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

☐ Chairman Name: Jacob Sonenshine
☐ Vice Chairman Address: 18101 Von Karman Avenue
☒ Director 7th Floor
☐ President Irvine, CA 92612
☐ Vice President 949-202-4100
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

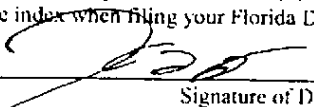
☐ Chairman Name: Mitchell M. Rosenberg
☐ Vice Chairman Address: 18101 Von Karman Avenue
☒ Director 7th Floor
☐ President Irvine, CA 92612
☐ Vice President 949-202-4100
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

☐ Chairman Name: _____
☐ Vice Chairman Address: _____
☐ Director _____
☐ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

☐ Chairman Name: Diane Rubin
☐ Vice Chairman Address: 18101 Von Karman Avenue
☒ Director 7th Floor
☐ President Irvine, CA 92612
☐ Vice President 949-202-4100
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

☐ Chairman Name: _____
☐ Vice Chairman Address: _____
☐ Director _____
☐ President _____
☐ Vice President _____
☐ Secretary ☐ Treasurer
☐ Other _____ ☐ Other _____

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13. Kevin L. Thompson
(Typed or printed name and capacity of person signing application)



Secretary of State Certificate of Status

I, SHIRLEY N. WEBER, Ph.D., Secretary of State of the State of California, hereby certify:

Entity Name: FIRST FOUNDATION BANK
File Number: C3468482
Registration Date: 05/03/2012
Entity Type: DOMESTIC STOCK CORPORATION
Jurisdiction: CALIFORNIA
Status: ACTIVE (GOOD STANDING)

As of October 12, 2021 (Certification Date), the entity is authorized to exercise all of its powers, rights and privileges in California.

This certificate relates to the status of the entity on the Secretary of State's records as of the Certification Date and does not reflect documents that are pending review or other events that may affect status.

No information is available from this office regarding the financial condition, status of licenses, if any, business activities or practices of the entity.



IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of October 13, 2021.

A handwritten signature in black ink, appearing to read "Shirley N. Weber".

SHIRLEY N. WEBER, Ph.D.
Secretary of State

Certificate Verification Number: RL1VM2R

To verify the issuance of this Certificate, use the Certificate Verification Number above with the Secretary of State Certification Verification Search available at bebizfile.sos.ca.gov/certification/index.